LGMSD 2024/25

Soroti District

(Vote Code: 553)

Assessment	Scores
PMs and Indicators to Incentivise Delivery of Quality and Usable Visible Outputs (Infrastructure Assets)	57%
PMs and Indicators to Incentivise Delivery of Accessible, Quality and Efficient Education Services	65%
PMs and Indicators to Incentivise Delivery of Accessible, Quality and Efficient Health Services	41%
PMs and Indicators to Incentivise Delivery of Accessible, Quality and Efficient Water and Sanitation Services	88%
PMs and Indicators to Incentivise Delivery of Accessible, Quality and Efficient Micro-scale Irrigation Services	80%
PMs and Indicators to Incentivise Delivery of Accessible, Quality and Efficient Production Services	52%

PMs and Indicators to Incentivise Delivery of Quality and Usable Visible Outputs (Infrastructure Assets)

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Quality				
1	Evidence that the LG constructed/installed all infrastructure projects in the previous FY (completed or on-going) as per design/ specifications (and approved layout suitable to site conditions and sub-programme norms).	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY: From LG Engineer collect: • Approved Designs and site layout • Sample at least 6 projects (1 per sub-program where there is an infrastructure project implemented) from the previous FY and check for compliance with designs and layout. If all infrastructure comply to design/ specifications and approved layout for all sampled projects score 15 or else 0 If the LG has no approved design/ specifications and approved layout for all sampled projects score 0	There was evidence that the constructed/installed infrastructure projects in the previous FY complied to the standard technical designs. Sampled projects included; **<u>Education sub-program.</u>** **MoES Soro/UgIFT/Wrks/2023-2024/00001** **Dakala Seed secondary school.** The works included construction of the a @-Unit ICT Library, 2-Unit science laboratory, two blocks of 5-stance lined pit latrine and one block of 2-stance pit latrine. There was evidence that the project followed standard technical designs and specifications from the MoED. The following areas on specific structures were measured to ascertain whether there was adherence to the designs. ICT/Library • Windows i. W1 was 1.2mx1.2m ii. W2 was 1.2mx0.9m • The book store 3270mmx5070mm • Iron sheets were gauge 28 **<u>Health subprogram</u>** **Soro 553/Wrks/2023-2024/00005** **Construction of OPD in Ojom HCII.** There was evidence that the structures constructed followed standard technical designs. Below were some of the sampled areas on the OPD block. • EPI Room was 3.8mx3m • Doors D9 were 900x2400mm • Treatment room was 3x3m **<u>Water and sanitation subprogram.</u>** **Soro 553/Wrks/2023-2024/00001** **Sitting, Drilling and installation of 16 boreholes in different sub counties.**	**15**

There was evidence that the installations followed designs, i.e., borehole class A was adopted, pump head and hand pump for the visited site was installed as required, and drainage channel was 6m which was according to the designs.

Works and Transport sub-program

Mechanized maintenance of Katine-Olwelai-Angai marram road 14km under force on account.

The project was a class 3 road which was upgraded to a class 2.

• Its width was 5.4m as required by the manual

• Gravelling was done perfectly

• Back slopping was also done properly.

Mechanized maintenance of Asuret - Amogoro 15.7Km

The project was a class 3 road.

• Its carriage width was 4.5m as required by the manual

• Gravelling was done perfectly

• Back slopping was also done properly.

Microscale irrigation sub-program.

Supply and installation of microscale irrigation systems. Lot 4 (Okello Simon)

Soro 553/Wrks/2023-2024/00028

There was evidence that the MSI installations followed standard technical designs. Case in point, Okello Simon, a tank of 10000ltrs was installed, Main delivery was 50mm HDPE pipe was laid, sprinklers were installed as was required in the designs.

Production sub-program

There was no infrastructure project in the sub-program

2	Evidence that the infrastructure projects constructed by the LG in the previous FY (completed or on-going) have no visible defects • *Building structures: (i) Substructure (splash apron, floors, foundations, ground beams, ramps); (ii) Superstructures (walling, beams, columns, floors,*	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY: Sample at least six (6) project (1 per sub-program/ nature of project) from the previous FY and check for existence of visible	There was evidence that the sampled infrastructure constructed in the FY 2023/24 had no visible defects. Sampled projects included; **Education sub-program.** **MoES Soro/UgIFT/Wrks/2023-2024/00001** **Dakala Seed secondary school.**	**15**

doors, windows); (iii) roofing (Roof Cladding, ceilings, roof members, lightning conductors, rainwater goods); (iv) Mechanical and Electrical works (water and drainage system, lights, fire systems) *• Water systems (Water source; Water Storage; Water Quality (colorless, taste, odorless)* *• Components (Pumps, Power source, Pipes and Fittings, Taps, Sprays)* *• District & Urban Roads (Culverts, drainage, bridges*	defects. Take pictorial evidence and describe the nature and extent of defects. If no visible defects in any of the sampled projects score 15 If minor defects in any of the sampled projects – score 5 If moderate or significant defect in any of the sampled projects- score 0	The works included construction of the a 2-Unit ICT Library, 2-Unit science laboratory, two blocks of 5-stance lined pit latrine and one block of 2-stance pit latrine. All the structures were visibly inspected and there were no visible defects. **<u>Health subprogram</u>** **Soro 553/Wrks/2023-2024/00005** **Construction of OPD in Ojom HCII.** The floor, the walls, the windows, doors, the roof, roof water drainage system, tank base, splash apron, mechanical and electrical installations were visibly inspected and they had no visible defects. **<u>Water and sanitation sub-program.</u>** **Soro 553/Wrks/2023-2024/00001** **Sitting, Drilling and installation of 16 boreholes in different sub counties.** The visited borehole that was constructed did not have any defects visible. All the drainage, the upper borehole parts; like the hand pump, the pump head, the cast platform was also constructed and well finished. **<u>Works and Transport sub-program</u>** **Mechanized maintenance of Katine-Olwelai-Angai-Olegei marram road 19.4km under force on account.** The road project did not have visible defects. The road width gave a smooth drive and no potholes were found on this road. **Mechanized maintenance of Asuret - Amogoro 15.7Km** The road project did not have visible defects. **<u>Microscale irrigation sub-program.</u>** **Supply and installation of microscale irrigation systems. Lot 4 (Okello Simon)** **Soro 553/Wrks/2023-2024/00028** There were no visible defects in the Microscale irrigation installations. The pumps, the tanks, the stands all were installed properly with no defects. **<u>Production sub-program</u>** There were no infrastructure projects in the financial year of assessment.

Usable

Evidence that the infrastructure projects have the basic amenities which are functional and used for the intended purpose	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY: Sample at least six (6) projects (1 per sub-program) from the previous FY. If the infrastructure projects have the basic amenities which are functional and used for the intended purpose score 10 or else 0	There was evidence that the amenities were functional. Sampled projects included; **<u>Education sub-program.</u>** **MoES Soro/UgIFT/Wrks/2023-2024/00001** **Dakala Seed secondary school.** The works included construction of the a 2-Unit ICT Library, 2-Unit science laboratory, two blocks of 5-stance lined pit latrine and one block of 2-stance pit latrine. These blocks were still under construction and they were not yet ready to serve their purpose. **<u>Health sub-program.</u>** **Soro 553/Wrks/2023-2024/00005** **Construction of OPD in Ojom HCII.** The structure was complete and ready for use because all the necessary works and installations were fully complete awaiting for commissioning; hence being suitable for use or fully functional. **<u>Water and sanitation sub-program.</u>** **Soro 553/Wrks/2023-2024/00001** **Sitting, Drilling and installation of 16 boreholes in different sub counties.** The visited borehole that was constructed had all the requirements for it to be functional. Drainage channel and soak pit were in place, there were no pit latrines in a radius of 10m, the water quality in terms of color and absence of foreign materials in the water made the bore hole suitable for its use. **<u>Works and Transport sub-program.</u>** **Mechanized maintenance of Katine-Olwelai-Angai-Olegei marram road 19.4km under force on account.** The road had proper drainage, no potholes, suitable marram material for the road surface which made it fit for its purpose. **Mechanized maintenance of Asuret - Amogoro 15.7Km** The road had proper drainage, no potholes, suitable marram material for the road surface which made it fit for its purpose and was connecting to major social services. **<u>Microscale irrigation sub-program.</u>** **Supply and installation of microscale irrigation systems. Lot 4 (Okello Simon)**

			Soro 553/Wrks/2023-2024/00028. The microscale irrigation system sampled was fully functional. The water sources, the pumping station, the tank and the tank stand, the delivery line, the distribution lines and the sprinklers were fully serving their purpose. **<u>Production sub-program</u>** There were no infrastructure projects in the financial year of assessment.	
Human Resource Management				
4	Evidence that the LG has substantively filled, deployed and ensured that the staff in all Heads of Department positions access the payroll. Districts i. Chief Finance Officer ii. District Planner iii. District Engineer iv. District Natural Resources Officer v. District Production Officer vi. District Commercial Officer vii. District Community Development Officer viii. District Health Officer ix. District Education Officer	From the Principal Human resource Officer obtain and review: (i) the approved customized structure of the LG; (ii) staff lists; and (iii) personnel files to establish existence of: Appointment letters for all HoDs Review the payroll to establish that the recruited staff accessed the most recent payroll. If 100% of the above positions are filled score 6 If 80 – 99% of the above positions are filled score 4 If below 80% of the above positions are filled score 0	The LG had an approved customized staff structure approved by Council on 21st – 22nd/9/2017 as per Agenda No. 7 Min. No. COU/63/09/2017 In addition, letters of appointments, staff lists, personal files and November 2024 payroll were all in place which were the information source for all cadre staff as evidenced below: The LG had 9 (nine) HoD positions, only 4 (four) of which had been substantively filled, deployed and accessed November 2024 payroll, representing 44.4% (4/9*100 =44.4%) below the recommended minimum of 80%. CAO had assigned other staff to care take the vacant HoD positions as indicated below: i. Chief Finance Officer (Edyangu Thomas) was substantively appointed on transfer of service on 5th/11/2019 under DSC Min. No. DSC 83/10/2019, deployed on 5th/11/2019 and accessed November 2024 payroll under IPPS No. 760424 ii. District Planner (Oboi Richard) substantively appointed as Acting District Planner on 17th/3/2020 under DSC Min. No. 11/03/2020, deployed on 17th/3/2020 and accessed November payroll under IPPS No. 858535 iii. District Engineer (Alex Oriekot) was not substantively appointed but assigned by CAO to care take that position. He was substantively appointed as Water Officer on 12th/11/2020 under DSC Min. No. 97/10/2020 (1), deployed on 12th/11/2020 and accessed November 2024 payroll under IPPS No. 855513 iv. District Natural Resources Officer (Adutu George Patrick Ejok) was not substantively appointed, but assigned by CAO to care take that position. He was substantively appointed as Senior Environment Officer on 12th/3/2018 under DSC Min. No. 20th/03/2018, deployed on 20th/3/2018 and accessed November 2024	**0**

payroll under IPPS No. 858517

v. District Production Officer (Enyaku James Michael) was not substantively appointed, but was assigned by CAO to care take that position. He was appointed as Principal Fisheries Officer on 5th/5/2021 under DSC Min No 31/04/2021,deployed on 5th/5/2021 and accessed November 2024 pay roll 2024 payroll under IPPS No. 858434

vi. District Commercial Officer (Apolot Joy Christine) was not substantively appointed but was assigned by CAO to care take that position. He was appointed as Senior Commercial Officer on 12th/11/2020 under DSC Min. No. 98/10/2020, deployed on 12th/11/2020and accessed November 2024 payroll under IPPS No.1010112

vii. District Community Development Officer (Margret Emurya Acaya) was substantively appointed on 31st/7/2008 under DSC Min. No. 18/2008, deployed on 1st/8/2008 and accessed November 2024 payroll under IPPS No. 858390

viii. District Health Officer (Dr. Okadhi Charles Stephen) was substantively appointed appointed on 20th/6/2011 under DSC Min. No. 37/2011 91), deployed on 20th/6/2011 and accessed November 2024 payroll under IPPS No. 858398

ix. District Education Officer (Oede James) was substantively appointed on 5th/11/2019 under DSC Min. No.84/10/2019, deployed on 5th/11/2019 and accessed November 2024 payroll under IPPS No 895727

4	Evidence that the City has substantively filled, deployed and ensured that the staff in all Heads of Department positions access the payroll i. City Chief Finance Officer ii. City Planner iii. City Engineer iv. City Natural Resources Officer v. City Production Officer vi. City Commercial Officer vii. City Community Development Officer viii. City Physical Planner ix. City Health Officer x. City Education Officer	From the Principal Human resource Officer obtain and review: (i) the approved customized structure of the LG; (ii) staff lists; and (iii) personnel files to establish existence of: Appointment letters for all HoDs Review the payroll to establish that the recruited staff accessed the most recent payroll. If 100% of the above positions are filled score 6 If 80 – 99% of the above positions are filled score 4 If below 80% of the above positions are filled score 0	
4	Evidence that the LG has substantively filled, deployed and ensured that the staff in all Heads of Department positions access the payroll i. Principal Treasurer ii. Senior Planner iii. Municipal Engineer (Principal Executive Engineer) iv. Senior Environment Officer v. Senior Veterinary Officer/Senior Agricultural Officer vi. Principal Commercial Officer vii. Principal Community Development Officer viii. Medical Officer of Health Services ix. Principal Education Officer	From the Principal Human resource Officer obtain and review: (i) the approved customized structure of the LG; (ii) staff lists; and (iii) personnel files to establish existence of: Appointment letters for all HoDs Review the payroll to establish that the recruited staff accessed the most recent payroll. If 100% of the above positions are filled score 6 If 80 – 99% of the above positions are filled score 4 If below 80% of the above positions are filled score 0	
5			**0**

Evidence that the LG has substantively filled, deployed and ensured that the staff in all critical staff positions access the payroll.

i. Senior Procurement Officer

ii. Principal Human Resource Officer

iii. Principal Human Resource Officer (Secretary DSC)

iv. Senior Environment Officer

v. Senior Land Management Officer/Physical Planner

vi. Principal Internal Auditor

vii. Senior Agriculture Engineer

viii. Water Officer

ix. Senior Inspector of Schools

x. Labour Officer

xi. Senior Assistant Secretaries (SAS)

xii. Senior Assistant Town Clerks

xiii. Parish chiefs

From the Principal Human resource officer obtain and review: (i) the approved customized structure of the LG; (ii) the staff list and (iii) personnel files to establish existence of:

Appointment letters for all critical staff

Review the payroll to establish that the recruited staff accessed the most recent payroll.

If 100% of the above positions are filled score 2 or else score 0

Other critical staff details including; letters of appointments, staff lists, personnel files and October 2024 payroll were all in place except for Apetu Julius (SCAO) and were the information source for all cadre staff as evidenced below:

The LG had 76 other critical staff including Sectional Heads, SAS, TCS and Parish Chiefs/Town Agents 64 of whom were substantively filled, deployed and accessed November 2024 payroll representing 84.2% (64/76*100 =84.2%) below the recommended minimum of 100% as per the various cadre staff details below:

OTHER CRITICAL STAF

i. Senior Procurement Officer (Ewena Hageai) was substantively appointed on 3rd/12/2018 under DSC Min .No. 104/11/2018, deployed on 3rd/12/2018 and accessed November 2024 payroll IPPS No. 858384

ii. Principal Human Resource Officer (Admin) (Okanya Simon Peter) was substantively appointed on 10th/12/2012 under DSC Min. No. 58/2017(1), deployed on 10th/12/2012 and accessed November 2024 payroll IPPS No.858469

iii. Principal Human Resource Officer (DSC) (Okwatum Moses Emoisat) was substantively appointed on 31st/7/2018 under DSC Min. No. ADS 18/2008, deployed on 1st/8/2008 and accessed November 2024 payroll IPPS No. 858447

iv. Senior Environmental Officer (Adutu George Patrick Ejok) was substantively appointed on 12th/3/2018 under DSC Min. No.20/3/2018, deployed on 20th/3/2018 and accessed November 2024 payroll IPPS No. 858517

v. Senior Land Management Officer (Akello Catherine) was substantively appointed on 6th/5/2015 under DSC Min. No. 24/04/2015/(3), deployed on 6th/5/2015 and accessed November 2024 payroll IPPS No. 858543

vi. Principal Internal Auditor (Okello Michael) substantively appointed on 3rd/12/2018 under DSC Min No. DSC 106/11/2018, deployed on 3rd/12/2018 and accessed November 2024 payroll IPPS No. 858441

vii. Senior Agriculture Officer (Okello Moses Echeku) was substantively appointed on 12th/3/2021 under DSC Min. No. 17/03/2021(iii), deployed on 12th/3/2021 and accessed November 2024 payroll IPPS No. 858512

viii. Senior Inspector of Schools (Icimu Loyce Mildred) was not substantively appointed, but was assigned by CAO to

care take that position. She was appointed as Inspector of schools on 12TH/9/2024, under DSC Min, No. DSC.81/10/2019, deployed on 5TH/11/2019 and accessed November 2024 payroll IPPS No. 748721

ix. Water Officer (Oriekot Alex) was substantively appointed on 12th/11/2020 under DSC Min. No. 97/10/2020/ deployed on 12th/11/2020 and accessed November payroll IPPS No. 855513

x. Physical Planner (Egwau Moses Paul) was substantively appointed on 2nd/5/2007 under DSC Min. No. 16/2007 and accessed November 2024 payroll IPPS No. 858502

xi. Senior Labour Officer (Esatu Moses) was substantively appointed on 12th/11/2020 under DSC Min. No. 98/10/2020(1), deployed on 12th/11/2020 and accessed November 2024 payroll IPPS No. 858560

.SENIOR ASSISTANT SECRETARIES (SAS) AND TOWN CLERK

1. Ikara Aloysious Ronnie (SACAO) for Arapai Sc was not substantive, but assigned by CAO to care take that position. He was appointed as Parish Chief on 20th/3/2019 under DSC Min. No. 12/2/2019, deployed on 20th/3/2019 and accessed November 2024 payroll IPPS No. 858496

2. Olobo Tom Vincent (SACAO) for Asuret Sc was substantively appointed on 5h/5/2021 under DSC Min. No. 29/04/2021, deployed on 5th/5/2021 and accessed November 2024 payroll IPPS. 849791

3. Agetu Samuel Alexander (SACAO) for Kamuda Sc was not substantively appointed, but was assigned by CAO to care take that position. He was appointed as Parish Chief on 4th/7/2007 under DSC Min. No. 35/2007, deployed on 4th/7/2009 and accessed November 2024 payroll IPPS No. 830891

4. Elunyu Stephen (SACAO for Oculoi Sc was not substantively appointed, but was assigned by CAO to care take that position. He was appointed as Parish Chief on 1st /8/2006 under DSC Min. No. 59 (a) 2006, deployed on 1st/8/2006 and accessed November 2024 payroll IPPS No. 830881

5. Malinga Cornelius Osire (SACAO) for Ocokican Sc was not substantively appointed, but was assigned by CAO on 18th/11/2020 to care take that position. He was appointed as Parish Chief on 1st/8/2006 under DSC Min. No. 1st/8/2006 under DSC Min .No. 59 (a) 2006, deployed on 1st/8/2006 and accessed November 2024 payroll IPPS No 830880

6. Wakwesa Emmanuel (SACAO) for Lalle

Sc was not substantively appointed, but was assigned by CAO on 29th/5/2023 to care take that position. He was appointed as CDO on 5TH/11/2019 under DSC Min. No. 81/10/2019, deployed on 5th/11/2019 and accessed November 2024 payroll IPPS No. ……..

7. Otuba Paul (SACAO) for Tubur Sc was not substantively appointed, but was assigned as Parish Chief on 27th/7/2005 under DSC Min. No.122/2004, deployed on 27th/7/2005 and accessed November 2024 pay roll IPPS No. 830866

8. Naikoba Rebecca (TC) for Tubur Town Council was not substantively appointed, but was assigned by CAO to care take that position. She was appointed as Senior Assistant Secretary District Land Board on 12th/11/2020 under Min. No. 96/10/2020, deployed on 12th/11/2020 and accessed November 2024 payroll IPPS No. No. 1077040

9. Okiror Joloi Michael SACAO for Awaliwal Sc was not substantively appointed, but was assigned by CAO to care take that position. He was appointed as Parish Chief on 27th/7/2005 under DSC Min. No. 122/2004, deployed on 27th/7/2005 and accessed November 2024 payroll IPPS No. 343999.

10. Ainya Stella for Aukot Sc not substantively appointed, but was assigned by CAO the duties of SCAO for Aukot Sc on 31st/8/2023. She was substantively appointed on probation as Parish Chief on 2nd/11/2021 under DSC Min. No. 84/10/2021 (19), deployed on 8th/11/2021 and accessed November payroll IPPS No. 1142025

11. Omagor John for Asuret Sc not substantively appointed, but assigned by CAO the duties of SACAO for Asuret S. He was substantively appointed as Parish Chief on 27th/7/2005 under DSC Min. No. 122/2004, deployed on 27th/7/2005 and accessed November 2024 payroll IPPS No. 839027

12. Apetu Julius (Personnel not availed to the assessment team – reportedly taken by IGG)

PARISH CHIEFS AND TOWN AGENTS

1. Ikaro Aloysius for Odudui Parish was appointed on 20th/3/2019 under DSC Min. No. 12/2/2019 (vii), deployed on 20th/3/2019 and accessed November 2024 payroll IPPS No. 858496

2. Malinga Conellius Osire for Ocokican Parish was appointed on 1st/ 8 2006 under DSC Min. No. 59 (a) 2006, deployed on 1st/8/2006 and accessed November 2024 payroll IPPS No. 830880

3. Elunyu Stephen for Ajonyi Parish was appointed on 1st/8/2006 under DSC Min. No. 59 (a) 2006, deployed on 1st/8/2006 and accessed November 2024 payroll IPPS No. 830881

4. Ageta Samuel Alexander for Kamuda Parish was appointed on 4th/7/2007 under DSC Min. No. 35/2007, deployed on 4th/7/2007 and accessed November 2024 payroll IPPS No. 830891

5. Okiror John Michael for Mugenya Parish was appointed on 27th/7/2005 under DSC Min. No. 122/2004, deployed on 27th/7/2005 and accessed November 2024 payroll IPPS No. 830872

6. Otuba Paul for Achuna Parish was appointed on 27th/7/2005 under DSC Min. No. 122/2004, deployed on 27th/7/2005 and accessed November 2024 payroll IPPS No. 830866

7. Adungo Paul Town Agent for Central Ward Turbor Town Council was appointed on 12th/11/2020 under DSC Min. No. 96/10/2020 deployed on 12th/11/2020 and accessed November 2024 payroll IPPS No. 1077034

8. Elebu Charles Town Agent for Orieta Ward in Tubur Town Council was appointed on 1st /8/2006 under DSC Min. No. 59 (a) 2006, deployed on 1st/8/2006 and accessed November 2024 payroll IPPS No. 830884

9. Adiolo Norah Lucy Town Agent for Ogolai Ward in Tubur Town Council was appointed on 2nd/11/2021 under DSC Min. No. 84/10/2021 (5), deployed on 2nd /11/2021 and accessed November 2024 payroll IPPS No. 1142028

10. Arotin Angella for Katine Parish was appointed on 2nd/11/2021 under DSC Min. No. 84/10/2021 (1), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142022

11. Ewalu Samuel Peter Parish Chief for Obulei Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (12), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 114202

12. Obore Catherine for Gwetom Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (18), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142024

13. Apiny Ann Immaculate for Aminit Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (14), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1141941

14. Alobo Naume for Ojama Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (22), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1141982

15. Akwam Emmanuel for Asuret Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (15), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142019

16. Acham Hellen for Mukura Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (10), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142006

17. Okango John Levi for Damasiko Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (7), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1141939

18. Agaya Beatrice for Takaramiam Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (23), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142002

19. Eyami Julius for Adacar Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (6), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142026

20. Ekoyu Solomon for Obulei Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (9), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142018

21. Etilu David for Abelet Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (8), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142031

22. Ewalu Robert for Abango Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (25), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 439302

23. Otim Patrick for Adamasiko Parish was appointed on 6th/5/2015 under DSC Min. No. 21/04/2015 (6) (12), deployed on 6TH/5/2015 and accessed November 2024 payroll IPPS No. 959738

24. Acham Christine for Ocomai Parish was appointed on 27th/8/2009 under DSC Min. No. 28/2009, deployed on 27th/8/2009 and accessed November 2024 payroll IPPS No. 844346

25. Enyede Joseph for Awaliwal Parish was appointed on 12th/11/2020 under DSC Min. No.96/10/2020 (1), deployed on 12th/11/2020 and accessed November

2024 payroll IPPS No. 1077035

26. Esegu Patrick Isaac (Parish Chief on interdiction) was appointed on 12th/11/2020 under DSC Min. No.96/10/2020 (8), deployed on 12th/11/2020 and accessed November 2024 payroll IPPS No. 1054125

27. Auto Sipola for Dokolo Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (5), deployed on 12th/11/2020 and accessed November 2024 payroll IPPS No. 1077038

28. Apolot Eveelyne for Lalle Parish was appointed on 12th/11/2020 under DSC Min. No.84/10/2021 (11), deployed on 12nd/11/2020 and accessed November 2024 payroll IPPS No. 1077039

29. Icaku Agnes Town Agent for Tubur Ward in Tubur Town Council was appointed on 27th/7/2005 under DSC Min. No. 122/2004, deployed on 27th/7/2005 and accessed November 2024 payroll IPPS No. 858488

30. Eyadu Samuel for Awoja Parish was appointed on 27th/7/2005 under DSC Min. No.122/2004, deployed on 27th/7/2005 and accessed November 2024 payroll IPPS No. 844338

31. Onyom Jamdes William for Ocokican Parish was appointed on 27th/7/2005 under DSC Min. No. 122/2004, deployed on 27th/7/2005 and accessed November 2024 payroll IPPS No. 858490

32. Egiru Enos Enock for Telamot Parish was appointed on 27th/7/2005 under DSC Min. No.122/2004, deployed on 27th/7/2005 and accessed November 2024 payroll IPPS No. 830871

33. Ageru John for Agirigiroi Parish was appointed on 27th/7/2005 under DSC Min. No. 122/2004, deployed on 27th/7/2005 and accessed November 2024 payroll IPPS No. 844339

34. Otekat Richard for Agora Parish was appointed on 27th/8/2009 under DSC Min. No.27/2009 deployed on 27th/8/2009 and accessed November 2024 payroll IPPS No. 830908

35. Omagor John or Olegei Parish was appointed on 27th/7/2005 under DSC Min. No.122/2004, deployed on 27th/7/2005 and accessed November 2024 payroll IPPS No. 839027

36. Otera Johnson for Odina Parish was appointed on 12th/11/2020 under DSC Min. No.96/10/2020 (2), deployed on 12th/11/2020 and accessed November 2024 payroll IPPS No. 1077033

37. Ecidu Emmanuel for Ogwolo Parish was appointed on 12th/11/2020 under DSC Min. No.96/10/2020 (10), deployed on 12th/11/2020 and accessed November 2024 payroll IPPS No. 1077036

38. Arongat Babra for Abari Parish was appointed on 12th/11/2020 under DSC Min. No.96/10/2020 (6), deployed on 12th/11/2020 and accessed November 2024 payroll IPPS No. 1077037

39. Akope Richard Maurice for Acaboi Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (11), deployed on 2nd/11/20201 and accessed November 2024 payroll IPPS No. 1141954

40. Ingwau Agnes for Oregia Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (21), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142009

41. Emau Richard Jeshope for Oculoi Parish was appointed on 2nd/11/2021 under DSC Min. No.85/10/2021 (21), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 393697

42. Edwan Isaac for Samuk Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (17), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1135222

43. Atuto Shaney Vicky for Kamuda Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (3), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142027

44. Agwang Eunice for Ojom Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (4), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142477

45. Okiror Michael for Olwelai Parish was appointed on 2nd/11/2021 under DSC Min. No.86/10/2021 (1), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 343999

46. Obwangor Stephen for Omodoi Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (16), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142023

47. Ocen James Etwomu for Ralaet Parish was appointed on 27th/7/2005 under DSC Min. No.122/2004, deployed on 27th/7/2005 and accessed November 2024 payroll IPPS No. 844332

48. Akiriat Stella for Obulei Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (2), deployed on 2nd/11/2021 and accessed November

				2024 payroll IPPS No. 1037484 49. Okwadi Samuel Peter for Arabaka Parish was appointed on 12th/11/2020 under DSC Min. No. 102/10/2020 (2.1), deployed on 12th/11/2020 and accessed November 2024 payroll IPPS No. 824425 50. Ainya Stella for Osuguro Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (19), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142025 51. Odukatum Polycarp for Opucet Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (13), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1141998 52. Aramo Scovia for Omulala Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (24), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142440 53. Acen Sabel for Aukot Parish was appointed on 2nd/11/2021 under DSC Min. No.84/10/2021 (20), deployed on 2nd/11/2021 and accessed November 2024 payroll IPPS No. 1142447.
5	Evidence that the LG has substantively filled, deployed and ensured that the staff in all critical staff positions access the payroll i. Principal Procurement Officer ii. Principal Human Resource Officer iii. Principal Human Resource Officer (Secretary DSC) iv. Principal Environment Officer v. Principal Internal Auditor vi. Principal Inspector of School vii. Senior Labour Officer viii. Division Town Clerk ix. Principal Town Agents	From the Principal Human resource officer obtain and review: (i) the approved customized structure of the LG; (ii) the staff list and (iii) personnel files to establish existence of: Appointment letters for all critical staff Review the payroll to establish that the recruited staff accessed the most recent payroll. If 100% of the above positions are filled score 2 or else score 0		

5	Evidence that the LG has substantively filled, deployed and ensured that the staff in all critical staff positions access the payroll. i. Senior Procurement Officer ii. Principal Human Resource Officer iii. Senior Physical Planner iv. Senior Internal Auditor v. Senior Inspector of Schools vi. Labour Officer vii. Principal Assistant Town Clerks viii. Town Agents	From the Principal Human resource officer obtain and review: (i) the approved customized structure of the LG; (ii) the staff list and (iii) personnel files to establish existence of: Appointment letters for all critical staff Review the payroll to establish that the recruited staff accessed the most recent payroll. If 100% of the above positions are filled score 2 or else score 0		

Planning and budgeting

6	Evidence that the LG conducted and used results of site reconnaissance and technical investigations (where required) to prepare responsive tender documents for all infrastructure projects; conduct environmental, social, health, and safety assessments, incorporate project ESMPs into bidding documents; and ensure work item quantities are derived from standard or customized drawings, and maintain cost estimates consistent with customized designs.	From the LG Engineer obtain and review: • Standard technical designs. • Site reconnaissance reports. • Technical investigation reports (e.g. geo-technical investigations if required) Obtain and check for: • Existence of customized designs • Existence of customized BoQs based on the designs. • Incorporation of Cost Estimates. • Incorporation of costed ESMPs From the LG Community Development Officer /DNRO/SEO obtain and check for: • ESHS Assessment Reports (Project Briefs, ESIA, Screening	**There was evidence of site reconnaissance reports for some of the projects. However, there were those that complied.** **Soro 930/Wrks/2023-2024/00006** **Construction of 2 in 1 teachers house at Olabai P/s**. However, there were designs by the ministry of education that were adopted from which BoQs were derived. Element No.5 item B W1 window size of 1.2x1.5m Element No.6 metallic doors 2 in number of 1.2x2.4m Element No.9 Gauge 26 preprinted iron sheets The above items were found in the BoQ in correspondence with the design. **Soro 930/Wrks/2023-2024/00022** **Construction of a 5-Stance latrine at Ojama-Katine P/s** The project also had designs layout adopted from the ministry of education with a few modifications in doors and roof; it was from these designs that the BoQ was developed and below elements were found corresponding from the design and the BoQ.	**0**

reports) to determine whether they were undertaken timely

• ESMPs for projects (At least 3 projects)

Check and verify if the LG conducted and used the results of the reconnaissance and/or technical investigations (where required) to:

i. Prepare tender documents/BoQs for all infrastructure projects that are responsive to the standard drawings and/or customized technical designs (before advertising);

ii. Ensure that the requisite Environment ESHS assessments have been undertaken (before preparing BoQs) (Screening for all projects, Project Briefs and Environmental Social Impact Assessment where applicable)

iii. Ensure that the environmental, social, health and safety requirements and measures identified in the project ESMPs were adequately incorporated in the schedule of requirements and specifications of the bidding documents

iv. Ensure the quantities of work items and specifications included in the BoQs are derived from the standard or customized drawings and make no omissions

v. Ensure that the cost estimates are consistent with the customized designs.

If the LG has met (i) to (v) score 6 or else 0

Element No. F

Item E: Galvanized gauge 28 preprinted iron sheets

Element G.

Item A: 900x2400mm doors.

Item F: 2 Handrail for the PWD

MoES Soro/UgIFT/Wrks/2023-2024/00001

Dakala Seed secondary school.

The works included construction of the a 2-Unit ICT Library, 2-Unit science laboratory, two blocks of 5-stance lined pit latrine and one block of 2-stance pit latrine. This Project’s geotechnical investigations, designs and BoQs were developed by the MoES.

From the review of the designs and the BoQ, the following items were found to be corresponding.

Bill No. 4 2-Stance pit latrine, doors were found to be 0.8x2.1m two in number in both the BoQ and the designs.

Soro 930/Wrks/2023-2024/00007

Construction of a 5-Stance latrine at Awoja P/s

The project also had designs layout adopted from the ministry of education with a few modifications in doors and roof; it was from these designs that the BoQ was developed and below elements were found corresponding from the design and the BoQ.

Element No. F

Item E: Galvanized gauge 28 preprinted iron sheets

Element G.

Item A: 900x2400mm doors.

Item F: 2 Handrail for the PWD

Soro 930/Wrks/2023-2024/00024

Construction of a 2-Stance latrine at Abeko P/s

The project also had designs layout adopted from the ministry of education with a few modifications in doors and roof; it was from these designs that the BoQ was developed and below elements were found corresponding from the design and the BoQ.

Element No. 2

Item d: Galvanized gauge 28 preprinted

iron sheets

Element 4.

Item A: 850x2100mm door shutter.

Item F: 2 Handrail for the PWD

Soro 930/Wrks/2023-2024/00023

Construction of 2 blocks of 2-Stance latrine for teachers at Oculoi P/s

The project also had designs layout adopted from the ministry of education with a few modifications in doors and roof; it was from these designs that the BoQ was developed and below elements were found corresponding from the design and the BoQ.

Element No. 2

Item d: Galvanized gauge 28 preprinted iron sheets

Element 4.

Item A: 850x2100mm door shutter.

Item F: 2 Handrail for the PWD

Soro 553/Wrks/2023-2024/00005

Construction of OPD in Ojom HCII.

The project also had designs layout adopted from the ministry of Health and it was from these designs that the BoQ was developed and below elements were found corresponding from the design and the BoQ.

Element No. 2 Roof

Item 2.15: Galvanized gauge 28 preprinted iron sheets

Element 4. Windows

Item 4.04: W1 1.2x1.5m window sizes.

Soro 930/Wrks/2023-2024/00010

Construction of a walkway in Tinil HCIV in Katine subcounty.

The project was designed by the LG Engineer and these designs were used to formulate the BoQs.

Item. 2.08 Roofing works and gauge 28 sheets were seen in both the BoQ and the design.

Below were the projects that had reconnaissance/Condition assessment or technical reports.

Soro 553/Wrks/2023-2024/00002

Siting, Design and installation of 16 boreholes.

The contractor signed the contract agreement to do Siting, drilling and installation, before the survey data was obtained, after signing the contract, a detailed report was produced entailing showing the estimated drilling depth and possible ground water potential, and the volume discharge per hour, the drilling depth data was well represented on in the BoQs. i.e. Bill No. 1, 1.7 shows hydrological surveys. 2.3 to 2.33 drilling through different depths of the soil was evidenced in the BoQ.

Soro 553/Wrks/2023-2024/00008

Siting, drilling and Partial installation of a Production well in Amwolatar Kanaua Subcounty.

The contractor signed the contract agreement to do Siting, drilling and installation, before the survey data was obtained, after signing the contract, a detailed report was produced entailing showing the estimated drilling depth and possible ground water potential, and the volume discharge per hour, the drilling depth data was well represented on in the BoQs. i.e. Bill No. 1, 1.7 shows hydrological surveys. 2.3 to 2.33 drilling through different depths of the soil was evidenced in the BoQ.

Phase 1 Construction of a piped water system in Asuret(Fencing and pumphouse)

Soro 553/Wrks/2023-2024/00009

This phase involved construction of a fence and a pump house. However, there were no reconnaissance reports availed but there were designs from which BoQs were developed. From the review of the designs, the BoQ showed a pump house door to be 900x2.400mm which deviated from the door on the technical design which was 1.8mx2.4.

Microscale irrigation sub-program.

Supply and installation of microscale irrigation systems.

Soro 553/Wrks/2023-2024/0000

After the expression of interests of different farmers were received, the DTPC made farm visits, and assessed interests of different farmers which were recorded by the Irri track users. Information gathered included;

• Land acreage

• Water source

• Power source

• On farm distribution system

• Tank or reservoir size

an approximated reserve cost was generated. This report formed the basis of developing the tender documents that were given to prequalified MAAIF suppliers to quote their corresponding prices for the works. Together with the existence of customized designs, the BoQs were developed.

Works and Transport sub-program

Mechanized rehabilitation of;

Katine-Olwelai-Angai-Olegei Road 19.4Kms.

Alauto-Ipolok-Takaramiam 6Km

Kamuda-Lalle-Ocokcan-Omodoi 18.2Km

Soroti-Dokolo-Obule 11.5Km

Asuret -Amogoro 15.7Km

These roads are class 3, 4.5m wide carriage width. Condition assessment reports were done by the LG Engineers who gave a remark in the report that the roads were in a bad condition and recommended the suitable construction materials to buy from the suppliers i.e. Culverts, sand, aggregates and marram were seen in the material schedules developed by the LG Engineer.

Production sub-program

There was no project in production in 2023

Environment and social management

6(ii)

There was evidence for the screening of projects to ascertain the magnitude of impacts resulting from project implementation that informed the formulation of costed ESMPs as indicated below.

Screening for education projects

The screening form for the construction of Dakabela SEED secondary school phase-1 in Arapai sub-county was conducted by the SEO & DCDO as per the screening form dated 7th/07/2023.

The screening form for the construction of a twin staff house kitchen and latrine at Olobai Primary School in Kamuda sub-county was conducted by the SEO & DCDO as per the screening form dated 3rd/07/2023.

The screening form for the construction of

1 block of 2 stances of lined pit latrine at Abeko primary school in Tubur sub-county as per the screening form dated 7th/07/2023 authored by the SEO & DCDO.

The screening form for the construction of 1 block of 2 stances of lined pit latrine at Oculoi primary school in Oculoi sub-county as per the screening form dated 5th/07/2023 authored by the SEO & DCDO.

The screening form for the construction of 1 block of 5 stances of lined pit latrine at Ojom - Katine primary school in Katine sub-county as per the screening form dated 6th/07/2023 authored by the SEO & DCDO.

Screening for Health projects.

The screening form for the construction of a twin staff house at Asuret HC III in Asuret sub-county as per the screening form dated 7th/07/2023 authored by the SEO & DCDO.

The screening form for the construction of walkways at Tirir HC IV in Katine sub-county as per the screening form dated 10th/07/2023 authored by the SEO & DCDO.

The screening form for the construction of an OPD ward at Ojom HC II in Oculoi sub-county as per the screening form dated 14th/07/2023 authored by the SEO & DCDO.

Screening for MSI projects

The screening forms for the installation of MSI equipment at;

(a). Obale Alex’s farm in Moruapesur village, Gweri sub-county as per the screening form dated 8th/02/2024.

(b). Omusei Richard’s farm in Awgako village, Gweri sub-county as per the screening form dated 26th/02/2024.

(c). Eyudu Benjamin's farm in Awidiang village in Oculoi sub-county as per the screening form dated 13th/02/2024.

(d). Okello Simon’s farm in Otatai village in Asuret sub-county as per the screening form dated 20th/02/2024.

(e). Acaloi Jane Frances’ farm in Acam village, Oculoi sub-county as per the screening form dated 14th/02/2024.

(f). Erecho Michael’s farm in Omoce village, Tubur sub-county as per the screening form dated 1st/03/2024.

(g). Ogwang Anthony’s farm in Ausia village, Awaliwal sub-county as per the screening form dated 4th/03/2024.

(h). Opio Stephen’s farm in Agora village,

Oculoi sub-county as per the screening form dated 12th/02/2024 authored by the SEO and DCDO.

(I). The screening form for installation of MSI equipment at Otim Balazio's demonstration farm in Onyamai village, Gweri sub-county as per the screening form dated 29th/02/2024 authored by SEO and DCDO. Costed ESMP of UGX.50,000 per project site.

Screening for Water project

The screening form for the drilling, casting and installation of production wells at Amolatar village in Kamuda sub-county was authored on 8th/08/2023.

The screening form for the drilling, casting and installation of deep well borehole at;

a). Oloco village, Arapai sub-county authored on 20th/07/2023

b). Opelepel village, Asuret sub-county authored on 25th/07/2023

c). Telamot village, Awaliwal sub-county authored on 26th/07/2023

d). Obule village, Ocokican sub-county authored on 27th/07/2023

e). Agule-maido village, Kamuda sub-county authored on 7th/08/2023

f). Alilioi village, Arapai sub-county authored on 31st/07/2023

g). Kelim-A village, Tubur sub-county authored on 2nd/08/2023

h). Angaro village, Arapai sub-county authored on 1st/08/2023

I). Ongoratok village, Kamuda sub-county authored on 17th/07/2023

j). Ogur village, Oculoi sub-county authored on 18th/07/2023

k). Ahere village, Lale sub-county authored on 19th/07/2023

l). Asinai village, Ocokican sub-county authored on 4th/08/2023

m). Aputon village, Katine sub-county authored on 3rd/08/2023

n). Okole village, Aukot sub-county authored on 24th/07/2023

o). Abilipin village, Katine sub-county authored on 21st/07/2023

p). Anyalai village, Tuur sub-county authored on 28th/07/2023 by the SEO and DCDO. Costed ESMP of UGX.300,000 per project site.

Screening for projects under works

The screening for the construction of a low-cost sealing Tubur town council 0.9km road from earth gravel to bituminous surface as per the form dated 2nd/08/2023 authored by the SEO and DCDO and Costed ESMP of UGX.800,000.

Screening forms for the routine mechanized maintenance of earth gravel roads;

1. Soroti - Dokolo - Obule road as per the screening form dated 23rd/02/2024 authored by the SEO and DCDO. Costed ESMP of UGX.850,000.

2. Alautu - Ipolok - Tarakamiam road as per the screening form dated 23rd/02/2024 authored by the SEO and DCDO. Costed ESMP of UGX.850,000.

3. Kamuda - Lale Road as per the screening form dated 23rd/02/2024 authored by the SEO and DCDO. Costed ESMP of UGX.850,000.

4. Ocokican - Omodoi road as per the screening form dated 4th/01/2024 authored by the SEO and DCDO. Costed ESMP of UGX.850,000.

5. Katine - Olwelai - Angai - Olegei road as per the screening form dated 4th/01/2024 authored by the SEO and DCDO. Costed ESMP of UGX.850,000.

6(iii).

Education projects

There was evidence of the costed ESMP of UGX: 1,500,000 for the construction of Dakabela SEED secondary school phase-1. The BoQ had **evidence of incorporating** ESHS mitigation measures under bill no 1: preliminaries and general conditions, item A-S: Environmental and social safeguards costed at UGX. 17,650,000.

contractor: M/S Arcadian Construction & Alps Groups Joint Venture. Contract ref No. MoES-SOR/UGIFT/WRKS/2023-24/00001.

Costed ESMP of UGX. 1,500,000 for the construction of a twin staff house at Olobai Primary School. There **was no for the incorporation** of E&S mitigation into the BoQ. Contract Ref No. SORO/930/WRKS/2023-24/00006. Contractor: M/S Msahada Construction & Supplies Ltd

Costed ESMP of UGX. 1,100,000 for the construction of 1 block of 2 stances of lined pit latrine at Oculoi primary school. **No evidence** for the incorporation of ESHS mitigation measures into the BoQ.

Contract Ref No. SORO/930/WRKS/2023-24/00023. Contractor: M/S Ebaca Enterprises Ltd.

Costed ESMP of UGX. 1,100,000 for the construction of 1 block of 2 stances of lined pit latrine at Abeko primary school. There was **evidence for the incorporation** of ESHS mitigation measures into the BoQ under element 7: Environmental Protection and restoration, items A-B costed at UGX. 400,000

Contract Ref No. SORO/930/WRKS/2023-24/00024. Contractor: M/S Kassam Service Centre Ltd.

Costed ESMP of UGX. 400,000 for the construction of 1 block of 5 stances of lined pit latrine at Awoja primary school. There **was evidence** for the incorporation of ESHS mitigation measures into the BoQ under element A: preliminaries and general items, item B: Allow funds for environmental mitigation, plant 10 trees costed at UGX. 600,000.

Contract Ref No. SORO/930/WRKS/2023-24/00007. Contractor: M/S Fesa Harvest Ltd.

Costed ESMP of UGX. 400,000 for the construction of 1 block of 5 stances of lined pit latrine at Ojama-Katine primary school. There **was evidence** for the incorporation of ESHS mitigation measures into the BoQ under element A: preliminaries and general items, item B: Allow funds for environmental mitigation, plant 10 trees costed at UGX. 600,000. Contract Ref No. SORO/930/WRKS/2023-24/00022. Contractor: M/S Inofit Investment Ltd.

Health project.

Costed ESMP of UGX. 1,700,000 for the construction of an OPD block at Ojom HC II, there **was no evidence** for the incorporation of ESHS mitigation measures into the BoQ. Contract Ref No. SORO/930/WRKS/2023-24/00005. Contractor: M/S Oped contractors & suppliers Company Ltd.

Costed ESMP of UGX. 1,100,000 for the construction of walkways at Tiriri HC IV, **there was evidence** for the incorporation of ESHS mitigation measures into the BoQ under Element 4: Environmental Protection and restoration, item 4.01: plant, protect and nature throughout contract costed at UGX. 480,000.

Contract Ref No. SORO/930/WRKS/2023-24/00010. Contractor: M/S Sure Friends Civil and Agro input Consultant Ltd.

Water projects

Costed ESMP of UGX. 300,000 per site for

the drilling, casting and installation of 12 deep well boreholes. There **was evidence** for the incorporation of the ESHS into the BoQ as seen under Bill 4: Crosscutting issues, items 4.1 -4.2 planting of 10 evergreen trees as recommended, 20 orange seedlings waste management, planting of grass cover costed at UGX.120,000. Under contract Ref. NO. SORO/930/WRKS/2023-2024/00002. Contractor: M/S Skylight Africa Ltd.

Costed ESMP of UGX. 300,000 for the drilling, casting and installation of the production well at Amwolatar. There **was evidence** for incorporating ESHS mitigation measures into the BoQ under Bill 4: Crosscutting issues, items 4.1 -4.2 planting of 10 evergreen trees as recommended, 20 orange seedlings waste management, planting of grass cover costed at UGX.160,000. Under contract Ref. NO. SORO/930/WRKS/2023-2024/00002. Contractor: M/S Skylight Africa Ltd.

MSI Projects

Costed ESMP of UGX.50,000 for the installation of micro-scale irrigation equipment at Otim Balazio's demonstration farm. There **was evidence** for the incorporation of ESHS mitigation measures into the BoQ under Bill 1: preliminary works, item 27 environmental protection: Allow for soil and water conservation and social safeguards costed at UGX.995,000. Under contract Ref. No. SORO/930/WRKS/2023-2024/00032. Contractors: M/S Itunga General Enterprises (U) Ltd.

Costed ESMP of UGX.50,000 for the installation of micro-scale irrigation equipment at 4 farm sites for Lot-1. There **was evidence** for the incorporation of ESHS mitigation measures into the BoQ under Bill 4: preliminary works, item 4.2 environmental protection: Allow for soil and water conservation and social safeguards costed at UGX.1,000,000. Under contract Ref. No. SORO/930/WRKS/2023-2024/00025. Contractors: M/S Hizmet Services Ltd.

Costed ESMP of UGX.50,000 for the installation of micro-scale irrigation equipment at 4 farm sites for Lot-1. There **was evidence** for the incorporation of ESHS mitigation measures into the BoQ under Bill 4: preliminary works, item 4.2 environmental protection: Allow for soil and water conservation and social safeguards costed at UGX.1,000,000. Under contract Ref. No. SORO/930/WRKS/2023-2024/00025. Contractors: M/S Hizmet Services Ltd.

Costed ESMP of UGX.50,000 for the

			installation of micro-scale irrigation equipment at 4 farm sites for Lot-3. There **was evidence** for the incorporation of ESHS mitigation measures into the BoQ under Bill 4: preliminary works, item 4.2 environmental protection: Allow for soil and water conservation and social safeguards costed at UGX. 500,000. Under contract Ref. No. SORO/930/WRKS/2023-2024/00027. Contractors: M/S Sime Contractors Ltd. **Works projects**. Costed ESMP of UGX.800,000 for the construction of a low-cost sealing 0.9km road Tubur town council from earth gravel to bituminous surface. Note: The project was under State House Anti Corruption Unit investigation.	
Procurement				
7	Evidence that the LG maintained a complete project file for each infrastructure project implemented in the previous FY. The procurement file should have and adhere to standards on the following: (or as amended to the PPDA guidelines on procurement records 2024)	From the PDU, Procurement Officer obtain the procurement file to determine the existence of the documents below; i. Contracts Committee Composition. The Contracts Committee must be formally and properly constituted. ii. Approved Procurement Plan; iii. Initiation of procurement iv. Contracts Committee approval of the procurement method, bidding document, evaluation committee and shortlist of providers where applicable; v. Bidding document and any amendments or clarifications vi. Copy of the published advertisement of shortlist vii. Record of issuance of bidding document viii. Record of receipt of bids ix. Record of opening of bids	The LG implemented a total number of 13 projects procured for implementation in the FY 2023/2024 as listed below with the required PPDA documents. **All project files had a contracts committee composition formally appointed by the accounting officer as below** **• Mr Wakwesa Emmanuel was appointed on 13th February 2024 as chairperson** **• Mr.Olobo Tom Vincent appointed on 13th February 2024 as a member** **• Mr. Okello Moses Echeku was appointed on 5th December 2023 as a member** **• Ms .Naikoba Rebecca was appointed on 19th January 2024 as a member** **• Ms.Icimu Loyce Mildred was appointed on 5th December 2023 as a member** From the procurement plan dated 31st July 2023 ,the following projects were identified. **Construction of Asuret Rural Growth Centre pipe water supply and sanitation system-phase one (REF NO. SORO553/WRKS/2023-2024/00009)** • A PP Form1 was submitted by the civil water officer (Orieko Aloy) on the 27th July 2023 for Ugx 49,499,998 . • Evidence of contracts committee minutes approval of bidding documents and method of procurement under minute: MIN:944/CC/08/2023-2024 on the 10th August 2023	**2**

x. Copies of bids received xi. Evaluation meetings and evaluation report xii. Notice of best evaluated bidder xiii. Submission of contract to the Solicitor General for clearance where applicable xiv. Approval by Solicitor General where applicable xv. Contract and amendments thereto as per format/requirement including Contractor's ESMP xvi. Contract Committee minutes relating to the procurement xvii. Correspondences between the procuring and disposing entity and the bidder(s) xviii. Evidence of resolution of grievance or complaints (if any) Score 2 if all documents are available otherwise score 0 if incomplete.	• There was evidence of a bidding document submitted on the 18th August 2023 but with no amendments . • An invitation to bid was placed on the 14th August 2023 under item number 8 • A record of issuance was made on the 14th August 2023 • A record of receipt of bids was on the 1st September 2023 • A record of bid opening was on 1st September 2023 • There were 3 bid copies submitted for each respective bidder • An evaluation meeting and report to award the contract was on the 4th December 2023 • The notice of best evaluated bidder was displayed on the 21st December 2023 to Panu General Services for a contract sum of Ugx 47,632,770 • There were no submissions for clearance form solicitor general since project was below threshold • A contract committee minute number that awarded the project was Min:987/CC/04/2023-2024(b) on the 9th April 2024 • There were no amendment's in the contract and the contract was signed on the 30th April 2024 • There was an award letter to the contractor dated 26th April 2024 and an acceptance letter by the contractor on the 29th April 2024 • There were no grievances or complaints during the procurement process. **Phase IV construction of Adamasiko water supply scheme (REF NO:SORO 553/WRKS/2023-2024/00001)** • A PP Form1 was submitted by the water officer (Orieko Aloy) for an estimate of Ugx 149,366,229 • Evidence of contracts committee minutes approval of bidding documents and method of procurement under minute: MIN:944/CC/08/2023-2024 on the 10th August 2023 • Bidding document submitted on the 31st January 2024 but with no amendments . • An invitation to bid was published in the newspapers on the 3rd January 2024 • A record of issuance was on 3rd January 2024

• A record of receipt of bids was on the 31st January 2024

• A record of bid opening was on 31st January 2024

• There were 3 bid copies submitted for each respective bidder

• An evaluation meeting and report to award the contract was on the 5th April 2024

• The notice of best evaluated bidder was displayed on the 26th April 2024 to Water fix Engineering (U)Limited for Ugx 164,908,515

• There were no submissions for clearance form solicitor general since project was below threshold

• There were no amendment’s in the contract agreement signed on the 30th April 2024

• Contact committee minute that awarded the contract was Min:994/CC/04/2023-2024(a) on the 23rd April 2024

• There was an award letter to the contractor dated 26th April 2024 .

• There were no grievances or complaints during the procurement process.

Siting ,drilling and installation of 16 boreholes (REF :SORO553/WRKS/2023-2024/00002)

• A PP Form1 was submitted on 27th July 2023 for an estimate of Ugx 400,000,000

• Evidence of contracts committee minutes approval of bidding documents and method of procurement under minute: MIN:944/CC/08/2023-2024 on the 10th August 2023

• Bidding document submitted on the 1st September 2023 but with no amendments

• The advert date on which the project was publicized for bidding was not seen

• A record of issuance was on 14th August 2023

• A record of receipt of bids was on the 1st September 2023

• A record of bid opening was on 1st September 2023

• There were 3 bid copies submitted for each respective bidder

• An evaluation meeting and report to award the contract was on the 4th December 2023

• The notice of best evaluated bidder was

displayed on the 21st December 2023 to Skylight Africa Limited for Ugx 382,147,248

• There was a submission for clearance on the 26th April 2024

• A clearance letter approved by Spiroza Achom for Solicitor general was on the 26th April 2024

• There were no amendment’s in the contract agreement signed on the 15th May 2024

• Contact committee minute that awarded the contract was Min:987/CC/04/2023-2024(C) on the 9th April 2024

• There was an award letter by the LG to the contractor on the 14th May 2024 .

• There were no grievances or complaints during the procurement process.

Siting ,drilling of a production well in Amwolator (REF NO:SORO553/WRKS/2023-2024/00008)

• A PP Form1 was submitted on 27th July 2023 for an estimate of Ugx 35,000,000

• Evidence of contracts committee minutes approval of bidding documents and method of procurement under minute: MIN:944/CC/08/2023-2024 on the 10th August 2023

• Bidding document submitted on the 18th January 2024 but with no amendments .

• An advert that was published on the 14th August 2023,however the bidders did not pass through and re-advertised on the 3rd January 2024 item no.3

• A record of issuance was on 14th September 2023

• A record of receipt of bids was on the 1st September 2023

• A record of bid opening was on 1st September 2023

• There were 3 bid copies submitted for each respective bidder

• An evaluation meeting and report to award the contract was on the 5th April 2024

• The notice of best evaluated bidder was displayed on the 26th April 2024 to Skylight Africa Limited for Ugx 32,992,092

• There were no submissions for clearance form solicitor general since project was below threshold

• There were no amendment’s in the

contract agreement signed on the 13th May 2024

• Contact committee minute that awarded the contract were not on file

• There was no evidence for responses such as an award letter, acceptance letter on the project procurement file

• There were no grievances or complaints during the procurement process.

Construction of 2 stance line pit latrine in Abeko primary school (REF NO:SORO553/WRKS/2023-2024/00024)

• A PP Form1 was submitted on the 2nd April 2024 for an estimate of Ugx 12,000,000

• Evidence of contracts committee minutes approval of bidding documents and method of procurement under minute: MIN:944/CC/08/2023-2024 on the 10th August 2023

• Bidding document submitted on the 26th April 2024 but with no amendments .

• An invitation to bid for the project was sent to 6 service providers on the 19th January 2024

• A record of issuance was on 19th April 2024

• A record of receipt of bids was on the 26th April 2024

• A record of bid opening was on 26th April 2024

• There were 3 bid copies submitted for each respective bidder

• An evaluation meeting and report to award the contract was on the 14th May 2024

• The notice of best evaluated bidder was displayed on the 15th May 2024 to Kassam Service Centre for Ugx 22,056,088

• There were no submissions for clearance form solicitor general since project was below threshold

• There were no amendment’s in the contract agreement signed on the 22nd May 2024

• Contact committee minute that awarded the contract was Min:1006/CCC/05/2023-2024(C) on the 15th May 2024

• There was an award letter dated 22nd May 2024 and an acceptance letter on 22nd May 2024

• There were no grievances or complaints during the procurement process.

Construction of a 2 in 1 teaches house at Olobai primary school (REF NO:SORO5531/WRKS/2023-2024/00006)

• A PP Form1 was submitted on 24th July 2023 for an estimate of Ugx 110,000,000

• Evidence of contracts committee minutes approval of bidding documents and method of procurement under minute: MIN:944/CC/08/2023-2024 on the 10th August 2023

• Bidding document submitted on the 1st September 2023 but with no amendments .

• An advert was published on the 14th August 2023 under item 6 in the newspapers.

• A record of issuance was on 8th January 2023

• A record of receipt of bids was on the 1st September 2023

• A record of bid opening was on 1st September 2023

• There were 3 bid copies submitted for each respective bidder

• An evaluation meeting and report to award the contract was on the 4th December 2023

• The notice of best evaluated bidder was displayed on the 21st December 2023 to Msahada Construction Limited for Ugx 108,337,570.

• There were no submissions for clearance form solicitor general since project was below threshold

• There were no amendment’s in the contract agreement signed on the 15th January 2024

• Contact committee minute that awarded the contract was Min:961/CC/12/2023-2024(g) on the 13th December 2023

• There was an award letter dated 9th January 2024 and an acceptance letter 10th January 2024

• There were no grievances or complaints during the procurement process.

Construction of a 5 stance line pit latrine for girls at Ojama-Katine primary school (REF NO:SORO553/WRKS/2023-2024/00022)

• A PP Form1 was submitted on 2nd April

2024 for an estimate of Ugx 25,000,000

• Evidence of contracts committee minutes approval of bidding documents and method of procurement under minute: MIN:944/CC/08/2023-2024 on the 10th August 2023

• .

• Bidding document submitted on the 26th April 2024 but with no amendments .

• An invitation for to bid was displayed on the 19th April 2024 to 6 potential bidders to place quotations.

• A record of issuance was on 22nd April 2024

• A record of receipt of bids was on the 26th April 2024

• A record of bid opening was on 26th April 2024

• There were 3 bid copies submitted for each respective bidder

• An evaluation meeting and report to award the contract was on the 14th May 2024

• The notice of best evaluated bidder was displayed on the 15th May 2024 to Inofit Investment limited for Ugx 23,776,000

• There were no submissions for clearance form solicitor general since project was below threshold

• There were no amendment’s in the contract agreement signed on the 22nd May 2024

• Contact committee minute that awarded the contract was Min:1006/CC/05/2023-2024(a) on the15th January 2024

• There was an award letter dated 22nd May 2024 and an acceptance letter on 22nd may 2024

• There were no grievances or complaints during the procurement process.

Construction of a 5 stance line emptiable pit latrine for girls at Awoja primary school (REF NO:SORO 553 WORKS/2023-2024/00007)

• A PP Form1 was submitted on the 24th July 2023 for an estimate of Ugx 20,000,000

• Evidence of contracts committee minutes approval of bidding documents and method of procurement under minute: MIN:944/CC/08/2023-2024 on the 10th August 2023

• Bidding document submitted on the 19th April 2024 2024 but with no amendments .

• An invitation to bid was displayed on the 8th March 2024 to 6 potential bidders.

• A record of issuance was on 17th April 2024

• A record of receipt of bids was on the 19th April 2024

• A record of bid opening was on 19th January 2024

• There were 3 bid copies submitted for each respective bidder

• An evaluation meeting and report to award the contract was on the 29th April 2024

• The notice of best evaluated bidder was displayed on the 3rd May 2024 to Fesa Harvest Limited for Ugx 22,378,800

• There were no submissions for clearance form solicitor general since project was below threshold

• There were no amendment’s in the contract agreement signed on the 9th May 2024

• Contact committee minute that awarded the contract was Min:1000/CC/05/2023-2024(a) on the 3rd May 2024

• There was an award letter dated 9th May 2024 and an acceptance letter was not on file.

• There were no grievances or complaints during the procurement process.

Construction of 2 blocks of 2 stance lined pit latrine for Olulor primary school (REF NO:SORO 5531/WRKS/2023-2024/00013)

• A PP Form1 was submitted on the 2nd April 2024 for an estimate of Ugx 12,000,000

• Evidence of contracts committee minutes approval of bidding documents and method of procurement under minute: MIN:944/CC/08/2023-2024 on the 10th August 2023

• Bidding document submitted on the 26th April 2024 but with no amendments .

• An invitation for selective bidding placed on the 19th April 2024 to 6 potential bidders

• A record of issuance was on 23rd April 2024

• A record of receipt of bids was on the 26th April 2024

• A record of bid opening was on 26th April 2024

• There were 3 bid copies submitted for each respective bidder

• An evaluation meeting and report to award the contract was on the 14th May 2024

• The notice of best evaluated bidder was displayed on the 15th May 2024 to Ebaca Enterprises Limited for Ugx 23,498,000

• There were no submissions for clearance form solicitor general since project was below threshold

• There were no amendment’s in the contract agreement signed on the 22nd May 2024

• Contact committee minute that awarded the contract was Min:1006/CC/05/2023-2024(b) on the 15th May 2024

• There was an award letter dated 22nd May 2024 and an acceptance letter on 22nd May 2024

• There were no grievances or complaints during the procurement process.

Construction of Dakabela seed school (REF NO:MOES/UGIFT/553/WRKS/2023-2024/00001)

• A PP Form1 was submitted by the DEO on 30th October 2023 for an estimate of Ugx 800,000,000

• Evidence of contracts committee minutes approval of bidding documents and method of procurement under minute: MIN:944/CC/08/2023-2024 on the 10th August 2023

• Bidding document submitted on the 31st January 2024 but with no amendments .

• An advert published on the 3rd January 2024 in the newspapers

• A record of issuance was on 10th January 2024

• A record of receipt of bids was on the 31st January 2024

• A record of bid opening was on 31st January 2024

• There were 6 bid copies submitted for each respective bidder

• An evaluation meeting and report to award the contract was on the 5th March 2024

• The notice of best evaluated bidder was displayed on the 19th April 2024 to Arcadian Construction and Alps Group Joint Venture for Ugx 749,934,928

• There was a submission for clearance on the 4th May 2024

• A clearance from solicitor general was approved on the 13th June 2024

• There was an amendment in the contract agreement signed on the 4th June 2024 ,There was an addendum on the 26th June 2024 with the LG addition of a standard sports field worth 50,000,000 hence the contract sum was revised from Ugx 706,473,275 to Ugx 756,473,275 ,Min 1048/cc/06/2023-2024(d) approved the amendment on the 26th June 2024.

• Contact committee minute that awarded the contract was Min:997/CC/04/2023-2024 (a) on the 18th April 2024

• There was an award letter dated 31st May 2024 and an acceptance letter on 16th April 2024

• There were no grievances or complaints during the procurement process.

Construction of a walkway in Tiriri HCIV (REF NO.SORO 553 WRKS/2023-2024/00010)

• A PP Form1 was submitted on 25th July 2023 for an estimate of Ugx 41,972,654

• There was evidence of a bidding document submitted on 19th April 2024 but with no amendments .

• Evidence of contracts committee minutes approval of bidding documents and method of procurement under minute: MIN:944/CC/08/2023-2024 on the 10th August 2023

• An invitation to bid was published on the 8th April 2024

• A record of issuance was on the 16th April 2024

• A record of receipt of bids was on the 19th April 2024

• A record of bid opening was on 19th April 2024

• There were 3 bid copies submitted for each respective bidder

• An evaluation meeting and report to award the contract was on the 29th April 2024

• The notice of best evaluated bidder was displayed on the 3rd May 2024 with the contract awarded to Sure Friends Civil and

Agro Inputs Limited for Ugx 46,598,500

• The project did not require submission and approval from solicitor general since it was below threshold.

• There were no amendment's in the contract agreement signed on the 9th May 2024

• Contact committee minute that awarded the contract was Min:1000/CC/05/2023-2024(b) on the 3rd May 2024

• There was an award letter dated 6th May 2024 and an acceptance letter was not on file

• There were no complaints raised.

Construction of an OPD in Ojom HCII (REF NO.SORO553/WRKS/2023-2024/00005)

• A PP Form1 was submitted on the 25TH July 2023 by the DHO for Ugx 142,000,000

• Evidence of contracts committee minutes approval of bidding documents and method of procurement under minute: MIN:944/CC/08/2023-2024 on the 10th August 2023

• There was evidence of a bidding document dated 31st August 2023 but with no amendments .

• A copy of a published notice of advert on 14th August 2023 item 5 in the newspapers.

• A record of issuance was on 17th August 2023

• A record of receipt of bids was on the 31st August 2023

• A record of bid opening was on 1st September 2023

• There were 3 bid copies submitted for each respective bidder

• An evaluation meeting and report to award the contract was on the 4th December 2023.

• The notice of best evaluated bidder was displayed on the 21st December 2023 with the contract awarded to M/s Oped Contractors and suppliers company Limited for a contract sum of Ugx 162,675,980

• There was an amendment's in the contract agreement signed on the 21st February 2024,Through a negotiation for a meeting held on 6th February 2024 under MIN.6/Neg/02/2024 a way forward was concluded to reduce the contract sum to Ugx 141,904,440 and the scope was also reduced till more funds were released in

the next FY 2024/2025

• Contact committee minute that awarded the contract was Min:973/CC/02/2023-2024(i) on the 7th February 2024

• There was an award letter dated 14th February 2024 and an acceptance letter was not on file

• There were no grievances or complaints during the procurement process.

Design, supply and installation of solar powered irrigation system Lot 1 SORO950/WRKS/2023-2024/00035)

• A PP Form1 was submitted on 28th March 2024 for supply and installation at different farmer sites.

• Evidence of contracts committee minutes approval of bidding documents ,approval of evaluation committee members under MIN/995/CC/04/2023-2024(d) on 18th April 2024

• There was evidence of a bidding document submitted but with no amendments .

• A request for quotation was sent to the prequalified suppliers on 19th April 2024

• A record of issuance was on 23rd April 2024

• A record of receipt of bids was on 3rd May 2024

• A record of bid opening was on 3rd May 2024

• There were 3 bid copies submitted for each respective bidder

• An evaluation meeting was held and report approved to award the contract was on the 17th May 2024

• The successful bidder was Techsys Limited for an amount Ugx 86,132,500 on the 21st May 2024

• There was no submission for clearance from Solicitor since project was below threshold

• There were no amendment’s in the contract agreement signed on the 4th June 2024

• Contact committee minute that awarded the contract was Min:1019/CC/05/2023-2024(a) on the 31st May 2024

• There were no correspondences

• There were no grievances or complaints during the procurement stages.

• There were no grievances or complaints

			during the procurement process. • 2024 • An evaluation meeting and report to award the contract was on the 6th February 2024 • The notice of best evaluated bidder was displayed on the 9th February 2024 with the contract awarded to M/s Bos grace drilling company Limited for a contract sum of Ugx 43,925,000 • There was no submission for clearance since contract sum was below threshold • There were no amendment's in the contract agreement signed on the 5th March 2024 • Contact committee minute that awarded the contract was Min:CC/MIN/05/2023-2024/00041K on the 8th January 2024 • There was an award letter dated 7th March 2024 and an acceptance letter on the 7th March 2024 • There were no grievances or complaints during the procurement process.	
8	Evidence that the previous FY Procurement Plan included specific timelines for completing the outlined activities, and that the LG adhered to these established timelines.	From the PDU obtain the procurement plan and procurement files. • Review the timelines outlined in the Procurement Plan. • Review the procurement files to confirm the dates on which the specified activities were carried out and completed. Score 4 if the timelines were specified in the procurement plan and the LG adhered to these guideline otherwise score 0	The following are the timelines as per the procurement plan versus actual implementation . **• Construction of 2 in 1 teachers house at Olobai primary school** Invitation to bid was planned on the 10th October 2023 Vs actual on the 18th August 2023 , Bid closing was planned on the 24th October 2023 Vs actual on the 1st September 2023 , Evaluation report approval was planned on the 30th October 2023 Vs actual was on the 4th December 2023 , Award notification was planned on the 8th November 2023 Vs actual on the 9th January 2024 Contract signing was planned on the 10th November 2024 Vs actual was on the 15th January 2024 and Completion date was planned on the 30th Jan 2024 Vs actual completion date 31st May 2024(as in contract agreement) Not within time lines **• Construction of a 5 stance lined pit latrine at Awoja primary school** Invitation to bid was planned on the 10th October 2023 Vs actual on the 8th March 2024 , Bid closing was planned on the 24th August 2023 Vs actual on the 19th April 2024 , Evaluation report approval was planned on the 30th October 2023 Vs actual was on the 29th April 2024, Award notification was planned on the 8th November 2023 Vs actual was on the 9th	**0**

May 2024 Contract signing was planned on the 10th November 2023 Vs actual was on the 9th May 2024 and Completion date was planned on the 30th January 2024` Vs actual completion date unknown

• Construction of a walkway in Tiriri HCIV

Invitation to bid was planned on the 10th October 2023 Vs actual on the 12th April 2024, Bid closing was planned on the 24th October 2023 Vs actual on the 19th April 2024 , Evaluation report approval was planned on the 30th October 2023 Vs actual was on the 29th April 2024, Award notification was planned on the 8th November 2023 Vs actual was on the 6th May 2024 Contract signing was planned on the 10th November 2023 Vs actual was on the 9th May 2024 and Completion date was planned on the 30th Jan 2024 Vs actual completion date unknown

• Construction of OPD at Ojom HCII

Invitation to bid was planned on the 13TH July 2023 Vs actual on the 14th August 2023 Bid closing was planned on the 10th August 2023 Vs actual on the 1st September 2023 , Evaluation report approval was planned on the 18th August 2023 Vs actual was on the 4th December 2023 Award notification was planned on the 1st September 2023 Vs actual was on the (14th February 2024 Contract signing was planned on the 4th September 2023 Vs actual was on the 21st February 2024 and Completion date was planned on the 30th Jan 2024 Vs actual completion date unknown

• Construction of Dakabela seed school in Arapai sub county

Invitation to bid was planned on the 3rd January 2024 Vs actual on the 3rd January 2024 Bid closing was planned on the 2nd February 2024 Vs actual on the 31st January 2024 , Evaluation report approval was planned on the 20th February 2024 Vs actual was on the 5th March 2024, Award notification was planned on the 26th February 2024 Vs actual was on the (31st May 2024 Contract signing was planned on the 12th March 2024 Vs actual was on the 4th June 2024 and Completion date was planned on the 15th June 2024 Vs actual completion date (unknown)

• Construction of an irrigation demonstration site at Otim Bulazio Home in Onyamai village

Invitation to bid was planned on the 1st April 2024 Vs actual on the 8th January 2024 Bid closing was planned on the 20th April 2024 2023 Vs actual on the 19th January 2024 , Evaluation report approval was planned on the 25th April 2024 Vs

actual was on the 6th February 2024 , Award notification was planned on the 30th April 2024 Vs actual was on the 26th February 2024 Contract signing was planned on the 15th May 2024 Vs actual was on the 5th March 2024 and Completion date was planned on the 20th June 2024 Vs actual completion date (unknown)

• Siting and drilling of 16 boreholes

Invitation to bid was planned on the 13th July 2023 Vs actual on the 14th August 2023 Bid closing was planned on the 10th August 2023 Vs actual on the 1st September 2023 , Evaluation report approval was planned on the 18th August 2023 Vs actual was on the 4th December 2023 , Award notification was planned on the 1st September 2023 Vs actual was on the 14th May 2024 Contract signing was planned on the 4th September 2023 Vs actual was on the 15th May 2024 and Completion date was planned on the 30th June 2024 Vs actual completion date (unknown)

• Siting , drilling and partial installation of a production well in Amwolator in Kamuda subcounty

Invitation to bid was planned on the 13th July 2023 Vs actual on the 14th August 2023Bid closing was planned on the 10th August 2023 Vs actual on the 1st September 2023 , Evaluation report approval was planned on the 18th August 2023 Vs actual was on the 5th April 2024, Award notification was planned on the 1st September 2023 Vs actual was on the NOT ON FILE Contract signing was planned on the 4th September 2023 Vs actual was on the 13th May 2024 and Completion date was planned on the 30th January 2024 Vs actual completion date (unknown)

• Construction of Adamasiko water supply phase IV

Invitation to bid was planned on the 2nd August 2023 Vs actual on the 3rd January 2024 Bid closing was planned on the 19th August 2023 Vs actual on the 31st January 2024 , Evaluation report approval was planned on the 23rd August 2023 Vs actual was on the 5th April 2024, Award notification was planned on the 30th August 2023 Vs actual was on the 26th April 2024 Contract signing was planned on the 3rd Jan 2022(2024) Vs actual was on the 30th April 2024 and Completion date was planned on the 30th June 2024 Vs actual completion date (unknown)

• Construction of Asuret piped water scheme in Asuret (Pumping and Fencing)

Invitation to bid was planned on the 2dn

			August 2021 (2024) Vs actual on the 14th August 2023 , Bid closing was planned on the 19th August 2021(2024) Vs actual on the 1st September 2023 , Evaluation report approval was planned on the 23rd August 2021(2024) Vs actual was on the 5th April 2024, Award notification was planned on the 30th August 2021(2024) Vs actual was on the 26th April 2024 Contract signing was planned on the 3rd September 2021(2024) Vs actual was on the 30th April 2024 and Completion date was planned on the 3rd January 2022(2024) Vs actual completion date unknown **Therefore according to the analysis, the outlined activities did not adhere to the procurement timelines**.	
Contract management				
9	a) Evidence that the Project Manager during project implementation issued compulsory approvals (materials testing, critical stage approvals, mechanical, electrical and plumbing fixtures) b) Evidence that the Project Manager during project implementation wrote site instructions and the contractor implemented these site instructions c) Evidence that the Project Manager after practical completion: (for completed projects) compiled a snag list & instructed the contractor to correct defects before the final completion certificate and the contractor rectified all defects before the practical handover d) Evidence that the Project Manager after practical completion: (for completed projects) paid the retention fund to the contractor after the Defects Liability Period e) Evidence (for completed projects) that the site progress meeting schedule was developed, and meetings were held in line with the schedule of works that coincide with payment stages/milestones in the contract; there was a Project hand-over to the client, and Completion	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY: From LG Engineer obtain project management files. Check for • Compulsory approvals Verify if compulsory approvals were issued score 2 else score 0	**From the 4th quarter Budget performance report;** **Health sub program** From the 4th quarter BPR on page18 it was indicated that there were no infrastructure projects implemented during FY 2023/2024, however from the procurements records reviewed, the following projects were executed; • An inspection report dated 12th June 2024 for activities at the substructure level for an OPD at Ojom HC II in Oculoi sub county • An inspection report dated 12th June 2024 for a walk way at Tiriri HC IV • A supervision report dated 14th December 2023 for a staff house at Anyacoto HC III, works were at a steady progress and on time as per the supervisory report **Education sub program** • **From the 4th quarter report page 20 it was indicated “the civil works completed outputs reported”, there were no definite project identified** ,however the following reports were evidenced on the files. • An inspection report dated 20th June 2024 for construction of Dakabela Seed School at substructure level and quality was approved as inspected by the clerk of works **Water sub program** **From the 4th quarter budget performance report, Page 24,16 deep boreholes were drilled , 1 RGC/production well drilled and**	**2**

certificates were issued to the contractor

partially installed in Amwolator village, 17 boreholes were rehabilitated, Phase IV of Adamasiko RGC completed and phase 1 of Asuret RGC completed, the following reports were evidenced on files

• A supervision report dated 21st June 2024 for installation of the 16 deep boreholes with activities including siting of water points, drilling and test pumping all satisfactorily completed

• A supervision report dated 12th June 2024 for fencing and construction of pump house at Asuret water supply system

• A supervision report date 21st June 2024 for a production well at Amwolator.

Micro-scale irrigation sub program

Page 16 indicated funds were not spent due to failure of some farmers to co-fund, hence project delayed but the following reports were on file;

• A progress report dated 9th August 2024 with the solar pump for transmitting water to the reservoir tank completed for installations at Simon Okello farm.

• A progress report for Eyudu Benjamin dated 19th September 2024 with a 2000m² area to be irrigated using drip technology fully installed

Roads and engineering

• The low cost sealing road at Tubur town council was under anti-corruption investigations hence the files were not seen.

a) Evidence that the Project Manager during project implementation issued compulsory approvals (materials testing, critical stage approvals, mechanical, electrical and plumbing fixtures) b) Evidence that the Project Manager during project implementation wrote site instructions and the contractor implemented these site instructions c) Evidence that the Project Manager after practical completion: (for completed projects) compiled a snag list & instructed the contractor to correct defects before the final completion certificate and the contractor rectified all defects before the practical handover d) Evidence that the Project Manager after practical completion: (for completed projects) paid the retention fund to the contractor after the Defects Liability Period e) Evidence (for completed projects) that the site progress meeting schedule was developed, and meetings were held in line with the schedule of works that coincide with payment stages/milestones in the contract; there was a Project hand-over to the client, and Completion certificates were issued to the contractor	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY: From LG Engineer obtain project management files. Check for • Written Site instructions Verify if written site instruction were issued and there is evidence of their implementation score 2 else score 0	From the listed infrastructure projects implemented last financial 2023-2024,only the following site instruction were evidenced but not for all sub programs; • Site instruction dated 4th September 2024 for construction works at Dakabela seed school • Site instruction dated 14th October 2024 for construction works at Dakabela seed school.

9	a) Evidence that the Project Manager during project implementation issued compulsory approvals (materials testing, critical stage approvals, mechanical, electrical and plumbing fixtures) b) Evidence that the Project Manager during project implementation wrote site instructions and the contractor implemented these site instructions c) Evidence that the Project Manager after practical completion: (for completed projects) compiled a snag list & instructed the contractor to correct defects before the final completion certificate and the contractor rectified all defects before the practical handover d) Evidence that the Project Manager after practical completion: (for completed projects) paid the retention fund to the contractor after the Defects Liability Period e) Evidence (for completed projects) that the site progress meeting schedule was developed, and meetings were held in line with the schedule of works that coincide with payment stages/milestones in the contract; there was a Project hand-over to the client, and Completion certificates were issued to the contractor	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY: From LG Engineer obtain project management files. Check for • Snag list • Final Completion Certificate including approvals from Environment Officer and DCDO. Verify if the project manager has compiled a snag list and instructed the contractor to correct all defects and ensured that the contractor has indeed corrected all defects before issuing the final completion certificate. Score 2 if all requirements are met; otherwise, score 0.	From the 4th quarter budget performance report, The following projects were listed as complete in the FY 2023/2024; Under Production and Marketing; Page 16 indicated funds were not spend due to failure of some farmers to co fund, hence no completion certificate Under Health; Page 18 did not indicate any completed projects ,still from the Engineer no certificates were availed. Under Education; Page 20 indicated “civil works completed outputs reported”, no definite project identified and also no seen completion certificates Under roads and engineering; Roads works were under force on account No completion certificates were evidenced ,nor any snag directed for rectification by the LG engineer towards the contractor.	**0**
9	a) Evidence that the Project Manager during project implementation issued compulsory approvals (materials testing, critical stage approvals, mechanical, electrical and plumbing fixtures) b) Evidence that the Project Manager during project implementation wrote site instructions and the contractor implemented these site instructions c) Evidence that the Project Manager after practical	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY: From LG Engineer obtain project management files. Check for • Final Completion Certificate including approvals from Environment Officer and DCDO.	The LG constructed 17 (seventeen) infrastructure projects during FY 2023/2024 which were all at Practical completion stage as at 30th/6/2024 but were all still under the defects liability period which would end on 31st/12/2024 with the exception of two projects. The Project Manager had paid out retention funds to only two projects which had been completed prior to end of FY 2023/2024 as per the final completion certificates which included the approval by both the DNRO and DCDO prior to payment. as indicated below: NOTE:	**2**

completion: (for completed projects) compiled a snag list & instructed the contractor to correct defects before the final completion certificate and the contractor rectified all defects before the practical handover

d) Evidence that the Project Manager after practical completion: (for completed projects) paid the retention fund to the contractor after the Defects Liability Period

e) Evidence (for completed projects) that the site progress meeting schedule was developed, and meetings were held in line with the schedule of works that coincide with payment stages/milestones in the contract; there was a Project hand-over to the client, and Completion certificates were issued to the contractor

• Payment vouchers

Verify if the project manager paid the contractor the retention fund after the defects liability period. Score 2 if the requirements was met; otherwise, score 0

i. The phased construction of pipe water supply and sanitation scheme for Adamasiko Parish (Phase 3) which started on 20th/3/2023 was completed on 30th/4/2024 (Certificate No. 2) endorsed by both the District Environment Officer and DCDO on 21st/6/2024 and Shs. 19,995,744 vide EFT Voucher No.. 13329762 dated 11th/7/2024 was paid to M/s Waterfix Engineering (U) Ltd.

ii. Retention of Shs. 4, 386,194 was paid to M/s Arise Blessed Destiny Enterprise Ltd on 8th/5/2024 for completion of OPD at Aukot HCII after the defects liability period. The contract started on 22nd/6/2022 The District Environment Officer along with the DCDO endorsed the completion certificate on 8th/4/2024 prior to payment on 8th/5/2024.

The 15 (fifteen) infrastructure projects which were still under defects liability period as at 30th/6/2024 were as indicated below:

Education Sector:

i. Construction of a 2 in one Teachers' House at Olobai P/s in Kamuda SC.

ii. Construction of a 5-stance drainable latrine for girls in Ojama – Katine P/s

iii. Construction of A 5-stance drainable latrine for girls in Awoja P/s

iv. Construction of two blocks of 2-stance drainable latrine for Teachers in Oculoi P/s

v. Construction of two blocks of 2- stance drainable latrine for Teachers in Abekon P/s

vi. Construction of Dakabela Seed Secondary School

Health Sector

i. Construction of an OPD in Ojom HCII.

ii. Construction of Walkway in Tiriri HCIV

Works/Roads Sector:

i. Maintenance of Katine – Olwelai – Angai Road

ii. Maintenance of Kamuda – Lalle – Ocokican Road

iii. Maintenance of Aluatu Italok –Takarama Road

iv. Maintenace of Soroti – Dokolo Road

v. Maintenance of Soroti – Lalle Road

vi. Maintenance of Asuret – Omagoro Road

Administration Sector:

			i. Construction of District Headquarters (on –going and on Force Account by Construction of Barracks under Ministry of Defence)	
9	a) Evidence that the Project Manager during project implementation issued compulsory approvals (materials testing, critical stage approvals, mechanical, electrical and plumbing fixtures) b) Evidence that the Project Manager during project implementation wrote site instructions and the contractor implemented these site instructions c) Evidence that the Project Manager after practical completion: (for completed projects) compiled a snag list & instructed the contractor to correct defects before the final completion certificate and the contractor rectified all defects before the practical handover d) Evidence that the Project Manager after practical completion: (for completed projects) paid the retention fund to the contractor after the Defects Liability Period e) Evidence (for completed projects) that the site progress meeting schedule was developed, and meetings were held in line with the schedule of works that coincide with payment stages/milestones in the contract; there was a Project hand-over to the client, and Completion certificates were issued to the contractor	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY: From LG Engineer obtain project management files. • Meeting Schedules • Minutes of site meeting • Minutes of project handover to the client • Final Completion Certificate including approvals from Environment Officer and DCDO. Verify if: • The site progress meeting schedule was developed, and meetings were held in line with the schedule of works that coincide with payment stages/milestones in the contract • There was a Project hand-over to the client • Completion certificates were issued to the contractor Score 2 if all requirements are met; otherwise, score 0.	There was only evidence of a minute of a site meeting held on 9th July 2024 for construction of Dakabela seed secondary school. No other evidence for meetings held checking on progress and discussions of the implemented projects	**0**

10	a) Evidence that joint measurements were effectively conducted (admeasurement contracts)/works done verified (for lumpsum contracts) in terms of both quality and quantity and signed by the Project Manager and the contractor before works are certified. b) Evidence of either no advance payment or provision of a performance and advance payment guarantee before obtaining advance payment c) Evidence that the project was implemented as per work schedule and completed within original completion date d) Evidence that the LG developed a work schedule, displayed it, and reported on physical progress as per the work schedule and that there is no contract variation or variations in contract price for infrastructure investments for the previous FY were approved as per procedures (either within the threshold).	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY: From LG Engineer obtain project files Check for • Evidence of joint measurement sheet/work verification Verify that joint measurements were effectively conducted for admeasurement contracts or that works were verified for lump sum contracts in terms of both quality and quantity. Ensure that the verification is signed by the Project Manager and the contractor before the works are certified. Score 2 if the requirements were met; otherwise, score 0.	There was evidence of measurement sheets for verifying works done prior to payment as shown ; • A measurement sheet attached with a payment certificate of Ugx 158,380,936 on the 20th June 2024 for construction of Dakabela seed school and another for Ugx 84,099,835 on the 29th October 2024. • A measurement sheet for works done at Awoja primary school for construction of a pit latrine attached with a payment certificate of Ugx 18,932,465 on the 21st June 2024. • A measurement sheet for works at Abeko primary school for construction of a stance pit latrine attached with a payment certificate of Ugx 18,579,053 on the 22nd June 2024. • A measurement sheet for construction of a staff house at Olobai Kamuda primary school attached with a payment certificate dated 16th April 2024 for Ugx 56,608,272. • A measurement sheet for construction of OPD at Ojom HCII was attached with a certificate worth Ugx 121,220,064 on the 12th June 2024. • A measurement sheet for a walkway in Tiriri HCIV was attached with a certificate amounting to Ugx 39,805,920 on the 12th June 2024. • A measurement sheet was attached payment of works done for construction of a fence and pump house at Asuret water supply worth Ugx 46,756,950 on the 12th June 2024 Measurement sheets were not jointly signed by both the project manager and contractor	**0**

10	a) Evidence that joint measurements were effectively conducted (admeasurement contracts)/works done verified (for lumpsum contracts) in terms of both quality and quantity and signed by the Project Manager and the contractor before works are certified. b) Evidence of either no advance payment or provision of a performance and advance payment guarantee before obtaining advance payment c) Evidence that the project was implemented as per work schedule and completed within original completion date d) Evidence that the LG developed a work schedule, displayed it, and reported on physical progress as per the work schedule and that there is no contract variation or variations in contract price for infrastructure investments for the previous FY were approved as per procedures (either within the threshold).	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY: From LG Engineer obtain project files Check for • Evidence of Performance Guarantee Verify that either no advance payment or provision of a performance and advance payment guarantee before obtaining advance payment. Ensure that the advance payment guarantee was verified by the bank. Score 2 if the requirements were met; otherwise, score 0.	The LG did not receive any request for advance payment from any contractor during FY 2023/2024 to warrant a performance guarantee as required.	**2**

10	a) Evidence that joint measurements were effectively conducted (admeasurement contracts)/works done verified (for lumpsum contracts) in terms of both quality and quantity and signed by the Project Manager and the contractor before works are certified. b) Evidence of either no advance payment or provision of a performance and advance payment guarantee before obtaining advance payment c) Evidence that the project was implemented as per work schedule and completed within original completion date d) Evidence that the LG developed a work schedule, displayed it, and reported on physical progress as per the work schedule and that there is no contract variation or variations in contract price for infrastructure investments for the previous FY were approved as per procedures (either within the threshold).	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY: From LG Engineer obtain project files Check for • Start and completion date in the contract compared to actual completion date. Verify if the project was implemented as per work schedule and completed within the original completion date. Score 2 if the requirements were met; otherwise, score 0.	No evidence for completion certificates for completed projects was availed .	**0**

10	a) Evidence that joint measurements were effectively conducted (admeasurement contracts)/works done verified (for lumpsum contracts) in terms of both quality and quantity and signed by the Project Manager and the contractor before works are certified. b) Evidence of either no advance payment or provision of a performance and advance payment guarantee before obtaining advance payment c) Evidence that the project was implemented as per work schedule and completed within original completion date d) Evidence that the LG developed a work schedule, displayed it, and reported on physical progress as per the work schedule and that there is no contract variation or variations in contract price for infrastructure investments for the previous FY were approved as per procedures (either within the threshold).	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY: From LG Engineer obtain project files Check for • Work Schedule • When payment was made as compared to invoice date • Original and amended contract where there is a variation. Verify if the: i. That the LG developed a work schedule, displayed it and reported on physical progress as per the work schedule. ii. That there is no contract variation or variations in contract price for infrastructure investments for the previous FY were approved as per procedures(either within the threshold) Score 2 if the requirements (i) and (ii) were met; otherwise, score 0.	There was no evidence for work schedules made by the LG Engineer to be displayed for follow as per work progress.	**0**

Effective mobilisation and management of financial resources

11	Evidence that the LG realised an increase in OSR (excluding one/off, e.g., sale of assets, but including arrears collected in the year) from the previous FY but one to the previous FY, and evidence that the LG remitted the mandatory LLG share of local revenues during the previous FY not more than 10 days after cash limit release.	From the Chief Finance Officer, obtain a copy of the final accounts for the previous two years, • Calculate the percentage increase in OSR, • Ascertain the percentage of the mandatory LLG share of local revenues during the previous financial year, • Calculate the percentage of the LLG	• The LG realised an increase in OSR of Shs. 86, 072,185 on comparing FY 2022/2023 with FY 2023/2024 as indicated below: Actual local revenue (excluding sale of assets) collected in FY 2022/2023 was Shs. 244,905,455 as on page 11 of the Annual Financial Statements for FY 2022/2023 whereas Shs. 330, 977, 640 was collected in FY 2023/2024 as on page 11 of the draft Annual Financial Statements thereof realizing an increase of Shs. 86,072,185 representing 35% above the recommended minimum of 5%. This tremendous performance was attributed to introduction of Integrated Revenue Administration System (IRAS) in	**2**

remitted From CFO obtain invoices and vouchers to ascertain when LG revenue was received and remitted. Verify if: i. If the increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from the previous FY but one to the previous FY was more than 5% ii. If the LG remitted the mandatory LLG share of local revenues during the previous financial year not more than 10 days after the cash limit release If the LG complies to (a) and (b) score 2 or else 0.	FY 2023/2024. • The LG remitted the mandatory LLG share of local revenue as evidenced in the sampled breakdown below: IRAS Collections in October 2023 but shared on 21st/12/2023 LLG TOTAL EXCL. VAT 35% DIST 65% LLG Oculoi SC: 926,500 324,275 602,225 Arapai SC: 1,098,500 384,475 714,025 Katine SC: 1,545,085 540,780 1,004,305 Awaliwal SC: 886,253 310,192 576,071 Tubur SC: 50,000 17,500 32,500 Kamuda SC: 499,492 174,822 324,669 Lalle SC: 694,915 243,220 451,695 Ocokican SC: 550,500 192,675 357,825 Gweri SC: 341,000 119,350 221,650 Aukot SC: 360,000 126,000 234,000 Tubur TC: - - - Asuret SC: - - - TOTAL: 6,952,254 2,433,289 4,518,965 IRAS Collections in December 2023 but shared on 9th/2/2024 LLG TOTAL EXCL. VAT 35% DIST 65% LLG

Arapai SC:	90,000	31,500	58,500
Asuret SC:	453,000	158,550	294,450
Aukot SC:	420,500	147,175	273,325
Awaliwal SC:	990,000	346,500	643,500
Tubur TC:	-	-	-
Kamuda SC:	30,000	10,500	19,500
Katine SC:	3,411,271	1,193,945	2,217,326
Lalle SC:	347,458	121,610	225,848
Ocokican SC:	761,500	266,525	494,975
Tubur SC:	556,102	194,636	361,466
Gweri SC:	1,950,000	682,500	1,267,500
Oculoi SC:	-	-	-
Soroti DLG	3,968,000	3,968,000	-
TOTAL:	12,977,831	7,121,441	5,856,390

IRAS Collections in January 2024 but shared on 13th/3/2024

LLG	TOTAL EXCL. VAT	35% DIST	65% LLG
Arapai SC:	1,683,271	589,145	1,094,126
Asuret SC:	347,737	121,708	226,029
Aukot SC:	421,000	147,350	273,650
Awaliwal SC:	1,558,483	545,469	1,013,014
Tubur TC:	200,000		

			-	-
			Kamuda SC: - -	-
			Katine SC: 2,168,475 758,996	1,409,509
			Lalle SC: 387,458 135,610	251,848
			Ocokican SC: 1,090,000 381,500	708,500
			Tubur SC: 169,492 59,322	110,170
			Gweri SC: 1,452,500 508,375	944,125
			Oculoi SC: 3,844,746 1,345,661	2,499,085
			Soroti DLG: 3,972,000 3,972,000	-
			TOTAL: 17,295,162 8,565,107	8,530,055
12	Evidence that the LG used all the development grants as per the grant guidelines and the eligible items in the respective investment menu score 2	Obtain Budget performance reports from the Chief Finance Officer to ascertain the Development grants transferred to LGs during the previous FY From the budget website and/or MDAs obtain and review the respective grant guidelines focusing on the Investment Menu Determine whether all development grants in the previous FY were spent on the eligible items in the respective investment menu. If the LG used all of the development grants per the grant requirements and the eligible items in the respective investment menu, score 2 or else 0.	• The LG used the development grants in accordance with the respective grant guidelines and the eligible items in the respective investment menu as evidenced below: • DDEG Implementation Guidelines FY 2023/2024: The infrastructure project which was constructed by the LG in FY 2023/2024 with DDEG funding was construction of OPD in Ojom HCII in Oculoi Sub-county. It was derived from the positive list investment menu as on page 12 Table 7 of the implementation guidelines for FY 2023/2024. • Education Development (SFG/SDG) Implementation Guidelines: Funding was eligible on new construction, Renovation and Rehabilitation on all Education Infrastructure projects that were constructed in FY 2023/2024 were; Construction of a two in one Teachers' House at Olobai P/S in Kamuda SC and Construction of a 5-stance drainable latrine for girls in Awoja P/S. • Health Development Grant Implementation Guidelines: The development project which was implemented in FY 2023/2024 was eligible as per provision in section 4.6.1 (Positive and Negative Capital Investments) page 10 of the PHC Development Implementation Guidelines under MoH. The project was; construction of Walk way in Tiriri HCIV in Katine Sub-county. • URF Grant: Routine and periodic	**2**

			maintenance of District Roads with scope of works which include manual, mechanized, periodic and bridge repairs are eligible for funding under URF Grant as on page 8/46 of the Implantation Guidelines. The roads worked on in FY 2023/2024 included; Maintenance of Katine – Olwelai – Angai Road, Mintenance of Kamuda – Lalle – Ocokican Road, Maintenance of Alwatu – Takarama Road, Maintenance of Asuret – Omagoro Road among others. • The releases for each Development Grant in FY 2023/2024 was as indicated below: i. Health Development Grant: Shs. 147, 702, 455 ii. Education Development Grant: Shs. 1,206,528,433 iii. Works Development Grant: Shs. 1,512,002,282 iv. Water Development Grant: 786,126,809 v. Water – Transitional: 14,814,814 vi. DDEG – HLG: 374,410,196 vii. DDEG – LLGs: 285,077,836 viii. DDEG – Urban: 20,991,052 ix. Transitional Development Grant: 800,000,000 x. Agriculture Extension Grant: 811,537,508 TOTAL: 5,959,191,385	
13	Evidence that the LG produced an annual audit plan and quarterly internal audit reports, the LG PAC discussed internal and external audit issues and reported to the district chairperson or Mayor, and the LG resolved audit issues identified by internal and external audits.	From the Internal Auditor, obtain an audit plan and audit reports to verify the timely production of internal audit reports. Obtain minutes of LG PAC to establish whether they have discussed both internal and external issues and made recommendations to the Accounting officer. From CFO, Obtain reports on the implementation of audit recommendations. Verify If the LG:	• The LG produced an Annual Audit Plan for FY 2023/2024 dated 24th/7/2023 Ref. AUD/252/1. It comprised of; Audit universe/ all auditable areas of the Vote and Risk management of the auditable areas. • The LG produced all the 4 (four) Quarterly Internal Audit Reports for FY 2023/2024 addressed to the LC V Chairperson. However, all the 4 (four) Quarterly Internal Audit Reports were produced later than the mandatory deadline of 30 days after end of the quarter under review. 1st quarter audit report was produced on 7th/11/2023, 2nd quarter produced on 14th/2/2024, 3rd quarter produced on 18th/5/2024 and 4th quarter produced timely on 19th/8/2024. This weak performance was largely attributed to take action on audit issues raised in the Management letter. • The LG PAC discussed all the 4 (four) quarterly internal audit reports for FY	**0**

		i. Produced an annual audit plan and quarterly internal audit reports within two months of the end of the quarter, ii. The LG PAC discussed internal and external audit issues and reported to the district chairperson or Mayor , and iii. The LG resolved at least 80% of audit issues identified by internal and external audits (due audit recommendations are implemented) If the requirements (i) to (iii) are met score 2 or else 0.	2023/2024. 1st and 2nd quarterly internal audit reports were discussed on 20th/3/2024 Agenda 7 under Min. No. PAC 007/03/2024 with recommendations which included; LG should conduct a special audit in the in the LLGs of Ocokican and Oculoi on start – up capita which was diverted, Management should recover Shs. 15M from SACAO and Accountant of Ocokican Sub-counties. 3rd and 4th quarter internal audit reports were discussed on 28th/8/2024 with a recommendation that Management should give facilitation to Internal Audit Department for its planned activities. • The LGPAC submitted two reports to Council dated 25th/3/2024 and 4th/9/2024 for 1st & 2nd quarters and 3rd & 4th quarters respectively. The recommendations were the same as in the two sets of the minutes above. • The LG Quarterly Internal Audit Reports for FY 2023/2024 had 22 (twenty two) audit issues raised which included: Unaccounted for administrative advances totalling to Shs. 121,650,300; Non-implementation of BoS report recommendations, Mismanagement of Start – up funds in Ocokican SC; Under collection of local revenue among others. However, only 14 (fourteen) were acted upon leaving 8 (eight) pending which included; Mismanagement of start – up funds for Ocokican SC, Delays in submission of accountabilities, Delays in executing contracted works among others.. • The LG resolved the 3 (three) audit issues identified by OAG in the audited Annual Financial Statements for FY 2022/2023 as per Council’s resolution on 28th/3/2024 Agenda 9 Min. No. 026/COU/03/2024.	
14	Evidence that the LG has an unqualified audit opinion for the previous FY	From the OAG, obtain and review audit opinions Verify if the LG has an unqualified audit opinion for the previous FY to score 2 or else 0	This indicator awaited OAG opinion in January 2025	**0**
Environment, Social, Health and Safety				
15	Evidence that the LG implemented all mitigation measures in the Environmental & Social Management Plans (ESMPs) for all Projects in the	From DNRO/Environment Officer • Obtain and review the Environmental &	**Monitoring of Education Projects** Monitoring reports for the construction of Dakabela seed school conducted on 22nd/04/2024 and 21st/06/2024 authored by DCDO and SEO with recommendations	**2**

previous year as provided for in the Guidelines.	Social Management Plans (ESMPs) for all projects • Sample projects (at least 3) to verify that the mitigation measures in the project ESMPs were implemented as reported. If ALL the mitigation measures were implemented in 100% of the projects sampled score 2 or else 0.	such as planting trees (fruit trees, teak ssp, neem and ashoka), improving waste management and provide workers on site with PPE appropriate for works. Safeguard clearance report dated 21st/06/2024 which recommended full payment of the contractor after implementing recommended mitigation measures in the BoQs. Monitoring reports for the twin staff house at Olobai Primary School conducted by SEO and DCDO authored on 22nd/04/2024 and 21st/06/2024 with recommendations such as; planting trees. Safeguard clearance report dated 21st/06/2024 which recommended full payment of the contractor after implementing recommended mitigation measures in the BoQs. Monitoring reports for the 1 block of 2 stances of lined pit latrine at Abeko primary school conducted by SEO and DCDO authored on 21st/06/2024 with recommendations such as; planting trees and leveling the site with the excess excavated soil. Safeguard clearance report dated 21st/06/2024 which recommended full payment of the contractor after implementing recommended mitigation measures in the BoQs. Monitoring reports for the 1 block of 5 stances of lined pit latrine at Ojama-Katine primary school conducted by SEO and DCDO authored on 21st/06/2024 with recommendations such as; planting trees and leveling the site with the excess excavated soil. Safeguard clearance report dated 21st/06/2024 which recommended full payment of the contractor after implementing recommended mitigation measures in the BoQs. Monitoring reports for the 1 block of 5 stances of lined pit latrine at Awoja primary school conducted by SEO and DCDO authored on 21st/06/2024 with recommendations such as; planting trees and leveling the site with the excess excavated soil. Safeguard clearance report dated 21st/06/2024 which recommended full payment of the contractor after implementing recommended mitigation measures in the BoQs. Monitoring reports for the 1 block of 2 stances of lined pit latrine at Oculoi primary school conducted by SEO and DCDO authored on 21st/06/2024 with recommendations such as; planting trees and leveling the site with the excess excavated soil. Safeguard clearance report dated 21st/06/2024 which recommended full payment of the contractor after implementing recommended mitigation measures in the BoQs.

Monitoring of Health Projects

Monitoring for the construction of a twin-staff house at Asuret HCIII conducted on 27th/06/2024 authored by the DCDO and SEO with recommendations such as; planting trees to improve on waste management and leveling the site with the excess excavated soil.

Safeguard clearance report dated 27th/06/2024 which recommended full payment of the contractor after implementing recommended mitigation measures in the BoQs.

Monitoring report for the construction OPD block at Ojom HCII conducted on 27th/06/2024 authored by the DCDO and SEO with recommendations such as planting trees (fruit trees, teak, neem and ashoka), improving waste management. Safeguard clearance report dated 27th/06/2024 which recommended full payment of the contractor after implementing recommended mitigation measures in the BoQs.

Monitoring report for the construction walkways at Tirir HCIV conducted on 27th/06/2024 authored by the DCDO and SEO with recommendations such as planting trees (fruit trees, teak, neem and ashoka), improving waste management. Safeguard clearance report dated 27th/06/2024 which recommended full payment of the contractor after implementing recommended mitigation measures in the BoQs.

Monitoring of water projects

Monitoring report for the drilling, casting and installation of 16 deep well boreholes conducted on 28th/06/2024 authored by the DCDO and SEO with recommendations such as planting trees (fruit trees, teak, neem and ashoka), improving waste management and obtaining evidence of land consent/land agreement for the sites.

Safeguard clearance report dated 28th/06/2024 which recommended full payment of the contractor (M/S Skylight Africa Ltd) after implementing recommended mitigation measures in the BoQs.

Monitoring of MSI projects

Monitoring report for the installation of 9 MSI equipment at farm sites conducted on 28th/06/2024 authored by the DCDO and SEO with recommendations such as need to select area for disposal of chemical container waste.

Safeguard clearance report dated 9th/09/2024 was issued to M/S Hizmet Services Ltd, M/S Sime Contractors Ltd and

			M/S Itunga General Enterprises (U) Ltd for compliance with ESHS in the BoQs. **Field verification findings from sampled projects** **OPD block at Ojom HCII** Works were completed, planted 9 trees (mango, teak and ashoka) they were caged with brick enclosure. Grass had recolonized some parts around the structure. **Dakabela SEED school** Works were ongoing by the time of the site visit, workers not using PPE during welding, grinding No trees planted The site was partially hoarded with papyrus mats Construction waste was not properly managed around the site (cement bags, wood with exposed sharp nails and construction debris/ waste all over the site) Sanitary facility for workers in poor condition (makeshift) and in a busy area **Borehole at Obule village Ocokican sub-county.** 3 trees (umbrella, mango) planted caged and “chogam” flowers planted around the water source and soak pit large.	
16	Evidence that the LGs has constructed infrastructure projects where it has proof of land ownership/ right of way	From the Budget Performance Report, obtain a list of all infrastructure projects constructed by the LG in the previous FY From the LG Accounting Officer, obtain copy of the land titles, sale agreements and/or MOUs to establish whether all projects for the previous FY have proof of land ownership/ right of way • If the LG has a title in the name of the LG or the Institution score 2 • If the LG has registered a sale agreement or MOU score 1	The LG constructed infrastructure projects where it had proof of land ownership as evidenced below: • The 6 (six) Education infrastructure projects below were constructed on land owned by the District: i. Construction of a two in one Teachers’ house at Olobai P/S in Kamuda SC ii. Construction of a 5-stance drainable latrine for girls in Ojama – Katine P/S in Katine SC iii. Construction of a 5-stance drainable latrine for girls in Awoja P/S in Aukot SC. iv. Construction of two blocks of 2-stance drainable latrines for Teachers in Oculoi P/S in Oculoi SC v. Construction of two blocks of 2-stance drainable latrines for Teachers in Abeko P/S in Tubur SC vi. Construction of Dakabela Seed Secondary School in Arapai SC. 1. MoU signed between Soroti Catholic	**1**

Church Diocese (Rev. Fr. Opio Gonzaga Aloysius – Parish Priest for Divine Mercy Catholic Parish) and DEO Soroti DLG on 6th/12/2023 offering land for construction of Teachers' houses in Olobai P/S.

2. MoU signed between Soroti Catholic Church Diocese (Rev. Fr. Oumo Julius – Parish Priest for Holy Family Catholic Parish) and DEO Soroti DLG on 5th/12/2023 offering land for construction of a 5-stance drainable latrine at Awoja P/S.

3. MoU signed between Soroti Catholic Church Diocese (Rev. Fr. Charles Osire – Parish Priest for St. Kizito Catholic Parish) and DEO Soroti DLG on 9th/12/2023 offering land for construction of a 5-stance drainable latrine at Ojama P/S.

4. MoU signed between Soroti Diocese (St. James Catholic Church) and DEO Soroti DLG on 9th/12/2023 for construction of two blocks of 2-stance drainable latrine for Teachers in Abeko P/S in Tubur SC.

5. Title for Freehold land for construction of Dakabela Seed Secondary School.

Volume SOR 164, Folio 7 measuring 9.4890 hectares on Plot 5524, Block 7 at Ambela.

6. Title for Freehold land for construction of two blocks of 2-stance drainable latrine for Teachers in Abeko P/S/

Volume HQT121, Folio 16 measuring 16.7320 hectare on Plot 672 Block 9Road) 6 at Soroti.

• Two Health infrastructure projects below were constructed on land owned by the District:

i. Construction of OPD in Ojom HCII in Oculoi SC

ii. Construction of Walk way in Tiriri HCIV in Katine SC.

1. Title for Freehold land for the District HQT

Volume HQT121, Folio 15 measuring 11.5860 hectares on Plot 674, Block (Road) 6 at Agora Village

2. Title for Freehold land for the District

Volume 688, Folio 16 measuring 40.7 hectares on Plot 161 Block 6 Soroti County.

• Construction of the District Headquarters was on a titled Freehold land Volume HQT121, Folio 16 measuring 16.7320 hectares on Plot 672, Block (Road) 6 at Soroti.

17	Evidence of implementation of the Stakeholder Engagement Plan implemented in the previous FY	From the DCDO obtain and review; • The approved Stakeholder Engagement Plans for the previous FY. • Reports of implementation of the stakeholder Engagement Plan for the previous FY. To determine o The engagements held with stakeholder o Resolutions made o Actions taken o Outcomes of the actions Note that reports should be in tandem with the SEP If the above requirements are complied with score 2 or else 0.	The LG had not formulated the stakeholder engagement plan by the time of assessment. No stakeholder engagement implementation reports	**0**

18	Evidence that GRCs at project level are existent, functional and that the communities/workers have been sensitized about their existence and are using them	Review the GRCs at various projects to establish i. They are as constituted as per the circular issued by MoGLSD in July 2023 ii. Evidence that grievances are recorded iii. Evidence that the grievances that were received were acted upon iv. Evidence that the GRC activities are funded v. Evidence that the community/workers have been sensitized about the existence of the GRC vi. Evidence that the GRCs have been trained on their roles and responsibilities If the requirement (i) to (vi) above are complied with score 2 or else 0.	**GRC formation at the project level.** The GRCs at the project level were not constituted as per the july 2023 circular from MoGLSD. **Log of grievance** There were no grievances recorded in the log of grievances. **Schedule of meetings** There was no evidence of schedule of meetings for GRC activity FY2023/24 and FY2024/25. **Training of GRCs.** There was no evidence of training the GRC members about their roles, responsibilities and the nature of grievances they ought to handle. **Community sensitization about GRCs.** There was a community engagement meeting held at Dakabela seed S.S held on 11th/01/2024 where they discussed about formation of GRC under MIN 5/10/2024 complaints about non-payment.	**0**

Transparency, oversight, reporting and accountability

19	The LG shared key information with and responded to the issues raised by the councilors and citizens	From Clerk to Council find minutes of Council discussing the LG assessment report. Sample 5 sites to establish display of relevant information From the LG Planner, obtain minutes of Baraza and attendance lists to establish issues discussed Radio Program Recordings Obtain from the CFO the charge policy. Check display of tax information on public notice boards Verify that: i. LG shared LGMSD PA	PFM ASSESSMENT (indicators 19 (i), (ii) (iv) and (v) i. The LG shared LGMSD PA results for FY 2022/2023 in the DTPC meeting of 4th/7/2024 as per Agenda 7 Min. No. 07/TPC/07/2024. The LG ranked No. 86 at national level in the LGMSD PA for FY 2022/2023. The LGMSD PA results for FY 2023/2024 were also shared in the Council meeting of 28th/3/2024 as per Agenda 8 Min. No. 025/COU/03/2024 with a resolution that the HLG and LLGs should always be assessed early internally as a way of organizing the for National Assessment. The comparison of IPFs for FY 2023/2024 and FY 2024/2025 regarding the size of the development grants, indicated that the LG generally gained apart from only two losses recorded under Urban DDEG and Conditional Grant Development under Roads/ Engineering as evidenced below: Grant IPF 2023/2024	**0**

results for the previous FY and how much the LG gained or lost regarding the size of the development grants based on performance results with the citizens through at least one of the following forms: barazas; radio; circulars and workshops	IPF 2024/2025 Gain/Loss	
ii. The LG Council has discussed the LG Performance assessment results in Council and that the Accounting Officer has implemented the Council resolutions on the LG Performance Assessment		
iii. The LG has placed site boards on all construction sites to display information regarding procurement and contract management including: the name of the project; the contractor; source of funding; expected duration (include start and end dates as well as calendar days) and location.		
iv. The LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programs etc.) with the public to provide feedback on status of activity implementation:		
v. The LG has made publicly available information on i) tax rates, ii) collection procedures, iii) procedures for appeal; (iv) amounts collected during the previous FY and how it was used.		
If (i) to (v) above complied with score 2 or 0		

DDEG: 659,488,000 868,261,000 208,773,000	
Urban DDEG: 20,991,000 7,948,000 -13,043,000	
Transitional	
Cond. Grant Devt: 814,815,000 1,014,815,000 200,000,000	
Cond, Grant Devt:: 3,419,109,000 3,666,049,000 246,940,000	
Water Devt. Grant: 1,308,624,000 1,715,486,000 406,862,000	
Production Cond.	
Grant Devt: 533,347,000 533,347,000	0
Health Cond. Grant Devt: 147,702,000 183,750,000 36,048,000	
Education Grant Devt: 1,005,823,000 1,399,751,000 393,928,000	
Roads/Engineering	
Con. Grant Devt: 1,512,002,000 512,002,000 -1,000,000,000	
TOTAL: 8,888,554,000 9,901409,000 -1,012,855,000	
ii. The LG shared LGMSD PA results for FY 2022/2023 in both the DTPC meeting of 4th/7/2024 Agenda 7 Min. No. 07/TPC/07/2024 and Council meeting of 28th/3/2024 Agenda 8 Min. No. 025/COU/03/2024. Council Resolutions regarding LGMSD PA results for FY 2022/2023 was that both the HLG and LLGs should always be assessed	

early internally as a way of organizing them for National Assessment.

iv. The LG conducted a series of engagements with the public through Barazas and local Radio programs on various activity implementation during FY 2023/2024 as evidenced below:

Baraza engagements with the community were conducted in Kamuda SC on 14th/3/2024 as per report date 9th/5/2024 which was joint funded by Teso Anti-Corruption Coalition (TAC)

Issues shared in the engagement included; Performance reports of the District Development Plan/Programs and projects, Dissemination of Performance Standards and indicators of the District to users among others.

Radio talk shows about embracing Parish Development Model (PDM) and Emyooga to enhance household incomes were conducted by CAO, RDC, Principal Commercial Officer and Communication Officer on 31st/10/2024 on Voice of Teso 88.4 FM.

v. The LG charge policy comprising of tax rates, collection procedure, appeals procedure, and amount collected and how it was utilized for FY 2023/2024 dated 6th/8/2023 and endorsed by the CFO was displayed at the LG Finance Department Office block Notice board.

The charge policy were also addressed to all Senior Assistant Secretaries (SAS) and Town Clerk and urged to post on their respective LLGs Notice boards as was evidenced at Tubur Town Council Notice board.

Procurement and contract management

19(iii)

From the visited projects, there was evidence that some sites had site boards with information regarding the procurement and contract management, however some of the visited projects did not comply.

These were the projects that had site boards.

• Construction of Dakabela seed secondary school

• Construction of boreholes at Alero in Lale subcounty

• Mechanized maintenance of Katine-Olwelai-Angai-Olegei marram road 19.4km under force on account

Below were the visited projects without site

board.

• Construction of an OPD at Ojoma HCII

• Supply and installation of Microscale irrigation equipment; in particular Okello Simon’s farm.

In conclusion, however much some of the projects had site boards installed, not all the visited projects complied for this indicator.

20	Evidence that the LG supervised or mentored all LLGs; ensured that the results/reports of support supervision visits were discussed by the TPC and used by the District/Municipality to make recommendations for corrective actions and followed up; the LG conducted credible assessments of LLGs as verified during the National LGPA exercise; and the LG conducted mock assessments, discussed the results, and took corrective action in preparation	From the Planner, obtain mentoring reports and minutes of TPC meetings to establish whether the HLGs supported LLGs in the previous financial year. From the Performance Assessment Focal Person obtain mock assessment results to establish that mock assessments were conducted, results discussed and corrective action taken From the OPAMS, obtain the internal assessment reports of LLGs and compare with the results of the verification team to establish whether the results are within +/- 10% Check and verify that: i. The LG has supervised or mentored all LLGs; ii. Results/reports of support supervision visits were discussed by the TPC, used by the LG to make recommendations for corrective actions and followed up iii. The LG conducted credible assessment of LLGs as verified during the National LGPA exercise iv. The LG conducted mock assessment, discussed the results and took corrective action in preparation/readiness for the national performance assessment exercise If (i) to (iv) above requirements are complied with score 2 or else 0	• The LG mentored LLG staff during the 1st quarter FY 2023/2024 on 5th/9/2023 specifically on implementation of the DDEG Implementation Guidelines for FY 2023/2024 • The LG also conducted mentoring of LLGs staff on 2nd quarter FY 2023/2024 29th/11/2023 on strategic priorities, Generation and preparation of the Budget Frame Work Paper, Projects profiling and monitoring reports. • Q3 Mentoring of LLGs was conducted in March 2024 as per undated report and focused on food security and Nutrition. • Q4 Mentoring of LLG staff was conducted as per report dated 29th/5/2024 and focused on Project Appraisals. • The quarterly mentoring reports were discussed by the DTPC as per minutes of meetings held on 26th/7/2023 Agenda 10; 20th/9/2023 Agenda 11 Min. No. 11/TPC/09/2023; 23rd/9/2023 Agenda 13 Min. No. 13/TPC/09/2023 among others The LG conducted internal assessment of LLGs for FY 2023/2024 on 24th/9/2024 which the IVA found not credible. The comparative scores of the LG assessment and the IVA score were; Arapai S/C LG score was 98%, the IVA score was 99% and the variance was +1%; Kamuda S/C LG score was 74%, the IVA score was 58% and the variance was -16% (this variance was outside the acceptable range of -/+10)., Tubur T/C LG score was 81%, the IVA score was 89% and the variance was +8% and Asuret S/C LG score was 83%, the IVA score was 90% and the +7%. The LG conducted the Mock Assessment on 24th-25th/10/2024 in preparation for LGMSD PA for FY 2023/2024 as evidenced by the activity report dated 6th/11/2024 which was discussed in the DTPC meeting held on 15th/11/2024 Agenda 7 Min. No. 07/TPC/07/2024. It was resolved that the results should be discussed in Management meetings.	**2**

21	Evidence that the LG prepared both quarterly financial and quarterly physical progress reports covering all development projects and the reports were discussed by the relevant organs	From Clerk to Council, obtain minutes of council committees Verify that the quarterly physical progress and financial reports were discussed by the (i) TPC; (ii) DEC; (iii) Council Committees to score 2 or else 0	• The LG availed Council Committees' minutes which discussed Q1 and Q3 departmental quarterly financial and quarterly physical reports for FY 2023/2024 as evidenced below:. Council Committees' minutes for Q2 and Q4 were not availed to the assessment team. i. In Q1 FY 2023/2024, the Committee of Works & Transport, Production, Natural Resources, Trade & Commerce, discussed the departmental quarterly financial and quarterly physical progress reports for Engineering, Water and Production 29th/8/2023. Recommendations included; Need to rehabilitate low roads to allow creation of off-shoots, Ongoratok borehole in Kamuda SC should be shifted to Omodoi village in Ocokican SC, District staff should stop using the district equipment for private gain among others. ii. In Q1 FY 2023/2024, the Committee of Social Services, sat on 13th/9/2023 and discussed the departmental quarterly financial and quarterly physical progress reports for Education, Health and Community Based Services. Recommendations included; the Deliver bed should be allocated to Ojom HC II in addition to extension of water to the facility; Contracts should not be awarded to politicians as rewards among others. iii. In Q1 FY 2023/2024, the Committee of Finance, Planning, Administration & Investments held a meeting on 21st/9/2023 Agenda 5, Min. No. 05/FC/09/2023 and discussed the departmental quarterly financial and quarterly physical progress reports for Finance, Administration & Statutory boards. Recommendations included; Outstanding liabilities for Counsel Ewatu should be instructed to pay back the 15M which they diverted among others iv. The three Council Committees' recommendations during their Q3 meetings held on 13th/4/2024, 14th/4/2024 and 15th/5/2024 respectively included; Need for routine mechanized maintenance of roads like Mukura – Okunguro – Owolo Road, the saving from Asuret Seed Secondary School should be used on construction of drainable pit latrine at Olio –Kamuda P/S and Dokolo – Gweri P/S, CAO should ensure that staff and pensioners were paid on time among others.	**0**

PMs and Indicators to Incentivise Delivery of Accessible, Quality and Efficient Education Services

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Quality				
1	Evidence that the average LG PLE pass rates for UPE (Government Aided) improved between the previous school year but one and previous school year	From the LG obtain UNEB results disaggregated between Government aided and private schools and review: • The LG PLE results for the previous school year but one and the previous year • Calculate the pass rate or percentage increase between the previous school year but one and the previous year • Calculate the percentage of pupils that passed between grades 1 and 4 for both years • For districts with municipalities, disaggregate results between the districts and the MC. If the average LG PLE pass rates for UPE (Government Aided) improved between the previous school year but one and previous school year, Score 3 or else score 0	During the **School Year 2022 Soroti DLG** had; 1. Total No. of Candidates registered = 5393 2. Total absentees = 45 3. Total that sat = 5348 4. Total Grades (1, 2, 3 & 4) = (102+1290+1636+974) = 4002 ·Pass rate = 4002 x 100 5348 = 74.8% **School Year 2023** 1. Total No. of Candidates registered = 4276 2. Total absentees = 35 3. Total that sat = 4241 4. Total Grades (1, 2, 3 & 4) = (60+1198+1606+704) = 3568 ·Pass rate = 3568 x 100 4241 = **84.1%** **The PLE pass rate increased by 9.3% (from 74.8% obtained in 2022 to 84.1% for 2023).**	**3**

1	Evidence that the average LG PLE pass rates for UPE (Government Aided) improved between the previous school year but one and previous school year	From the LG obtain UNEB results disaggregated between Government aided and private schools and review: • The LG PLE results for the previous school year but one and the previous year • Calculate the pass rate or percentage increase between the previous school year but one and the previous year • Calculate the percentage of pupils that passed between grades 1 and 4 for both years • For districts with municipalities, disaggregate results between the districts and the MC. If 20% of the learners in the LG government aided schools scored PLE pass grades between 1 and 2, in the previous year Score 3 (max) or else score : 0	At least 20% attainment in 2023 1. Total that sat = 4241 2. Total Grades (1 & 2) = (60+1198) = 1258 ·Pass rate = $\frac{1258}{4241} \times 100$ = **29.7%** **Therefore, the Div I and II pass rate was 29.7%**	**3**

1	Evidence that the average LG PLE pass rates for UPE (Government Aided) improved between the previous school year but one and previous school year	From the LG obtain UNEB results disaggregated between Government aided and private schools and review: • The LG PLE results for the previous school year but one and the previous year • Calculate the pass rate or percentage increase between the previous school year but one and the previous year • Calculate the percentage of pupils that passed between grades 1 and 4 for both years • For districts with municipalities, disaggregate results between the districts and the MC. If 20% of the learners in the LG government aided schools scored PLE pass grades between 1 and 2, in the previous year Score 3 (max) or else score : 0	During the **School Year 2022 Soroti DLG** had; 1. Total No. of Candidates registered = 5393 2. Total absentees = 45 3. Total that sat = 5348 4. Total Grades (1, 2, 3 & 4) = (102+1290+1636+974) = 4002 ·Pass rate = $\frac{4002}{5348} \times 100$ = 74.8% **School Year 2023** 1. Total No. of Candidates registered = 4276 2. Total absentees = 35 3. Total that sat = 4241 4. Total Grades (1, 2, 3 & 4) = (60+1198+1606+704) = 3568 ·Pass rate = $\frac{3568}{4241} \times 100$ = **84.1%** **The PLE pass rate increased by 9.3% (from 74.8% obtained in 2022 to 84.1% for 2023).**	**3**

1				2
	Evidence that the average LG PLE pass rates for UPE (Government Aided) improved between the previous school year but one and previous school year	From the LG obtain UNEB results disaggregated between Government aided and private schools and review: • The LG PLE results for the previous school year but one and the previous year • Calculate the pass rate or percentage increase between the previous school year but one and the previous year • Calculate the percentage of pupils that passed between grades 1 and 4 for both years • For districts with municipalities, disaggregate results between the districts and the MC. If 70% of the learners in the LG government-aided schools scored PLE pass grade rates 4 (cumulative), Score 2 or else score : 0	The cumulative pass rate for School year 2023 1. Total No. of Candidates registered = 4276 2. Total absentees = 35 3. Total that sat = 4241 4. Total Grades (1, 2, 3 & 4) = (60+1198+1606+704) = 3568 ·Pass rate = $\frac{3568}{4241} \times 100$ = 84.1% **Therefore, the cumulative pass rate for 2023 was 84.1%**	

1	Evidence that the average LG PLE pass rates for UPE (Government Aided) improved between the previous school year but one and previous school year	From the LG obtain UNEB results disaggregated between Government aided and private schools and review: • The LG PLE results for the previous school year but one and the previous year • Calculate the pass rate or percentage increase between the previous school year but one and the previous year • Calculate the percentage of pupils that passed between grades 1 and 4 for both years • For districts with municipalities, disaggregate results between the districts and the MC. If 70% of the learners in the LG government-aided schools scored PLE pass grade rates 4 (cumulative), Score 2 or else score : 0	During the **School Year 2022 Soroti DLG** had; 1. Total No. of Candidates registered = 5393 2. Total absentees = 45 3. Total that sat = 5348 4. Total Grades (1, 2, 3 & 4) = (102+1290+1636+974) = 4002 ·Pass rate = $\frac{4002}{5348} \times 100$ = 74.8% **School Year 2023** 1. Total No. of Candidates registered = 4276 2. Total absentees = 35 3. Total that sat = 4241 4. Total Grades (1, 2, 3 & 4) = (60+1198+1606+704) = 3568 ·Pass rate = $\frac{3568}{4241} \times 100$ = **84.1%** **The PLE pass rate increased by 9.3% (from 74.8% obtained in 2022 to 84.1% for 2023).**	**3**

Access

3	Evidence that the total primary school enrolment over the previous academic year and the current year is either above 80% or increased by 5%.	• From UBOS obtain data on population of primary school going age children. • From EMIS/LG Education department obtain enrolment data for the current and previous year. • Calculate the percentage of learners attending school out of the total expected to be in school - disaggregated data for boys, girls and SNE. If the boys' school enrolment increased over the previous two academic years Score 2 or else score: 0	From the LG data, it was indicated that Soroti DLG had 69 GoU-aided Primary schools. The overall enrolment for the 69 GoU-aided primary schools in the year 2023 was 51685 (the boys were 23969 and the girls were 27716, the SNE learners were 2220 (males being 918 and females 1302)) and the enrolment for 2024 was 54474 (the boys were 25861 and the girls were 28613) and the SNE learners were 2752 (males 1405 and 1560 females) From the LG Education department data, the boy's enrolment data for; • the current year was 25861 • the previous year was 23969. Percentage change = New (2024) – Old (2023) x 100 Old =25861 – 23969 x 100 23969 = 7.9%. **The boy's enrolment increased by 7.9%**	**2**
3	Evidence that the total primary school enrolment over the previous academic year and the current year is either above 80% or increased by 5%.	• From UBOS obtain data on population of primary school going age children. • From EMIS/LG Education department obtain enrolment data for the current and previous year. • Calculate the percentage of learners attending school out of the total expected to be in school - disaggregated data for boys, girls and SNE. If the girls' school enrolment increased over the previous two academic years Score 2 or else score: 0	From the LG data, it was indicated that Soroti DLG had 69 GoU-aided Primary schools. The overall enrolment for the 69 GoU-aided primary schools in the year 2023 was 51685 (the boys were 23969 and the girls were 27716, the SNE learners were 2220 (males being 918 and females 1302)) and the enrolment for 2024 was 54474 (the boys were 25861 and the girls were 28613) and the SNE learners were 2752 (males 1405 and 1560 females) From the LG Education department data, the girl's enrolment data for; • the current year was 27716 • the previous year was 28613. Percentage change = New (2024) – Old (2023) x 100 Old =27716 – 28613 x 100 28613 = 3,1%. **The boy's enrolment increased by 3.1%**	**0**

3	Evidence that the total primary school enrolment over the previous academic year and the current year is either above 80% or increased by 5%.	• From UBOS obtain data on population of primary school going age children. • From EMIS/LG Education department obtain enrolment data for the current and previous year. • Calculate the percentage of learners attending school out of the total expected to be in school - disaggregated data for boys, girls and SNE. If the SNE enrolment increased over the previous two academic years Score 2 or else score: 0	From the LG data, it was indicated that Soroti DLG had 69 GoU-aided Primary schools. The overall enrolment for the 69 GoU-aided primary schools in the year 2023 was 51685 (the boys were 23969 and the girls were 27716, the SNE learners were 2220 (males being 918 and females 1302)) and the enrolment for 2024 was 54474 (the boys were 25861 and the girls were 28613) and the SNE learners were 2752 (males 1405 and 1560 females) From the LG Education department data, the girl's enrolment data for; • the current year was 2752 • the previous year was 2220 . Percentage change = New (2024) – Old (2023) x 100 Old =2752 – 2220 x 100 2220 = 24%. **The boy’s enrolment increased by 24%**	**2**
4	Evidence that the total secondary school enrolment over the previous two academic years is either above 70% or increased by 5%	• From UBOS obtain data on population of secondary school going age children. • From EMIS/LG Education department obtain enrolment data for the current and previous year. • Calculate the percentage of learners attending school out of the total expected to be in school - disaggregated data for boys, girls and SNE. If the boys school enrolment increased for the previous two academic years Score 2 or else score: 0	Soroti DLG had five Secondary Schools. The overall enrolment for 2024 was 3215 (1513 being boys and 1701 girls). The total enrolment for 2023 was 2774 of which 1494 were boys and 1280 girls. The percentage change in the boy's enrolment over the two school years was; = New (2024) – Old (2023) x 100 Old = 1513 - 1494 x 100 1494 = 1.3%.	**0**

4	Evidence that the total secondary school enrolment over the previous two academic years is either above 70% or increased by 5%	• From UBOS obtain data on population of secondary school going age children. • From EMIS/LG Education department obtain enrolment data for the current and previous year. • Calculate the percentage of learners attending school out of the total expected to be in school - disaggregated data for boys, girls and SNE. If the girls' school enrolment increased for the previous two academic years Score 2 or else score: 0	Soroti DLG had five Secondary Schools. The overall enrolment for 2024 was 3215 (1513 being boys and 1701 girls). The total enrolment for 2023 was 2774 of which 1494 were boys and 1280 girls. The percentage change in the girl's enrolment over the two school years was; = New (2024) – Old (2023) x 100 Old =1701 - 1280 x 100 1280 = 32.9%. **The percentage change in the girl's enrolment over the two school years was 32.9%**	**2**
4	Evidence that the total secondary school enrolment over the previous two academic years is either above 70% or increased by 5%	• From UBOS obtain data on population of secondary school going age children. • From EMIS/LG Education department obtain enrolment data for the current and previous year. • Calculate the percentage of learners attending school out of the total expected to be in school - disaggregated data for boys, girls and SNE. If the number of SNE enrolment increased over the previous two academic years Score 2 or else score: 0	Soroti DLG had five Secondary Schools. The overall enrolment for 2024 was 3215 (1513 being boys and 1701 girls). The total enrolment for 2023 was 2774 of which 1494 were boys and 1280 girls From the LG Education department data, the SNE's enrolment data for; • the current year was 35 • the previous year was 23. Percentage change = New (2024) – Old (2023) x 100 Old = 35 - 23 x 100 23 = 52.2%. **The percentage change in the girl's enrolment over the two school years was 52.2%**	**2**

5	Evidence that the monthly average learner attendance for government aided primary schools in the LG for the current academic year is above 90%	• From the LG Education department obtain and review attendance data for all primary schools in the current academic year and calculate the average level of attendance. • Sample at least two (2) primary schools to verify accuracy of attendance data in the school registers Verify if the monthly average learners' attendance is above 90% score 4 or else 0	Soroti DLG lacked the monthly average attendance summary for all the 69 schools at the assessment time with an average learner's attendance of 90.3%. The assessment sampled and visited two primary schools to found out the monthly average attendance. From the sampled schools the assessment observed the following; Olong Primary School, the Headteacher (HT) Mr. Atim Francis E computed from the learner's Classroom registers to the monthly attendance reports which gave a monthly average attendance of 89.7%. The average attendances per month was; Oct was 75%, Sept was 90.9%, Aug was 89%, July was 96%, June was 90%, May was 93%, April 90%, March 89.7% and Feb was 94%) The HT of Aminit P/S, Ms. Angella Aruto computed from the classroom registers of learners for the months of February to October 2024. The overall monthly average attendance of 73.64%. The average attendances per class for the nine months was presented by the HT and it was; P1 had 77.1%, P2 had 75.6, P3 had 69.1, P4 had 70.7, P5 had 74.1%, P6 had 69.8% and P7 had 79.8%	**0**
6	Evidence that the monthly average learner attendance for government aided secondary schools in the LG for the current academic year is above 90%	• From the LG Education department obtain and review attendance data for all secondary schools in the current academic year and calculate the average level of attendance. • Sample at least one (1) secondary schools to verify accuracy of attendance data in the school registers Verify if the monthly average learners' attendance is above 90% score 4 or else 0	The LG did not avail to the assessment team data on the monthly average attendance of learners in the five secondary schools. The assessment sampled Kamuda Parent's Secondary School the HT Mr. Richard Okoyo lacked data on the monthly average attendance of learners. He informed the assessment team that the teacher in charge of compiling the learner's attendance was away for UNEB Examination marking.	**0**

Efficiency

7				**0**
	Evidence that the progression rate across government aided primary school grades in a LG has increased between the previous and current year	• From the EMIS/LG Education department obtain progression data for the respective grades (i.e. P1-P3; P4-P5; P6-P7) and calculate the percentage change • Sample at least two (2) primary schools to verify. If 90% - 100% of the learners in P1 progressed to P3 Score 2 or else score: 0	For the three days of assessing Soroti LG, data on progression rates was not presented to the assessment team. The Assessment team sampled and visited schools Olong P/S and Aminit P/S. A visit to the primary schools of Olong P/S and Aminit P/S revealed the following on the learner's progression; **At Olong Primary School** • P1 to P3 P1 in 2022 = 224 P2 in 2023 = 122 P3 in 2024 = 109 Percentage progression rate = $\frac{(109 - 224)}{224} \times 100$ =**-51.3%** **At Aminit Primary School** • P1 to P3 P1 in 2022 = 260 P2 in 2023 = 136 P3 in 2024 = 184 Percentage progression rate = $\frac{(184 - 260)}{260} \times 100$ =**-29.2%**	

7	Evidence that the progression rate across government aided primary school grades in a LG has increased between the previous and current year	• From the EMIS/LG Education department obtain progression data for the respective grades (i.e. P1-P3; P4-P5; P6-P7) and calculate the percentage change • Sample at least two (2) primary schools to verify. If 90% - 100% of the learners in P4 progressed to P5 Score 2 or else score: 0	For the three days of assessing Soroti LG, data on progression rates was not presented to the assessment team. The Assessment team sampled and visited schools Olong P/S and Aminit P/S. A visit to the primary schools of Olong P/S and Aminit P/S revealed the following on the learner's progression; **At Olong Primary School** • P4 in 2023 had 94 learners and P5 in 2024 had 62 Percentage progression rate = $\frac{(62 - 94)}{94} \times 100$ = -34.0% **At Aminit Primary School** • P4 in 2023 had 160 and P5 in 2024 were 183 Percentage progression rate = $\frac{(183 - 160)}{160} \times 100$ = 14.4%	**0**
7	Evidence that the progression rate across government aided primary school grades in a LG has increased between the previous and current year	• From the EMIS/LG Education department obtain progression data for the respective grades (i.e. P1-P3; P4-P5; P6-P7) and calculate the percentage change • Sample at least two (2) primary schools to verify. If 90% - 100% of learners in P6 progressed to P7 Score 2 or else score: 0	For the three days of assessing Soroti LG, data on progression rates was not presented to the assessment team. The Assessment team sampled and visited schools Olong P/S and Aminit P/S. A visit to the primary schools of Olong P/S and Aminit P/S revealed the following on the learner's progression; **At Olong Primary School** P6 in 2023 had 80 to P7 in 2024 had 57 Percentage progression rate = **(57 – 80)** x100 80 = -28.8% **At Aminit Primary School** • P6 in 2023 had 148 and P7 in 2024 had 130 Percentage progression rate = (130 – 148) x100 148 **= -12.2%**	**0**

8	Evidence that the primary school completion rate for both boys and girls in government aided primary schools in the LG for the previous school year is above 80%	From the EMIS/ LG Education Office, obtain and review data on the primary school completion rates. If the total primary school completion rate for both boys and girls in government aided primary schools in the LG for the previous school year is above 80% Score 2 or else score : 0.	The DLG failed to avail data relating to P.1 enrollment for 2018. Therefore, computing the completion rate against the PLE candidates of 2024 for Soroti DLG was not possible.	**0**
8	Evidence that the primary school completion rate for both boys and girls in government aided primary schools in the LG for the previous school year is above 80%	From the EMIS/ LG Education Office, obtain and review total enrolment in P1 seven years ago and compare with current P.7 enrolment If the total primary school completion rate boys in the LG for the previous school year is above 80% Score 2 or else score 0.	The DLG failed to avail data relating to P.1 enrollment for 2018. Therefore, computing the completion rate against the PLE candidates of 2024 for Soroti DLG was not possible.	**0**
8	Evidence that the primary school completion rate for both boys and girls in government aided primary schools in the LG for the previous school year is above 80%	From the EMIS/ LG Education Office, obtain and review then calculate percentage of completion If the total primary school completion rate for girls in the LG for the previous school year is above 80% Score 2 or else score 0.	The DLG failed to avail data relating to P.1 enrollment for 2018. Therefore, computing the completion rate against the PLE candidates of 2024 for Soroti DLG was not possible.	**0**

Human Resource Management

9			**3**
Evidence that the LG maintains accurate teacher deployment data for government aided primary schools and the information has been displayed at the LG and school notice boards, and the Education department has equitably deployed qualified teachers across government aided primary schools as per MoES staffing standards	• From the LG Education department, obtain data on teacher deployment. • Sample two primary schools to verify whether teachers are deployed and teaching in the schools as indicated in the staff lists. • From the school notice boards verify whether the teachers deployed in the school are displayed. • From the LG Human Resource Management (HRM) department, obtain the teacher payroll data Check and verify if: i. The LG maintains accurate teacher deployment data for government-aided primary schools and the information has been displayed at the LG and school notice boards ii. The LG Education department has equitably deployed qualified teachers across government aided primary schools as per MoES staffing standards (i.e. a minimum of a head teacher and 7 teachers or a minimum of one teacher per class for schools with less than 7 grades) If requirements (i) and (ii) are met, score 3 or else 0.	The LG maintained an accurate teacher's deployment list for 2024 with 804 teachers serving in 69 UPE primary schools at the time of assessment. The teacher's deployment list for school year 2024 was found posted on the Education office noticeboard. The list indicated that the LG had deployed primary teachers as per the MoES standards (at least a teacher per class in the UPE schools). From the HRM office, all the teachers on the DEO's list were the same by names and number as the payroll with IPPS numbers as evidenced from the November, 2024 payroll. For field verification, the assessment sampled primary schools Aminit and Soroti Primary schools and Soroti SS to verify if the teachers on the DEO's deployment list were actually teaching at the schools. While at Aminit P/S, the HT, Mr. Emaru Charles and Deputy Ms. Esther Nyarwa presented to the assessment team a teacher's list that showed deployment of 13 teachers by the LG against an enrolment of size of 1115 learners. The number and names of the teachers on the HT list matched with that of the DEO and the payroll of October 2024. At Olong P/S with a school enrolment of 648 learners, the teachers list posted on the walls of the Headteachers' office indicated that the GoU paid teachers were 9. The nine teachers were in tandem with that on the DEO's deployment list.	

10	Evidence that the LG maintains accurate secondary school staff lists and payroll data and the information has been displayed at the LG and school notice boards Score 2 or else score: 0	From the LG Education department/ LG HRM division, obtain payroll data and staff lists Sample at least one (1) secondary schools to verify whether teachers teaching in the school are as presented in the payroll If the LG maintains accurate secondary school staff lists and payroll data and the information has been displayed at the LG and school notice boards Score 2 or else score: 0	The LG maintained an accurate teacher's deployment list for 2024 with 118 teachers in the five USE schools at the time of assessment. The teacher's deployment list for school year 2024 was posted on the DEO's office notice board. The assessment sampled Kamuda Parents Secondary school to ascertain whether what was on the DEO's staff list tallied with what was on the HT's staff list and the teachers' attendance book and TELA data. From the interaction with the HT and the review of the displayed staff list, it was evident the number and names of the 19 GoU paid teachers and 17 PTA teachers which was similar to the staff list displayed in the DEO's. The assessment team visited the HRM office and observed that all the 19 GoU paid teachers were on the November, 2024 payroll	**2**

11	Evidence that the monthly average primary school teacher attendance rate for all schools in the LG for the previous academic is above 75%	From the LG Education department/MoES, obtain data on primary teacher attendance and calculate the percentages From the sampled schools, obtain and review the attendance registers to determine the teacher attendance Triangulate the findings with interviews with the class monitors to determine the teacher attendance a) If the monthly average primary school teacher attendance rate for all schools in the LG for the previous academic is above 90% Score 4 b) If the monthly average primary school teacher attendance rate for the current year is between 75-89% Score 2	By the assessment time, the LG lacked the monthly average primary school teacher attendance rates for the 69 primary schools. The assessment sampled two primary schools of Aminit and Olong Primary Schools to establish the monthly average primary school teacher attendance rates for the current academic year taking the attendances of months February to October. While at Aminit P/S, the monthly average teacher's attendance for the months of February to October and was 90.26% calculated from the Individual teacher's attendances; Aruto Angella with 98.1%, Anwito Immaculate with 97.5%, Ekwaru Amos with 97.5%, Epilu Tom Samuel with 98.6%, Amongin Ruth with 98.7%, Alenyo Esther with 92.4%, Ekaju Robert with 96.2%, Kiyai Joyce 88%, Nakiberu Jalia with 86.7%, Abiya Susan 91.1%, Atoke Harriet with79.7%, Eting Dennis 71.3%. At Olong Primary School, the teacher's average attendance was 84% computed from the individual teacher's attendance (Osana James at 70%, Echimu Simon Peter at 80%, Ecetu Julius at 82.3, Engulu Winniefred at 93%, Eliau Richard at 74%, Ocen Joseph at 91%, Kathungu Salomon at 83%, Akello Harriet at 93% and Odele Moses at 71%)	**0**

12			**3**
	Evidence that the LG Education department uses teacher time on task information from the TELA system to monitor teacher attendance and time on task and takes corrective action	From the MoES/LG obtain TELA reports and calculate percentage use by schools in the particular LG. From the LG obtain and review reports, meeting minutes, providing evidence that actions have been taken to address teacher attendance From the sampled schools establish whether the LG Education Department has made use of the teacher time and task attendance data to take corrective action Check and verify: i. If above 50% of schools in a LG use the TELA system to monitor teacher time and task attendance to ensure improved learning outcomes ii. If there is evidence that the LG Education Department has made use of the teacher time and task attendance data to take corrective action especially in the sampled schools If (i) and (ii) complied with score 3 or else 0.	The LG had the 69 UPE Schools rolled on to the TELA system and it was offering effective service which implied that 100% of the schools were using TELA. A few schools had lodged in a few system challenges like failing to capture the face of one clocking in, internet connectivity and taking long to update when teachers are transferred. The two primary schools sampled to check for corrective action taken were Aminit P/S and Olong P/S; .The results of TELA were used for corrective actions for instance on 21st June, 2024 at Pioneer P/S, the LG engaged the HTs on the reported absenteeism reported from TELA data. Other issues discussed during the meeting were improving the learner’s environment, school management and TELA.

13	Evidence that the secondary school teacher attendance rate for the current academic year is above 90%	• From the LG Education department/MoES obtain data on secondary teacher attendance • From the sampled schools, obtain and review the attendance registers to determine the teacher attendance If the secondary school teacher attendance rate for the current academic year is above 90% Score 4 If the secondary school teacher attendance rate for the current year is between 75-90% Score 2	The LG secondary school current academic year teacher's attendance rates from the five Secondary schools were not presented for assessment. The assessment team sampled and visited Kamuda Parents SS to verify the current year monthly attendance rates for the 9 months from February to October 2024. Unfortunately, the data was not presented for assessment for the three days the team was in Soroti.	**0**
14	Evidence that the schools with more than one teacher per class, additional teachers are deployed to the lower foundation grades which have the largest enrolments	• From the sampled school review the staff list and timetable to establish whether additional teachers are deployed to the lower foundation grades If the schools with more than one teacher per class, additional teachers are deployed to the lower foundation grades which have the largest enrolments score 2 or else 0	The assessment sampled Aminit and Olong Primary schools to establish if the additional teachers were being deployed at grades with largest enrollment. **At Aminit Primary School** • The 2024 enrolment was 1115 and the teachers were 13. • The Lower primary grades enrolment was 493 • The Headteacher had deployed five teachers **While at Olong Primary School** • The overall enrolment for 2024 was 648 and teachers were nine • The pupils in classes P1 to P3 were 341 and were being taught by 4 teachers.	**2**

15	Evidence that the LG Education department provided continuous professional development for teachers in the previous school year to improve their skills, adapt to new teaching methods and curricula and address the performance gaps flagged in the School Performance Assessment (SPA)	• From the LG Education department obtain and review evidence of CPD activities e.g. training materials, presentations, to ascertain whether the LG provided relevant CPD for teachers. • Review CPD reports • Review school improvement plans. Verify if the LG Education department provided continuous professional development for teachers in the previous school year to improve their skills, adapt to new teaching methods and curricula and address the performance gaps flagged in the School Performance Assessment (SPA) Score 2 or else score: 0	There was evidence that the LG provided Continuous Professional Development based on the findings of the SPA and inspection. On 21st June, 2024 at Pioneer P/S, the LG trained the teachers on how to improve the learning environment through early preparation for the new term and having joint scheming and making of instruction materials. The facilitator was the acting DIS Icimu Loyce Mildred. With the help from World Vision Uganda, there was training of teachers in Gwere Subcounty on 4th June, 2024 with specific fucus on scheming work, lesson planning and learner's continuous assessment at Gwere P/S.	**2**

Management and functionality of amenities

16	a) Evidence that the LG assessed during the previous FY the condition of school facilities to ensure that they meet the minimum quality standards. b) Evidence that the LG utilized the allocated resources towards school maintenance in the previous FY in line with the condition assessment and school-level maintenance schedule.	• From the LG Education department obtain and review records and reports of school condition assessments. Verify the LG assessed during the previous FY the condition of school facilities to ensure that they meet the minimum quality standards. Score 3 or else score: 0	The LG failed to adduce evidence to prove that it had conducted assessment of the school facilities in the previous fiscal year to ensure that school facilities meet minimum quality standards.	**0**

16				**7**
	a) Evidence that the LG assessed during the previous FY the condition of school facilities to ensure that they meet the minimum quality standards. b) Evidence that the LG utilized the allocated resources towards school maintenance in the previous FY in line with the condition assessment and school-level maintenance schedule.	• From the planner obtain and review the sub-programme AWP and performance reports to check whether resources and expenditures for school O&M activities were allocated towards school maintenance in line with the school condition assessment. If the LG utilized the allocated resources towards school maintenance in the previous FY in line with the condition assessment and school-level maintenance schedule. Score 7 or else score: 0	The LG received Ugx 565,657,000 school maintenance grant during the FY 2023/2024. Although, the assessment team failed to see the Condition assessment report and the maintenance schedule, the LG allocated the maintenance grant as per the guidelines and the findings of the SPA and inspections conducted. The LG allocated the grant as per MoES guidelines of **14th March, 2024** that stated that maintenance grant be prioritized towards; repairs, replacement of furniture/equipment, purchase of cable locks for all computers to curtail their theft and fencing of Seed Schools reconstructed under UGIFT Phase I construction of latrine stance (page 14) and allocated fencing of Asuret Seed School per the guidance from MoES and buying of Cable locks for Asuret Seed School Computer Laboratory at Ugx 45,096,000 and Ugx 2,380,000 respectively. The balance of the money was allocated to building latrines in Lale, Oliy-Kamuda, Dokolo-Gweri and purchase of three-seater desks for lower primary grades at Merok P/S.	

Monitoring and Inspection

17	Evidence that all schools have submitted a report to the LG which describes the activities conducted (how capitation grant was spent); and explains what has been achieved in relation to improving learning outcomes.	From the LG Education department obtain the list of all schools that received capitation; Review records of school accountabilities to establish whether all schools submitted reports sample reports to check the activities conducted (how capitation grant was spent); and explains what has been achieved in relation to improving learning outcomes Verify that all schools have submitted a report to the LG which describes the activities conducted (how capitation grant was spent); and explains what has been achieved in relation to improving learning outcomes. Score 3 or else score: 0	The LG received capitation grant of Ugx 1,307,582,201 (UPE) and Ugx 466,004,900 (USE) and Skills development Ugx 1,140,786,294 during the FY 2023/2024. The funds were utilized as per the guidelines; Scholastic materials 35%, Co-curricular 20%, Management 15%, Administration 10% and Contingency 20% for UPE schools. The Schools through the Finance committees budgeted for the capitation grant. The DEO issued a clarence for the utilization of the capitation grant for instance on 17th June, 2024, DEO cleared Soroti P/S to use Ugx 8,422,172 the release for Q4 of FY 2023/2024.	**3**

Management of Financial Resources

18	a) Evidence that the LG used 100% of inspection funds to conduct inspection as per guidelines b) Evidence that the LG produced a report which describes how the grant was used and explains what has been achieved in relation to improving learning outcomes.	From the LG Finance department obtain financial records to establish when and the amounts transferred to the Inspection division From the LG Education department, obtain and review: Sub-programme performance reports to ascertain whether the grant was used to improve learning outcomes If the LG used 100% of inspection funds to conduct inspection as per guidelines score 3 or else score: 0	The LG budgeted for inspection for the FY 2023/2024 and actually received Ugx 22,816,000 (Q1 Ugx 7,522,900, Q3 Ugx 8,507,339 and Q4 7,994,124). Relatedly the morning releases were; Q1 Ugx 3,300,000, Q2 Ugx 3,705,959 and Q4 Ugx 3,538,773 The money was spent on eligible activities aimed at improving the learning outcomes for example EFT Voucher No. 10383037 of 5th February, 2024 paid Ugx 4,607,772 to Emoru A Simon for school inspection. Ugx 1,500,000 was for fuel inspectors, SDA was Ugx 1,400,000 for inspectors and assessors, SDA for DIS was 250,000, Driver SDA was Ugx 220,000, other fuel was Ugx 747,772, submission of reports Ugx 360,000 and transport Ugx 100,000 as per the requisition form dated 31st January, 2024.	**3**
18	a) Evidence that the LG used 100% of inspection funds to conduct inspection as per guidelines b) Evidence that the LG produced a report which describes how the grant was used and explains what has been achieved in relation to improving learning outcomes.	From the LG Finance department obtain financial records to establish when and the amounts transferred to the Inspection division From the LG Education department, obtain and review: Sub-programme performance reports to ascertain whether the grant was used to improve learning outcomes If the LG produced a report which describes how the grant was used and explains what has been achieved in relation to improving learning outcomes score 2 or else score 0.	The DIS prepared termly inspection funds expenditure/accountability reports for every disbursement and presented to DES before the release for the successive term. The activity report and accountability for inspection money received was done for instance Accountability Form (34B) of 23rd May, 2024 indicated that Ag. DIS Ms. Icimu was accounting for Ugx. 3,200,000 as advance for offering support supervision for teachers. the form was signed by the CFO, DIA and CAO. The outcomes included improved teaching-learning relationship. Hence, the LG described how the inspection grant was used and highlighted achievements which support improving learning outcomes.	**2**

Environment, Social, Health and Safety

19	Evidence that the LG Education	From the sampled schools, check for	There was evidence that Soroti LG Education sub-program and the service points (schools) had	**4**

department has conducted programs to create a safe learning environment in all government aided schools	existence and functionality of the safe learning environment facilities including: i. Use of energy efficiency measures e.g. use of solar, biogas and energy saving cooking stoves ii. Proper waste management iii. Tree planting and green spaces within the school iv. Provision of clean water sources and sanitation facilities v. Establishment and functionality of environmental clubs vi. Provision of facilities for disposal and changing of sanitary pads If 4 of the above measures complied with score 4 or else score 0	undertaken activities to create safe learning environment in the LG. From the review of a report dated 16th May, 2023, there was evidence of training members of the SMC, BoGs, PTA and teachers of schools; Kamuda Parents SS, Soroti P/S, Katiti P/S, Kadinga P/S on child protection measures against violence, discrimination where the DCDO noted areas of neglect like learners being deprived of food while at school by their parents. The assessor visited; Olong P/S, Aminit P/S and Kamuda Parents SS and observed the following as safe learning environment facilities; **At Olong P/S, there was;** • Peace club was planting of trees donated by the Ministry of Teso Affairs • The school had a Borehole located about 200metres as the Safe water source • Dust bins in each classroom and a rubbish pit where decomposing materials were dumped • Plastics were collected and burnt • The school was using both solar and hydro power for righting and in the kitchen, firewood was being in the energy saving stoves. • There were bathing and changing rooms for girls **At Aminit Primary School, the following were noted in respect of having a safe learning environment** • Safe water facilities of Borehole at the Catholic parish • There was planting of 1000 trees donated by the forestry department and 30 seedlings that were from the education department • Use of solar at the administration block for lighting, • segregation of wastes/rubbish, the decomposing was dumped into the waste pits and non-decomposing was collected and carried away by the Town Council trucks • There was a good green compound, • The washing and changing rooms were in place **While at Kamuda Parents Secondary School, the following were observed in respect of the safe learning environment;** • Youth Leading Environment Club was championing tree planting and sensitizing of learners and the Community on environment protection issues. • Safe water sources were 2 Boreholes and rain water harvesting system. • Green compound with clear walkways • Solar and hydro power sources were present

			• Firewood supported with Energy saving stoves were being used in the kitchen • A rubbish pit was seen behind the kitchen Therefore, there was a safe learning environment in the schools sampled.	
20	Evidence that the LG has implemented protection measures against violence, abuse, and discrimination against children, workers, and teachers in schools. They have trained teachers, workers, children, SMC, BoG, and communities on eliminating such issues and have eliminated corporal punishments in all schools.	Sample 3 schools to ascertain that protection measures are in place against any form of violence/abuse discrimination for children, workers and teachers LG conducted training and sensitization on the protection measures LG Education Office and Community Development Office have trained the SMCs and BoGs on grievance management and stakeholder engagement. Sample 3 schools to ascertain that LG conducted VAC training activities Check and verify if: i. The LG has put in place protection measures against any form of violence/abuse discrimination for children, workers and teachers in schools ii. The LG has trained, sensitized teachers, workers, children, SMC, BoG and communities on measures to eliminate any form of violence/abuse and discrimination against Children, workers and teachers and taken actions to stamp out corporal punishments in all schools.	A visit at the sampled three schools; Olong P/S, Aminit P/S and Kamuda Parents SS, it was evident that protection measures to guard against; violence/abuse and discrimination had been put in place. • There was School Rules and Regulation that aimed at ensuring equality and instilling common discipline amongst the learners • The schools had in place the senior man and senior woman teacher for Kamuda Parentsto handle teenage challenges through regular counselling and guidance • The schools had washing and changing rooms for girls • Through clubs like UNSA, learners had channels of effective communication and socialisation as peers • Disciplinary committees headed by the Deputy Headteachers were in place • Chain link fence at Kamuda Parents SS • Chaplaincy programs from the founding religious bodies were on the time table during the co-curricular time. Therefore, the LG had put in place protection measures against any form of violence against children.	**4**

		iii. The School Management Committees (SMC) /Board of Governors (BoG) have been trained on stakeholder engagement and grievance management as per the circular on grievance management by MoGLSD Score 4 or else score: 0		
Transparency, oversight, reporting and accountability				
21	a) Evidence that the LG identified and documented areas that hamper improvement of learning outcomes at school level within the LG b) Evidence that the LG developed a customized school Inspection Plan that highlight specific activities, verifiable indicators and outputs c) Evidence that all primary schools are Inspected at least once per term; and the inspection reports disseminated at school, LG and National level through e-inspection d) Evidence that the LG supported schools to develop SIPs to address areas of weakness observed during inspection e) Evidence that the LG Inspector of Schools conducted School Performance Assessments in all Government-aided primary schools f) Evidence that the LG Education Officer has monitored inspection activities and implemented	From the LG Education Department obtain and review inspection reports/ information to ascertain that all primary schools were duly inspected and recommendations to address identified school performance weaknesses were followed-up and implemented. • Obtain copies of inspection plans and inspection reports to: ascertain that all schools were inspected • The inspection encompassed among others the following; proper preparation of schemes of work, lesson plans, lesson observation, time-table implementation, pupil and staff attendance, deployment of teachers across grades; continuous assessment of learners, learning environment) Letters from DES acknowledging receipt of inspection	During the FY 2023/2024, the LG Education inspectorate was functional and executed its mandate by inspecting all the sixty-nine UPE and six USE schools. The inspection work was guided by clearly approved plans for instance the Term III, 2023 plan and budget of Ugx 9,621,334 date-stamped 4th August, 2023 was assessed. The assessor reviewed inspection reports for Term I and II, 2024 and the SPA Term III, 2023 which revealed that all the UPE schools had been inspected. The report was submitted to DES by acting DIS Ms. Icimu Loyce Mildred and were accepted and acknowledgement receipts issues by DES for instance Term III, 2023 was submitted on 21st December, 2023, Term I, 2024 report was submitted on 18th June, 18th June, 2024 and Term II, 2024 report was submitted on 23rd September, 2024. The LG from the Inspection reports, documented areas hindering learning outcome improvement and they included; teacher’s and learner’s absenteeism, cracked classroom floors, lack of enough meals for full day learners, inadequate furniture, latrine stances and scholastic materials. The LG inspection findings were implemented for example, recommendations of the lobbying for more latrine stances resulted into the use of Ugx 77,000,000 maintenance fees to renovate latrines at Primary schools; Ochuloi, Ojama-Kate, Abeko and emptying of a latrine at Ojago.	**2**

the inspection recommendations g) The LG evaluated the effectiveness of the implemented recommendations to improve learning outcomes and re-plan	reports. Obtain and review the school inspection and training reports to determine • Whether the schools were supported to develop the SIP • Whether the SIPs address the gaps identified in the School Performance Assessment Whether the schools were supported to implement the SIPs Check and verify if the LG identified and documented areas that hamper improvement of learning outcomes at school level within the LG score 2 or else score 0.	

21	a) Evidence that the LG identified and documented areas that hamper improvement of learning outcomes at school level within the LG b) Evidence that the LG developed a customized school Inspection Plan that highlight specific activities, verifiable indicators and outputs c) Evidence that all primary schools are Inspected at least once per term; and the inspection reports disseminated at school, LG and National level through e-inspection d) Evidence that the LG supported schools to develop SIPs to address areas of weakness observed during inspection e) Evidence that the LG Inspector of Schools conducted School Performance Assessments in all Government-aided primary schools f) Evidence that the LG Education Officer has monitored inspection activities and implemented the inspection recommendations g) The LG evaluated the effectiveness of the implemented recommendations to improve learning outcomes and re-plan	Check and verify if the LG developed a customized school Inspection Plan that highlight specific activities, verifiable indicators and outputs score 2 or else score 0.	**2** The LG Education department prepared termly inspection plan for the FY 2024/2025. The plan was endorsed by the DIS, DEO, CFO and CAO on 10th September, 2024 was presented for assessment. The plan had clear targets like inspecting all the 69 GoU schools, all the Community and private education institutions within the District. The inspection plan contained output like schools inspected, inspection reports shared with different stakeholders like DES within the specified time frames, key activities like monitoring and conducting support supervision for underperforming schools, monitoring learning achievements in both primary and secondary schools, tracking the attendance of learners and teachers and supervising syllabus coverage and implementation in schools. The verifiable indicators included among others inspection reports, pre and post inspection evaluation meeting minutes, the number of schools inspected and the Outputs were monitoring of schools especially the GoU aided

a) Evidence that the LG identified and documented areas that hamper improvement of learning outcomes at school level within the LG b) Evidence that the LG developed a customized school Inspection Plan that highlight specific activities, verifiable indicators and outputs c) Evidence that all primary schools are Inspected at least once per term; and the inspection reports disseminated at school, LG and National level through e-inspection d) Evidence that the LG supported schools to develop SIPs to address areas of weakness observed during inspection e) Evidence that the LG Inspector of Schools conducted School Performance Assessments in all Government-aided primary schools f) Evidence that the LG Education Officer has monitored inspection activities and implemented the inspection recommendations g) The LG evaluated the effectiveness of the implemented recommendations to improve learning outcomes and re-plan	Check and verify if all primary schools are Inspected at least once per term; and the inspection reports disseminated at school, LG and National level through e-inspection score 2 or else score 0.	The reviewed 3rd Term, 2023, Term I, 2024 and Term II, 2024 e-inspection reports indicated that at least all the 69 UPE and five USE schools had been inspected at least 1 a term during the past three school terms. From the feedback reports found at Soroti SS, Olong P/S and Aminit Primary schools indicated that the inspectors were sharing and discussing the inspection findings with the school administration for example Ms. Icimu Loyce Mildred the acting Inspector of on 17th July, 2024 at 3:33pm shared via email inspection report for Kamuda P/S with the HT Ms. Akongo Jane. The reports found at Olong and Aminit were signed by the both the HT and the inspector which was a sign of co-owning the inspection findings after discussion and consent. There was discussion of the inspection reports by the Education sub-program staff for instance on 21st June, 2024. The reports were shared and discussed by the social services committee for instance on 5th February, 2024 vide minute No. 06/30/8/24. The report was submitted to DES by acting DIS Ms. Icimu Loyce Mildred and were accepted and acknowledgement receipts issues by DES for instance Term III, 2023 was submitted on 21st December, 2023, Term I, 2024 report was submitted on 18th June, 18th June, 2024 and Term II, 2024 report was submitted on 23rd September, 2024.	

21	a) Evidence that the LG identified and documented areas that hamper improvement of learning outcomes at school level within the LG b) Evidence that the LG developed a customized school Inspection Plan that highlight specific activities, verifiable indicators and outputs c) Evidence that all primary schools are Inspected at least once per term; and the inspection reports disseminated at school, LG and National level through e-inspection d) Evidence that the LG supported schools to develop SIPs to address areas of weakness observed during inspection e) Evidence that the LG Inspector of Schools conducted School Performance Assessments in all Government-aided primary schools f) Evidence that the LG Education Officer has monitored inspection activities and implemented the inspection recommendations g) The LG evaluated the effectiveness of the implemented recommendations to improve learning outcomes and re-plan	Check and verify if the LG supported schools to develop SIPs to address areas of weakness observed during inspection score 2 or else score 0.	**2** There was evidence that the LG helped schools in the development of SIPs. The LG during the dissemination of the Term, III 2023 SPA findings on 21st June, 202, the teachers were reminded on how to prepare schemes of work, lesson plans and on 4th June, 2024 while at Gwere P/S, the Ag. DIS Icimu Lyce helped teachers on how to develop the SIP. As one of the training outcomes for the capacity building in the development of the SIPs, the LG presented to the asseessor a file containing SIPs from 69 UPE schools.

21	a) Evidence that the LG identified and documented areas that hamper improvement of learning outcomes at school level within the LG b) Evidence that the LG developed a customized school Inspection Plan that highlight specific activities, verifiable indicators and outputs c) Evidence that all primary schools are Inspected at least once per term; and the inspection reports disseminated at school, LG and National level through e-inspection d) Evidence that the LG supported schools to develop SIPs to address areas of weakness observed during inspection e) Evidence that the LG Inspector of Schools conducted School Performance Assessments in all Government-aided primary schools f) Evidence that the LG Education Officer has monitored inspection activities and implemented the inspection recommendations g) The LG evaluated the effectiveness of the implemented recommendations to improve learning outcomes and re-plan	Check and verify if the LG Inspector of Schools conducted School Performance Assessments in all Government aided primary schools score 2 or else score 0	There was evidence that SPA was conducted in all the 69 UPE Schools. The LG presented a consolidated report of Term III, 2023 report which was submitted to DES by acting DIS Loyce Icimu on 21st December, 2023 and acknowledged by Starin Musimenta via Form 4 date-stamped on the same day.	**2**

21	a) Evidence that the LG identified and documented areas that hamper improvement of learning outcomes at school level within the LG b) Evidence that the LG developed a customized school Inspection Plan that highlight specific activities, verifiable indicators and outputs c) Evidence that all primary schools are Inspected at least once per term; and the inspection reports disseminated at school, LG and National level through e-inspection d) Evidence that the LG supported schools to develop SIPs to address areas of weakness observed during inspection e) Evidence that the LG Inspector of Schools conducted School Performance Assessments in all Government-aided primary schools f) Evidence that the LG Education Officer has monitored inspection activities and implemented the inspection recommendations g) The LG evaluated the effectiveness of the implemented recommendations to improve learning outcomes and re-plan	Check and verify if the LG Education Officer has monitored inspection activities and implemented the inspection recommendations score 2 or else score 0.	There was evidence to prove that LG constantly monitored inspection activities implemented the recommendations made from inspection during the previous FY for example a report dated 24th September, 2024 indicated that the DEO monitored the activities of tree planting for the seedlings that were distributed under the Environment project 2023/2024. The DEO further had on file a monitoring reported dated 28th October, 2023 on tree seedling planting under the Environment Conservation Project.	**2**

21	a) Evidence that the LG identified and documented areas that hamper improvement of learning outcomes at school level within the LG b) Evidence that the LG developed a customized school Inspection Plan that highlight specific activities, verifiable indicators and outputs c) Evidence that all primary schools are Inspected at least once per term; and the inspection reports disseminated at school, LG and National level through e-inspection d) Evidence that the LG supported schools to develop SIPs to address areas of weakness observed during inspection e) Evidence that the LG Inspector of Schools conducted School Performance Assessments in all Government-aided primary schools f) Evidence that the LG Education Officer has monitored inspection activities and implemented the inspection recommendations g) The LG evaluated the effectiveness of the implemented recommendations to improve learning outcomes and re-plan	Check and verify if the LG evaluated the effectiveness of the implemented recommendations to improve learning outcomes and re-plan score 2 or else score 0.	**2** Through the follow up inspections, supporting the schools to develop the SIPs and inspecting their implementation, the LG was evaluating the effectiveness of the implemented recommendations to inform the future planning of improving the learning environment. The sensitization report dated 2nd August, 2024 highlighted the training of the beneficiaries of the Environment Conservation Project on how to plant trees, the benefits of the trees in a school set up and how a green compound impacts on the learning process. With the help from World Vision Uganda, there was training of teachers in Gwere Subcounty on 4th June, 2024 with specific fucus on scheming work, lesson planning and learner’s continuous assessment at Gwere P/S. The training followed the inspection findings by the acting DIS that noted a capacity gap that had hindered improved learning outcomes during term I of school year 2024.

PMs and Indicators to Incentivise Delivery of Accessible, Quality and Efficient Health Services

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Quality				
1	Evidence that DHO and ADHO MCH have supervised and supported all health facilities to ensure the LG either has no death or has audited all perinatal deaths that happened in all the facilities	• Obtain and review DHIS2 to establish whether any of the health facilities experienced Perinatal Death. • Sample one (1) Health Centre IV/District Hospital; and two (2) Health Centre IIIs. • Obtain and review Audit Reports and the MPDSR report to establish whether the sampled health facilities experienced Perinatal Death, conducted audits in the previous FY. Check and verify if the DHO and ADHO MCH have supervised and supported all health facilities to ensure the LG either has no death or has audited all perinatal deaths that happened in all the facilities score 6 or else score 0.	The LG through the DHO managed to supervise and support all health facilities to ensure that the LG either has no [perinatal] or audited all perinatal deaths that happened in all the facilities as detailed below; The 3 sampled Facilities from DHIS2 that had perinatal death were Tiriri HCIV, Tubur HCIII; Asuret HCIII According to Dhis2 data, Tiriri HCIV had 36 perinatal deaths; Tubur HCIII had 1 perinatal death and Asuret HCIII had 1 perinatal death. On review of the facility data, Tiriri HCIV: Total Perinatal Death =33 12 MSB SN; TYPE of death; IPNo; Admission date: Mother's initial; Date Delivered; Date Reviewed 1 MSB 13 4/7/2023 A.E 4/7/2023 8/7/2023 2 FSB 143 24/8/2023 A.M 24/8/2023 26/8/2023 3 FSB 247 2/9/2023 M.J 2/9/2023 28/9/2023 4 MSB 245 22/9/2023 A.H 22/9/2023 28/9/2023 5 MSB 277 1/10/2023 A.S 2/10/2023 9/10/2023 6 FSB 294 5/10/2023 A.L 9/10/2023 9/10/2023 7 FSB 330 13/10/2023 A.A 15/10/2023 15/10/2023 8 MSB 354 22/10/2023 A.M 22/10/2023 22/10/2023 9 MSB 364 23/10/2023 A.D 23/10/2023 23/10/2023 10 MSB 463 6/11/2023 A.E 6/11/2023 2/1/2024 11 MSB 410 7/11/2023 A.M 7/11/2023 11/11/2023 12 MSB 413 8/11/2023 I.R 8/11/2023 30/11/2023	**6**

13 FSB 426 11/11/2023 A.D 11/11/2023 14/11/2023

14 FSB 427 11/11/2023 A.T 11/11/2023 1012/2023

15 FSB 486 26/11/2023 A.G 26/11/2023 28/11/2023

16 MSB 515 3/12/2023 A.S 3/12/2023 8/12/2023

17 FSB 531 9/12/2023 A.M 5/12/2023 8/12/2023

18 FSB 576 21/12/2023 A.R 21/12/2023 24/12/2023

19 FSB 633 7/1/2024 A.J 7/1/2024 10/1/2024

20 FSB 654 11/1/2024 A.L 11/1/2024 14/1/2024

21 FSB 668 15/1/2024 A.I 15/1/2024 18/1/2024

22 FSB 767 10/2/2024 A.S 10/2/2024 23/2/2024

23 FSB 777 15/2/2024 A.R 15/2/2024 23/2/2024

24 FSB 819 28/2/2024 A.J 28/2/2024 2/3/2024

25 FSB 963 15/4/2024 A.V 15/4/2024 18/4/2024

26 ND 985 23/4/2024 I.P 23/4/2024 23/4/2024

27 MSB 987 23/4/2024 A.K 23/4/2024 24/4/2024

28 MSB 1069 16/5/2024 A.P 16/5/2024 19/5/2024

29 FSB 1078 29/5/2024 A.S 29/5/2024 18/6/2024

30 MSB 1098 29/5/2024 A.S 29/5/2024 30/5/2024

31 FSB 1100 29/5/2024 A.M 29/5/2024 15/6/2024

32 FSB 1122 2/6/2024 A.J 2/6/2024 8/6/2024

33 MSB 1149 6/6/2024 A.B 6/6/2024 15/6/2024

34 MSB 1177 15/6/2024 A.L 15/6/2024 15/6/2024

35 FSB 1188 17/6/2024 A.P 17/6/2024 19/6/2024

36 FSB 1210 22/6/2024 A.B 22/6/2024 26/6/2024

Tubur HCIII; Total Perinatal Death =1
,

1 NBD

1.IPNO 484 admitted on 13/11/2023, mother initials AM delivered on 15/11/23. reviewed on 15/11/23

Asuret HCIII; Total Perinatal Death = 1

1 MSB

IPNO 140 admitted 24/08/23 mother initials A.J delivered 24/8/23 - reviewed on 26/8/2023

There was evidence to show that the ADHO - Alabo Janet supported the health facilities as evidenced by the Q1 DHT supervision report. Tiriri HCIV was supported on 27/9/2023, Tubur was supported on 27/9/2023.

2	Evidence that the LG has ensured that all malaria cases treated were tested	• Obtain and review DHIS2 to establish that all treated malaria cases were tested. Verify if the LG has ensured that all malaria cases treated were tested score 6 or else score 0	The LG did not ensure that ALL malaria cases treated were tested. The total malaria tests confirmed by both BS and RDT were 1018 More than the malaria cases treated in the 3 sampled facilities however Tubur HCIII had 2 more cases treated than the malaria tests done. **Tiriri HCIV.** Malaria +ve Tests done - RDT FY 2023/24 Q2 = 2028 Q4 =1956 Total = 3984 Malaria +ve Tests done - BS FY 2023/24 Q2 = 436 Q4 = 501 Total = 937 Total Malaria +ve Tests done in Q2 and Q4 (BS and RDT) = 3984+ 937 = 4921 Malaria cases treated FY 2023/24 Q2 = 1444 Q4 = 2457 Total = 3901 **Tubur HCIII** Malaria +ve Tests done - RDT FY 2023/24 Q2 =1009 Q4 =1893 Total = 2902 Malaria +ve Tests done - BS FY 2023/24 Q2 = 801 Q4 =123 Total =924 Total Malaria +ve Tests done in Q2 and Q4 (BS and RDT) = 2902 +924 = 3826 Malaria cases treated FY 2023/24 Q2 = 1807 Q4 = 2021 Total = 3828 **Asuret HCIII** Malaria +ve Tests done - RDT FY 2023/24 Q2 =991 Q4 =267 Total =1258 Malaria +ve Tests done - BS FY 2023/24 Q2 = 1029 Q4 =1549 Total =2578 Total Malaria +ve Tests done in Q2 and Q4 (BS and RDT) = 1258 +2578 = 3836 Malaria cases treated FY 2023/24 Q2 = 2020 Q4 = 1816 Total = 3836. Total Malaria tests RDT and BS Q2 + Q4 for the 3 facilities = 12583 Total Malaria cases treated Q2 + Q4 for the 3 facilities = 11565	**0**

Access

3	Evidence that LG facilities increased Out-patient (OPD) attendance by at least 5% between the previous FY but one and the previous FY	• Review DHIS2 for the previous two FYs and calculate the percentage increase in OPD attendance Verify if the LG facilities increased Out-patient (OPD) attendance by at least 5% between the previous FY but one and the previous FY Score 4 or else 0	The LG facilities had an increase in Out-patient (OPD) attendance by 3% between the previous FY but one and the previous FY. This was below the required threshold of 5% increase. OPD attendance Total for all District facilities FY 2023/24 = 177348 OPD attendance Total for all District facilities FY 2022/23 = 171881 %age increase = FY 2023/24 - FY 2022/23 X 100 FY 2022/23 %age increase = 177348 - 171881 X 100 171881 %age increase = 5467 X 100 171881 %age increase = 3%	**0**
4	a) Evidence that the LG has ensured that all public health facilities submitted quarterly VHT reports in the previous FY b) Evidence that the LG has ensured that each public health facilities conducted at least 48 community outreaches in the previous FY score 4 or else 0	Review community outreach reports to establish whether all health facilities: • Submitted quarterly VHT reports in the previous FY Verify if the LG has ensured that all public health facilities submitted quarterly VHT reports in the previous FY score 2 or else 0	The LG ensured did NOT ensure that ALL public health facilities submitted quarterly VHT reports in the FY 2023-2024 as detailed below. The local government has 16 health facilities of which 13 facilities are public and 2 are PNFP and 1 is private for profit. Only the 15 facilities report ICCM i.e 13 government facilities and 2 PNFPs. Only 1 facility Katine HCIII submitted reports for the 4 quarters of FY 23/24. All the other facilities were each missing some reports as detailed below Arabaka HCII ICCM-VHT reports: Q1 - No evidence provided Q2 - received 10 Jan 2024 ; Households visited = 1153 Q3 - received 29 April 2024 ; Households visited = 1266 Q4 - received 8 July 2024 ; Households visited = 1266 Gweri HCIII ICCM-VHT reports: Q1 - received 13 Oct 2023 ; Households visited = 5909 Q2 - received 8 Jan 2024 ; Households visited = 5909 Q3 - No evidence provided	**0**

Q4 - received 20 July 2024 ; Households visited = 6311

Ocokican HCII ICCM-VHT reports:

Q1 - No evidence provided

Q2 - received 5 Jan 2024 ; Households visited = 2912

Q3 - received 12 April 2024 ; Households visited =3547

Q4 - received 5 July 2024; Households visited =2654

Agirigiroi HCII ICCM-VHT reports:

Q1 - received 6 Oct 2023 ; Households visited = 1836

Q2 - received 1 Jan 2024 ; Households visited = 1212

Q3 - received 7 April 2024 ; Households visited = 1697

Q4 - No evidence provided

Katine HCIII ICCM-VHT reports:

Q1 - received 20 Oct 2023 ; Households visited = 1288

Q2 - received 8 Jan 2024 ; Households visited = 1289

Q3 - received 15 April 2024 ; Households visited = 1288

Q4 - received 4 July 2024; Households visited = 1300

Aukot HCII ICCM-VHT reports:

Q1 - No evidence provided

Q2 - received 5 Jan 2024 ; Households visited = 3655

Q3 - received 25 April 2024 ; Households visited = 3667

Q4 - No evidence provided

Kamuda HCIII ICCM-VHT reports:

Q1 - No evidence provided

Q2 - received 23 Jan 2024 ; Households visited = 4672

Q3 - received 24 April 2024 ; Households visited = 3988

Q4 - received 1 July 2024; Households visited = 4802

Tiriri HCIV ICCM-VHT reports:

Q1 - received 12 Oct 2023 ; Households visited = 4241

Q2 - No evidence provided

Q3 - received April 2024 ; Households visited = 2830

Q4 - received July 2024; Households visited = 4204

Dakabela HCIII ICCM-VHT reports:

Q1 - No evidence provided

Q2 - received 14 Jan 2024 ; Households visited = 3347

Q3 - received 30 May 2024 ; Households visited = 3347

Q4 - No evidence provided

Ojom HCII ICCM-VHT reports:

Q1 - received 17 Oct 2023 ; Households visited = 4446

Q2 - No evidence provided

Q3 - No evidence provided

Q4 - No evidence provided

Obule HCII ICCM-VHT reports:

Q1 - No evidence provided

Q2 - received 9 Jan 2024 ; Households visited = 1020

Q3 - No evidence provided

Q4 - No evidence provided

Lalle HCII ICCM-VHT reports:

Q1 - No evidence provided

Q2 - received 12 Jan 2024 ; Households visited = 2434

Q3 - received 30 March 2024 ; Households visited = 2438

Q4 - No evidence provided

Awaliwal HCII ICCM-VHT reports:

Q1 - No evidence provided

Q2 - received 23 Jan 2024 ; Households visited = 3148

Q3 - No evidence provided

Q4 - No evidence provided

Asuret HCIII ICCM-VHT reports:

Q1 - No evidence provided

Q2 - received 8 Jan 2024 ; Households visited = 4368

Q3 - No evidence provided

			Q4 -No evidence provided Tubur HCIII ICCM-VHT reports: Q1 -No evidence provided Q2 -No evidence provided Q3 - received April 2024 ; Households visited = 6526 Q4 - No evidence provided	
4	a) Evidence that the LG has ensured that all public health facilities submitted quarterly VHT reports in the previous FY b) Evidence that the LG has ensured that each public health facilities conducted at least 48 community outreaches in the previous FY score 4 or else 0	Review community outreach reports to establish whether all health facilities: • Conducted at least 48 community outreaches in the previous FY including 4 at schools Verify if the LG has ensured that each public health facilities conducted at least 48 community outreaches in the previous FY score 4 or else 0	LG did not ensure that EACH public health facility conducted at least 48 community outreaches in FY 2023-2024. of the 3 sampled facilities conducted outreaches as detailed below. **Tiriri HCIV:** Provided reports for ONLY 25 outreaches on Hygiene water and sanitation and of these, 6 outreaches were done in schools are listed below between 11/3/2024 to 18/3/2024 Merok primary school Oimai primary school Ojama primary school Olwelai primary school Ogwolo Primary School Katine Primary School **Tubur HCIII:** No evidence of Outreaches provided **Asuret HCIII:** Conducted- 55 EPI outreaches and of these, 4 outreaches were done in schools are listed below. EPI outreach at Adacar Primary School on 5/7/23; EPI outreach at Okunguro Primary School on 12/07/23; EPI outreach at Okunguro Primary School on 27/07/23; EPI outreach at Orimai Primary School on 2/08/23;	**0**
5	Evidence that LG facilities increased maternity care service attendance between the previous FY but one and the previous FY by not less than 2%	Review DHIS2 for the previous two FYs and establish the increase in	The LG facilities had an increase in Antenatal Care services in the 1st Trimester by 32% between the previous FY but one and the previous FY.	**6**

i. Antenatal Care 1st Trimester, ii. Immunization for measles, Rubella iii. Deliveries at health facilities If the LG facilities increased maternity care service attendance between the previous FY but one and the previous FY by not less than 2% for the following services: i. Antenatal Care 1st Trimester, score 2 or else 0 ii. Immunization for measles, Rubella, score 2 or else 0 iii. Deliveries at health facilities score 2 or else 0 score 6 if (i) (ii) and (iii) complied with or else 0	Antenatal Care services in the 1st Trimester;- Total for all District facilities FY 2023/24 = 3042 Antenatal Care services in the 1st Trimester; - Total for all District facilities FY 2022/23 = 2301 %age increase = FY 2023/24 - FY 2022/23 X 100 FY 2022/23 %age increase = 3042 – 2301 X 100 2301 %age increase = 741 X 100 2301 %age increase = 32% The LG facilities had an increase in immunization services for measles, Rubella by 9% between the previous FY but one and the previous FY. Immunization services for measles, Rubella - Total for all District facilities FY 2023/24 = 9041 Immunization services for measles, Rubella - Total for all District facilities FY 2022/23 = 8268 %age increase = FY 2023/24 - FY 2022/23 X 100 FY 2022/23 %age increase = 9041 – 8268 X 100 8268 %age increase = 773 X 100 8268 %age increase = 9% The LG facilities increased deliveries by 16% between the previous FY but one and the previous FY. deliveries - Total for all District facilities FY 2023/24 = 6245 deliveries - Total for all District facilities FY 2022/23 = 5369 %age increase = FY 2023/24 - FY 2022/23 X 100 FY 2022/23 %age increase = 6245 – 5369 X 100 5369

			%age increase = 876 X 100 5369 %age increase = 16%	
6	Evidence that the LG increased the number of women of reproductive age receiving Family Planning (FP) services between the previous FY and previous FY but one	Review DHIS2 for the previous two FYs and establish the increase in uptake of Family Planning (FP) Verify if the LG increased the number of women of reproductive age receiving Family Planning (FP) services between the previous FY and previous FY but one by 5% score 3 or else 0	The LG facilities had an increase in Family planning services for women by 31% between the previous FY but one and the previous FY. Family planning services for women - Total for all District facilities FY 2023/24 = 19031 Family planning services for women - Total for all District facilities FY 2022/23 = 14482 %age increase = FY 2023/24 - FY 2022/23 X 100 FY 2022/23 %age increase = 19031 – 14482 X 100 14482 %age increase = 4549 X 100 14482 %age increase = 31%	**3**
7	Evidence that the LG enrolled at least 95% newly tested HIV positives into HIV chronic care in the previous FY	Review DHIS2 data to establish the percentage of newly tested HIV positives enrolled into HIV chronic care in the previous FY. If the LG enrolled at least 95% newly tested HIV positives into HIV chronic care in the previous FY score 3 or else 0	The LG managed to enroll 99% of HIV positives identified into care during FY 2023-2024 as detailed below. . HIV positives identified - Total for all District facilities FY 2023/24 = 227 HIV positives enrolled into care - Total for all District facilities FY 2022/23 =225 %age enrolment = HIV positives enrolled into care X 100 HIV positives identified %age enrolment = 227 X 100 225 %age enrollment = 99%	**3**

Efficiency

8	Evidence that the LG has ensured that midwives in all facilities attend to the required number ANC clients	• Review DHIS2 data to establish the total ANC clients • Review the LG Health Workers payroll to establish the number of midwives • Calculate the average. i. If on average each midwife attended to at least 1200 ANC client per year score 3 ii. If on average each midwife attended to at least 800 ANC client per year score 2	On average, each midwife attended to at least 1208 ANC clients per year as detailed below: The District had 24 midwives in the 13 public facilities as detailed by the staff deployment list. Total ANC attendance for the public health facilities for FY 2023-2024 was was 28997 The ratio of midwives attending to ANC clients was 28997 /24 = 1208	**3**

9	Evidence that the LG ensured that patients admitted with Malaria averagely spend not more than 3 days on admission.	• Visit all Health Centre IV/District General Hospital in the LG where applicable and 2 HC III • Obtain and review the IPD register for the last quarter and sample at least 5 patients (2 from each quarter) to establish admission to discharge of Malaria patients. Verify if the LG ensured that patients admitted with Malaria averagely spend not more than 3 days on admission score 3 or else 0	The LG ensured that patients admitted with Malaria spent no more than 3 days on admission. The average stay time on admission was 1.2 days as detailed below for data collected from the 3 sampled facilities. **Tiriri HCIV** IPNos and days of stay Q2 (348 - 3; 377 - 2; 383- 3; 489 - 2; 498-3;) Q4 (1237 - 3; 1242 - 1; 1259 - 1; 1288 - 2; 1402 - 4) Total hospital stay for the 10 sampled patients 5 per quarter: Q2 =13 & Q4 = 11. Total stay 24 days **Tubur HCIII** IPNos and days of stay Q2 (Oct 07 - 2; Oct 09- 1; Nov 28 - 2; Nov 32 - 1; Dec-08-1) Q4 (April - 05 -1; April - 06-1; May 07 - 1 ; May - 15 - 1; June - 06 - 2) Total hospital stay for the 10 sampled patients 5 per quarter: Q2 = 7 & Q4 = 6 Total stay 13 days **Asuret HCIII:** The facility has limited admission space and only admits mothers in labour. Total Hospital Stay of the 3 facilities for the 20 sampled patients = 24 + 13 = 24 Average Hospital Stay = 24/20 = 1.2 days	**3**

Human Resource Management

10	Evidence that the LG has recruited the critical staff in Health Centre IVs	• From the HRM Unit obtain and review staff lists for all facilities. • Verify the staff number and their respective job positions deployed at each of the health facility. • Sample one (1) Health Centre IV/District Hospital to verify deployment of the following critical staff: o At least 3 Medical Officers, o At least 5 theatre staff, o At least 5 clinical Officers o At least 20 Nurses, o At least 6 Lab personnel, o At least 12 midwives, o Health assistant Score 5 or else 0	The LG did not ensure that staff numbers per position deployed at each of the HCIVs as per the OLD staff structure. The details of numbers deployed are detailed below. Theatre staff deployed were not sufficient. **Tiriri HCIV** Had 2 Medical officers as required by the old structure Had 3 theater staff instead of 5. Had 4 clinical Officers which was sufficient according to the norm. Had 10 Nurses which was more than sufficient according to the norm Had 4 lab personnel which was more than sufficient according to the norm. Had 4 midwives which was sufficient. according to the norm. Had 3 Health assistant (2 health assistant and 1 health inspector)	**0**

10	Evidence that the LG has recruited the critical staff in Health Centre IVs	• From the HRM Unit obtain and review staff lists for all facilities. • Verify the staff number and their respective job positions deployed at each of the health facility. • Sample two (2) Health Centre IIIs to verify deployment of the following critical staff: • Evidence that the LG has recruited the following critical staff in Health Centre IIIs o At least 2 Clinical Officers, o At least 10 Nurses, o At least 2 Lab personnel, o At least 6 midwives, o Health assistant Score 5 or else 0	The LG deployed critical staff adequately as per the OLD staff structure at the 2 selected HCIIIs. The details of deployment are detailed below as detailed by staff deployment list. **Asuret HCIII** Had 3 clinical Officers Had 4 Nurses Had 2 lab personnel. Had 3 midwives. Had 1 Health assistant. **Tubur HCIII** Had 2 clinical Officer Had 4 nurses Had 2 Lab personnel. Had 3 midwives Had 1 Health assistant **The 2 HC IIIs had filled in positions for critical staff as per the old staff structure with some posts having excess numbers.**	**5**
11	Evidence that DHO and HR has ensured that all medical staff have valid practicing licenses to meet standards of practice by various regulating bodies to improve quality of service outcomes	• Review staff file to establish whether all the medical staff have valid practicing license form MDPC, AHPC, NMC If the DHO and HR has ensured that all medical staff have valid practicing licenses to meet standards of practice by various regulating bodies to improve quality of service outcomes Score 4 or else 0	The LG did not ensure that all the medical staff had valid practicing licenses. The details for the 3 sampled facilities are outlined below: **Tiriri HCIV:** of the 30 staff that subscribe to licencing bodies and were active on duty, only 8 staff (Dr. Angwec Jackeline Aporo; Oluka Stephen; Ateo Agnes; Alupo Jane; Atengo Sharon; Apiny Jennifer; Akello Hellen; Okurut Emmanuel) had licences availed. The rest did not provide licences. **Tubur HCIII:** of the 11 staff that subscribe to licensing bodies, only 1 licence was provided for Ogaram Naphtali and it was also expired. **Asuret HCIII:** Of the 10 staff that subscribe to licencing bodies and were active on duty. Only 5 staff(Akany Dorcus; Ochom Simon Peter; Asalo Christine; Ayo Alice; Akwii Merabu) had practicing licences availed.	**0**

12	Evidence that the LG ensures that all HCs conduct at least 7 CMEs in the previous FY, HC IVs are certified as CPD centers, and provide at least 4 CPDs to HC IIIs in the previous FY.	From the sampled facilities obtain the CME schedule Obtain and review the CME reports to establish topics discussed and attendance by critical staff. Obtain and review the CME/CPD reports to establish whether i. All HC IVs and District Hospitals were certified as CME/CPD centers in the previous FY ii. All HC IVs and District Hospitals submitted the report to the Medical Council in the previous FY iii. HC IVs and District Hospitals provided at least 7 CME/CPDs to each of the HC IIIs under their jurisdiction Verify if All HCs conduct at least 7 CMEs in the previous FY score 2 or else 0	The LG did NOT manage to ensure that facilities conducted a minimum of 7CMEs and critical staff were in attendance as referenced in the details below of the 3 sampled health facilities for the FY 23/24 - Tubur HCIII did NOT provide evidence of CMEs conducted. **Tiriri HCIV:** Patient flow - 6/6/24 Family planning - 30/5/2024 Pre eclampsia -23/5/24 Blood transfusion - 7/3/24 5S - 21/3/24 Epilepsy - 22/2/24 Shock - 15/2/24 **Asuret HCIII** Management of Hypertension - 30/11/2023 Alcohol Abuse - 14/12/2023 Pre Eclampsia - 12/1/24 TB - 29/2/2024 New MCH commodities - 28/3/24 Diarrhoea in under 5s -11/4/24 Malaria - 9/5/24 **Tubur HCIII :** No evidence of CMEs provided	**0**

12	Evidence that the LG ensures that all HCs conduct at least 7 CMEs in the previous FY, HC IVs are certified as CPD centers, and provide at least 4 CPDs to HC IIIs in the previous FY.	Obtain and review the CME reports to establish topics discussed and attendance by critical staff. Obtain and review the CME/CPD reports to establish whether i. All HC IVs and District Hospitals were certified as CME/CPD centers in the previous FY ii. All HC IVs and District Hospitals submitted the report to the Medical Council in the previous FY iii. HC IVs and District Hospitals provided at least 7 CME/CPDs to each of the HC IIIs under their jurisdiction Verify if all HC IVs and District Hospitals were certified as CPD centers in the previous FY score 2 or else 0	The District had a functional hospital-Tiriri HCIV and no evidence was provided to show that it is certified as a CPD Centre	**0**

12	Evidence that the LG ensures that all HCs conduct at least 7 CMEs in the previous FY, HC IVs are certified as CPD centers, and provide at least 4 CPDs to HC IIIs in the previous FY.	Obtain and review the CME reports to establish topics discussed and attendance by critical staff. Obtain and review the CME/CPD reports to establish whether i. All HC IVs and District Hospitals were certified as CME/CPD centers in the previous FY ii. All HC IVs and District Hospitals submitted the report to the Medical Council in the previous FY iii. HC IVs and District Hospitals provided at least 7 CME/CPDs to each of the HC IIIs under their jurisdiction Verify if all HC IVs and District Hospitals provided at least 4 CPDs to each of HC IIIs in the previous FY and submitted the report to the (relevant) Medical Council score 2 or else 0	The District had 1 functional functional HCIV- Tiriri HCIV however there was no evidence to show that they were certified as a CPD center and there was also no evidence that CPDs were provided to lower HCIIIs in the FY 23/24 and the details submitted to the relevant medical councils	**0**

Management and functionality of amenities

13	Evidence that health facilities in the LG have functional infection prevention and control amenities.	• Sample one (1) Health Centre IV/District Hospital (where they exist); and two (2) Health Centre IIIs • Observe existence of the listed necessary infection prevention and control facilities and supplies • In case the LG has no health facilities award score. Verify if the health facilities in the LG have the following functional infection prevention and control amenities Handwashing facilities with soap or alcohol based sanitizer at all work stations score 2 or else 0	The Local government ensured that the facilities had hand washing facilities at all workstations. Below are the details for 3 sampled facilities. Tirir HCIV: Among the work stations assessed- General ward/childrens, Maternity delivery room, OPD, Lab. All had a mix of hand washing facilities and alcohol based hand rub. Tubur HCIII: Among the work stations assessed- Maternity delivery room, OPD, Lab. All had a mix of hand washing facilities and alcohol based hand rub. Asuret HCIII: Among the work stations assessed- Maternity delivery room, OPD, Lab. All had a mix of hand washing facilities and alcohol based hand rub.	**2**
13	Evidence that health facilities in the LG have functional infection prevention and control amenities.	• Sample one (1) Health Centre IV/District Hospital (where they exist); and two (2) Health Centre IIIs • Observe existence of the listed necessary infection prevention and control facilities and supplies • In case the LG has no health facilities award score. Verify if the health facilities in the LG have the following functional infection prevention and control amenities score 2 or else 0	The assessed Facilities had sterilizers as detailed below: **Tiriri HCIV:** Facility had a functional Gas heated pressure sterilizer labeled Tiriri HCIV **Tubur HCIII:** Facility had a functional Electric pressure sterilizer Model Tuttnaurer Model No 3850EL **Asuret HCIII:** Facility had a functional Electric pressure sterilizer Model Tuttnaurer Model No 3150EL	**2**

13	Evidence that health facilities in the LG have functional infection prevention and control amenities.	• Sample one (1) Health Centre IV/District Hospital (where they exist); and two (2) Health Centre IIIs • Observe existence of the listed necessary infection prevention and control facilities and supplies • In case the LG has no health facilities award score. Verify if the health facilities in the LG have the following functional infection prevention and control amenities Waste management and disposal facilities at all work stations including: a. color coded waste bins, biohazard bags and safety boxes b. Sorting waste according to color code c. Placenta pit score 2 or else 0	The Local government ensured that waste management facilities were available at the facilities as detailed below: Tirir HCIV: Among the work stations assessed- Maternity delivery room, OPD, Lab, General/children's ward. All had the color coded bins and these were in us as well as sharp safety boxes Tubur HCIII: Among the work stations assessed- Maternity delivery room, OPD, Lab. All had the color coded bins and these were in us as well as sharp safety boxes Asuret HCIII: Among the work stations assessed- Maternity delivery room, OPD, Lab. All had the color coded bins and these were in us as well as sharp safety boxes	**2**

13	Evidence that health facilities in the LG have functional infection prevention and control amenities.	• Sample one (1) Health Centre IV/District Hospital (where they exist); and two (2) Health Centre IIIs • Observe existence of the listed necessary infection prevention and control facilities and supplies • In case the LG has no health facilities award score. Verify if the health facilities in the LG have the following functional infection prevention and control amenities Clean human waste disposal facilities for patients and staff segregated between male and female with hand washing facility with water and soap score 2 or else 0	The LG made sure facilities had clean human waste disposal facilities for patients and staff segregated between male and female with handwashing facilities as detailed below among the 3 sampled facilities: **Tiriri HCIV:** The facility has 3 toilets 1-4 stance for maternity, 1 - 2 stances toilet at general and children ward, 1-4 stance for OPD and these are well segregated into male and female and have handwashing facilities with soap and water. **Tubur HCIII:** The facility has 2 toilets, 1 for OPD with 4 stances and 1 for maternity with 3 stances and they are well segregated into male and female and have handwashing facilities with soap and water **Asuret HCIII:** The facility has 2 toilets, 1 for OPD and 1 for maternity and they are well segregated into male and female and have handwashing facilities with soap and water.	**2**
13	Evidence that health facilities in the LG have functional infection prevention and control amenities.	• Sample one (1) Health Centre IV/District Hospital (where they exist); and two (2) Health Centre IIIs • Observe existence of the listed necessary infection prevention and control facilities and supplies • In case the LG has no health facilities award score. Verify if the health facilities in the LG have the following functional infection prevention and control amenities Safe water source score 2 or else 0	All the 3 sampled facilities had safe water sources as detailed below. **Tiriri HCIV:** Tap water was available in the facility supplied by national water. Also a backup bore-hole was available at the Facility compound. **Tubur HCIII:** Tap water was available in the facility supplied by national water under Eastern Umbrella **Asuret HCIII:** Tap water was available in the facility supplied by national water. Also a backup bore-hole was available at the Facility compound.	**2**

14	Evidence that the health facilities have visible sign posts listing all available services in local language offered free of charge Evidence that the health facilities compound and service units have clear signs for directions in local language	Sample one (1) Health Centre IV/District Hospital; and two (2) Health Centre IIIs • Observe existence of the signposts and labels • Obtain list of services offered from in-charge and compare with those on the sign-posts. Verify if the health facilities have visible sign posts listing all available services in local language offered free of charge score 2 or else 0	The LG did not ensure that health facilities had visible sign posts listing all available services offered free of charge in local language as detailed below in the 3 sampled health facilities . **Tiriri HCIV:** The facility had a sign post on the road listing services offered and these were listed in English however this was not translated to the local language and there was no clear indication that the services offered were free of charge **Tubur HCIII:** The facility had a sign post on the road listing services offered and these were listed in English however this was not translated to the local language and there was no clear indication that the services offered were free of charge **Asuret HCIII:** The facility had a sign post on the road listing services offered and these were listed in English however this was not translated to the local language and there was no clear indication that the services offered were free of charge	**0**
14	Evidence that the health facilities have visible sign posts listing all available services in local language offered free of charge Evidence that the health facilities compound and service units have clear signs for directions in local language	Sample one (1) Health Centre IV/District Hospital; and two (2) Health Centre IIIs • Observe existence of the signposts and labels • Obtain list of services offered from in-charge and compare with those on the sign-posts. Verify if the health facilities compound and service units have clear signs for directions in local language score 2 or else 0	The LG did not ensure that the 3 sampled health facilities had compound and service units having clear signs for directions in local language as detailed below **Tiriri HCIV:** The facility had clear signs for direction however not all these were translated in the local language **Tubur HCIII:** No signs for directions in the compound **Asuret HCIII:** The facility had clear signs for direction and these were in English and translated in the local language Ateso	**0**

Management of Financial Resources

15	Evidence that the LG has supported all health facilities to: Evidence that the LG has supported all health facilities in analyzing bottlenecks, designing work plans to address the bottlenecks, allocating funds, and producing reports to improve health outcomes and mitigate identified issues.	From the LG Health Officer, obtain and • Review bottleneck analysis report. • Review annual work plan HMIS 001 • Review annual budget report HMIS 020 • Narrative Activity Report Verify if the LG supported all health facilities to i. Make a bottleneck analysis; ii. Design work plans to address the bottlenecks iii. Allocate funds to activities intended to address the bottlenecks; and iv. Produced reports which describe the activities conducted and explains what has been achieved in relation to mitigating the identified bottlenecks and improving health outcomes If (i) and (iv) complied with score 5 or else 0	The LG did not support all health facilities in analyzing bottlenecks, designing work plans to address the bottlenecks, allocating funds, and producing reports to improve health outcomes and mitigate identified issues. The details obtained from the 3 sampled facilities is outlined below. **Tiriri HCIV:** Work plan was available for FY23/24 approved by the chairperson HUMC Ediegu Thomas on 14/9/2023. Work plan not based on HMIS 001 - No Bottle neck analysis provided No approved budget performance report Provided No activity reports provided **Tubur HCIII:** Work plan was available for FY23/24 approved by the chairperson HUMC Mr. Iiko Julius on 09/9/2023. Work plan not based on HMIS 001 - No Bottle neck analysis provided No budget performance report Provided No activity reports provided **Asuret HCIII,** Work plan was available for FY23/24 approved by the chairperson HUMC Ecibu Joseph on 11/7/2023. The same work plan document had a bottleneck/problem analysis which detailed low ANC 1 1st trimester attendance on page7. Resources were allocated and costed work plan item detail of doing community sensitization page 12 a sum of 800,000/= was allocated for this activity. Budget performance report dated 13/5/2024 was availed detailing a community dialogue with TBAs having been done. An activity report dated 17/1/2024 detailed a community dialogue with TBAs having been conducted by Asalo Christine an Enrolled midwife.	**0**

16	Evidence that the DHO makes a bottleneck analysis, design work plans to address bottleck, allocate funds, and produce reports to improve health outcomes.	• Review annual work plan HMIS 001 • Review annual budget report HMIS 020 • Narrative Activity Report Verify if the DHO i. Makes a bottleneck analysis; ii. Designs work plans to address the bottlenecks iii. Allocated funds to activities intended to address the bottlenecks; and iv. Produced reports which describe the activities conducted and explains what has been achieved in relation to improving health outcomes If (i) and (iv) complied with score 5 or else 0	The DHO had a workplan section in the PBS budget estimates and workplan dated 30/5/2023 on pages 29 to 38 and endorsed by District Chiarperson Hon. Edoru Simon Peter Ekuu However no evidence of Bottleneck analysis was provided to facilitated triangulation of this assessment indicator.	**0**

Environment, Social, Health and Safety

17	a) Evidence that the LG has put in place protection measures against any form of violence/abuse discrimination for patients, workers and medical staff in health facilities b) Evidence that the LG has trained, sensitized patients, workers, medical staff and communities on measures to eliminate any form of violence/abuse and discrimination at health facilities c) Evidence that Health Unit Management Committee (HUMC) has been trained on stakeholder engagement grievance management as per the circular on grievance management by MoGLSD	Sample 3 health facilities to ascertain that protection measures are in place Verify the LG has put in place protection measures against any form of violence/abuse discrimination for patients, workers and medical staff in health facilities score 2 or else 0	The LG did not manage to put in place protection measures against violence/abuse discrimination as detailed below in the 3 sampled facilities. **Tirir HCIV:** No evidence was provided **Tubur HCIII:** No evidence was provided **Asuret HCIII:** A Code of conduct and ethics booklet for health workers available version 2002.	**0**

17	a) Evidence that the LG has put in place protection measures against any form of violence/abuse discrimination for patients, workers and medical staff in health facilities b) Evidence that the LG has trained, sensitized patients, workers, medical staff and communities on measures to eliminate any form of violence/abuse and discrimination at health facilities c) Evidence that Health Unit Management Committee (HUMC) has been trained on stakeholder engagement grievance management as per the circular on grievance management by MoGLSD	Sample 3 health facilities to ascertain that protection measures are in place LG conducted training and sensitization on the protection measures Verify that the LG has trained, sensitized patients, workers, medical staff and communities on measures to eliminate any form of violence/abuse and discrimination at health facilities score 2 or else 0	The LG did not conduct training and sensitization on measures to eliminate any form of violence/abuse and discrimination as detailed below on review of the 3 sampled facilities: **Tiriri HCIVl:** No evidence provided **Tubur HCIII:** No evidence provided **Tubur HCIII:** No evidence provided	**0**
17	a) Evidence that the LG has put in place protection measures against any form of violence/abuse discrimination for patients, workers and medical staff in health facilities b) Evidence that the LG has trained, sensitized patients, workers, medical staff and communities on measures to eliminate any form of violence/abuse and discrimination at health facilities c) Evidence that Health Unit Management Committee (HUMC) has been trained on stakeholder engagement grievance management as per the circular on grievance management by MoGLSD	Sample 3 health facilities to ascertain that protection measures are in place LG Health Office and Community Development Office have trained the HUMC on stakeholder engagement and grievance management If the Health Unit Management Committee (HUMC) has been trained on stakeholder engagement grievance management as per the circular on grievance management by MoGLSD score 2 or else 0	The LG trained the HUMC on stakeholder engagement and grievance management as detailed among the 3 sampled facilities below: **Tiriri HCIV:** Training report for HUMC dated 12 December 2023 - HUMC members in attendance **Tubur HCIII:** Training report for HUMC dated June 2023 by AIC - HUMC members in attendance **Asuret HCIII:** Training report for HUMC dated June 2023 by AIC - HUMC members in attendance	**2**

Oversight and support supervision

18	Evidence that HUMCs approved work plans and budgets in all facilities, the LGHT supervised and mentored all facilities for Data Quality Assurance (DQA), the LGHT supervised and mentored all facilities for the Expanded Program of Immunisation (EPI), and the LGHT discussed supervision findings and followed up on recommendations.	From the LG Health Officer, obtain and • Obtain and review HUMC minutes to establish that they approved work plans and budgets • Obtain and review LGHT supervision and mentorship reports • Obtain and review LGHT Minutes Sample one (1) Health Centre IV/District Hospital; and two (2) Health Centre IIIs Verify if there is evidence that: i. That HUMCs approved work plans and budgets in all facilities ii. That LGHT supervised and mentored all facilities in relation to Data Quality Assurance (DQA) iii. That LGHT supervised and mentored all facilities in relation to Expanded Program of Immunization (EPI) iv. That the LGHT discussed supervision findings and followed-up on the recommendations made. If (i) to (iv) complied with score 6 or else 0	The LG ensured that HUMCs approved work plans and budgets in all facilities, the LGHT supervised and mentored all facilities for the Expanded Program of Immunisation (EPI), and the LGHT discussed supervision findings and followed up on recommendations, However the LG did not ensure that the LGHT supervised and mentored all facilities for Data Quality Assurance (DQA) as detailed below. No evidence of DQA supervision was provided Evidence was provided for approved work plans for the 3 facilities were provided as detailed below **Tiriri HCIV -** approved by the HUMC chairperson Ediegu Thomas on 14/9/2023 T**ubur HCIII -** Work plan was available for FY23/24 approved by the chairperson HUMC Mr. Iiko Julius on 09/9/2023 **Asuret HCIII -** Work plan was available for FY23/24 approved by the chairperson HUMC Ecibu Joseph on 11/7/2023. Q4 report on integrated technical supervision authored by DHO Dr. Okadhi Stephene dated 12/7/2024 . Detailed Tiriri HCIV supervised on 4/6/24; Asuret HCIII supervised on 6/6/24; Tubur HCIII supervised on 19/6/24. The DHO made efforts to follow up on recommendations as detailed in Q2 supervision report dated 10/1/2024. The report had details on follow up of recommendations made in Q1 citing a client charter missing at Tiriri HCIV and on follow up this had been put in place.	**0**
20	Evidence that the LG has submitted timely and complete HMIS 108 and 105 monthly summary data by the 14th day of the preceding months.	• Review HMIS monthly summaries • Confirm with DHIS2 that summary data was submitted by the 14th of the preceding month If the LG has submitted timely and complete HMIS 108 and 105 monthly summary data by the 14th day of the	The Local government did NOT submit all 105 and 108 reports timely in DHIS2. The LG has 16 health facilities that submit 105 reports and 8 facilities that submit 108 reports. during the review, NOT All facilities reported timely as detailed below. 105 reporting: July 23: Asuret Health Centre III did not submit on time	**0**

	preceding months score 4 or else 0.	Aug 23: Ojom Health Centre II did not submit on time Sept 23: Agirigiroi Health Centre II did not submit on time Oct 23: Asuret Health Centre III did not submit on time Nov 23: Awaliwal Health Centre II, Gweri Health Centre III, Katine Ngo Health Centre II, Obule Cb Health Centre II, Ocokican Health Centre II did not submit on time Dec 23: Asuret Health Centre III did not submit on time Jan 24: Arabaka Health Centre II, Asuret Health Centre III, Aukot Health Centre II, Dakabela Health Centre III, Katine Ngo Health Centre II, Lalle Health Centre II, Obule Cb Health Center did not submit on time Feb 24: Arabaka Health Centre II, Asuret Health Centre III did not submit on time March 24: Arabaka Health Centre II, Asuret Health Centre III, Aukot Health Centre II, Awaliwal Health Centre II, Dakabela Health Centre III, Kamuda Health Centre III, Katine Ngo Health Centre II, Obule Cb Health Centre II, Ocokican Health Centre II, Ojom Health Centre II, Tubur Health Centre III, did not submit on time April 24: Agirigiroi Health Centre II, Aukot Health Centre II, Awaliwal Health Centre II, Kamuda Health Centre III, Lalle Health Centre II, Obule Cb Health Centre II, Ocokican Health Centre II, did not submit on time May 24: Agirigiroi Health Centre II, Arabaka Health Centre II, Dakabela Health Centre III, Katine Ngo Health Centre II, Mighty Care Medical Health Centre II, Tubur Health Centre III, did not submit on time June 24: Arabaka Health Centre II, Asuret Health Centre III, Kamuda Health Centre III, Ocokican Health Centre II did not submit on time 108 reporting: July 23: All submitted on time. Aug 23: Dakabela Health Centre III did not submit on time. Sept 23: All submitted on time. Oct 23: Tubur Health Centre III did not submit on time Nov 23: Dakabela Health Centre III,

Gweri Health Centre III, Kamuda Health Centre III, Katine Ngo Health Centre II, Mighty Care Medical Health Centre II, Tubur Health Centre III did not submit on time

Dec 23: Asuret Health Centre III, Gweri Health Centre III, Katine Ngo Health Centre II did not submit on time

Jan 24: Dakabela Health Centre III, Mighty Care Medical Health Centre II did not submit on time

Feb 24: All submitted on time.

March 24: Dakabela Health Centre III, Kamuda Health Centre III, Katine Ngo Health Centre II, Tubur Health Centre III did not submit on time

April 24: Asuret Health Centre III, Dakabela Health Centre III, Kamuda Health Centre III, Mighty Care Medical Health Centre II did not submit on time

May 24: Kamuda Health Centre III did not submit on time

June 24: All submitted on time.

PMs and Indicators to Incentivise Delivery of Accessible, Quality and Efficient Water and Sanitation Services

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Quality				
1	a) Evidence that the water officer carried out routine water quality analysis (bacteriological and physical) for at least 20% of existing water facilities annually. b) Evidence that the water officer conducted 100% quality analysis for new water sources in previous FY c) Evidence that the LG conducted household sanitation surveys before connection to the new piped water facilities in the previous FY d) Evidence that the Water Office provided feedback with action points for improvement to communities, WSCs, water Boards, and LLGs on the results from water quality analysis for existing water facilities and household sanitary surveys for the new water facilities e) Evidence that the water office followed up implementation of recommended remedial actions	From the DWO: • Obtain and review the BPR to identify the new water sources implemented in the previous FY. • Obtain and review the water quality analysis reports of the existing and new water facilities Verify if the water officer carried out routine water quality analysis (bacteriological and physical) for at least 20% of existing water facilities annually score 2 or else 0	There was evidence that routine water quality analysis was carried out for at least 20% of existing water facilities in FY2023/24. Water quality Analysis tests were done in a quarterly manner and reports compiled respectively. In quarter one, A report on water Quality carried out during the month of August was compiled on 28th/08/2023 by Akol Esther and in here 39 water sources were sampled and tested. In quarter two, A report on water Quality carried out during the month of October and November was compiled on 13th/12/2023 by Akol Esther and in here 23 water sources were sampled and tested. In quarter three, A report on water Quality carried out during the month of Febuary was compiled on 4th/03/2024 by Akol Esther and in here 19 water sources were sampled and tested. In quarter Four, A report on water Quality carried out during the month of March was compiled on 2nd /04/2024 by Akol Esther and in here 22 water sources were sampled and tested. Therefore, water quality analysis was done on 103 water points in total out of the 504 water sources in Soroti DLG according to the District MIS data for FY 2023/2024 = $\frac{103}{504} \times 100$ =20.43% which was above the required 20% annually.	**2**

1		2
a) Evidence that the water officer carried out routine water quality analysis (bacteriological and physical) for at least 20% of existing water facilities annually. b) Evidence that the water officer conducted 100% quality analysis for new water sources in previous FY c) Evidence that the LG conducted household sanitation surveys before connection to the new piped water facilities in the previous FY d) Evidence that the Water Office provided feedback with action points for improvement to communities, WSCs, water Boards, and LLGs on the results from water quality analysis for existing water facilities and household sanitary surveys for the new water facilities e) Evidence that the water office followed up implementation of recommended remedial actions	From the DWO: • Obtain and review the BPR to identify the new water sources implemented in the previous FY. • Obtain and review the water quality analysis reports of the existing and new water facilities Verify if the water officer conducted 100% quality analysis for new water sources in previous FY score 2 or else 0	There was evidence that Water Officer conducted 100% quality analysis for the new water sources in the previous FY 2023/24. According to the BPR of Quarter 4 submitted on 2nd/08/2024, the DWO had planned to drill 16 Boreholes and 1 production well. The completion report for the hydrogeological survey and water quality analysis as of April 2024 showed the quality analysis certificate reports for the 17 new water sources of Agule-maido village BH, Alero Village BH, Osinai BH, Ogur BH, Abilipin BH, Anyala A BH, Aliliol BH, Anyala A BH, Olocho BH, Aputon BH, Telamot BH, Kelim A BH, Apokor BH, Opelepel BH, Okoolei BH, Angaro BH and Amolator BH The results were tested by the NWSC central laboratory, the samples showed complying physio-chemical and microbiology characteristics of the sources which were commensurate with the National standards for the national portable water reports drawn on 29h/07/2024 except the TSS component.

1	a) Evidence that the water officer carried out routine water quality analysis (bacteriological and physical) for at least 20% of existing water facilities annually. b) Evidence that the water officer conducted 100% quality analysis for new water sources in previous FY c) Evidence that the LG conducted household sanitation surveys before connection to the new piped water facilities in the previous FY d) Evidence that the Water Office provided feedback with action points for improvement to communities, WSCs, water Boards, and LLGs on the results from water quality analysis for existing water facilities and household sanitary surveys for the new water facilities e) Evidence that the water office followed up implementation of recommended remedial actions	• Obtain and review the BPR to identify the new water sources implemented in the previous FY. • Obtain and review household sanitary survey reports for new piped water facilities. Verify if the LG conducted household sanitation surveys before connection to the new piped water facilities in the previous FY score 2 or else 0	Soroti DLG was executing piped water construction in phases and in the previous financial year 2023/2024 under assessment, they had allocated money for pump house and fencing plus guard house. They had also allocated money for equipment purchase. The two phase did not call for a household survey which is requires at connection phase. However, its important to note that in the current FY 2024/2025, they had planned to do the house hold sanitation survey because they were to distribute water to the final users Thus the phase where the household sanitation surveys before connection to the new piped water facilities for FY2023/2024 was not yet reached.	**0**

1

a) Evidence that the water officer carried out routine water quality analysis (bacteriological and physical) for at least 20% of existing water facilities annually.

b) Evidence that the water officer conducted 100% quality analysis for new water sources in previous FY

c) Evidence that the LG conducted household sanitation surveys before connection to the new piped water facilities in the previous FY

d) Evidence that the Water Office provided feedback with action points for improvement to communities, WSCs, water Boards, and LLGs on the results from water quality analysis for existing water facilities and household sanitary surveys for the new water facilities

e) Evidence that the water office followed up implementation of recommended remedial actions

From the DWO:

• Check and review feedback reports on the results from water quality analysis for existing water facilities and household sanitary surveys for the new water facilities.

Verify if the the Water Office provided feedback with action points for improvement to communities, WSCs, water Boards, and LLGs on the results from water quality analysis for existing water facilities and household sanitary surveys for the new water facilities score 2 or else 0.

2

There was evidence that the water office provided feedback to communities with action points for improvement through feedback reports to communities.

Akol Easter the Health assistant wrote various feedback reports to communities whose water quality was queried i.e.,

On 2nd/11/2023, Feedback water quality reports were written and addressed to the following water sources, Katine Market B/H whose turbidity was 5 NTU, Ajali spring whose turbidity was 24NTU, Olatto shallow well whose waters indicated numerous coliforms. This water was declared un safe for drinking at raw state. And therefore it was recommended that they should first boil or treat it prior to its use as drinking water.

On 26th/10/2023, Feedback water quality reports were written and addressed to the following water sources, Elwelu-kakere shallow well, Awinyi BH and palate BH whose turbidity levels were above the required levels. This water was declared un safe for drinking at raw state and thus also recommended that they should first boil or treat it prior to its use as drinking water.

Other reports to other various sources were written on, 29th/03/2024, 25th/03/2024 and 21st/03/2024 to sources like Abiong shallow well, Opado Borehole, Corner stone shallow well all whose waters had feacal coliforms among others sources received feed back reports from the health assistant Akol Easter.

1	a) Evidence that the water officer carried out routine water quality analysis (bacteriological and physical) for at least 20% of existing water facilities annually. b) Evidence that the water officer conducted 100% quality analysis for new water sources in previous FY c) Evidence that the LG conducted household sanitation surveys before connection to the new piped water facilities in the previous FY d) Evidence that the Water Office provided feedback with action points for improvement to communities, WSCs, water Boards, and LLGs on the results from water quality analysis for existing water facilities and household sanitary surveys for the new water facilities e) Evidence that the water office followed up implementation of recommended remedial actions	From the DWO: Check for follow up reports on implementation of recommended remedial action Verify that the water office followed up implementation of recommended remedial actions score 2 or else 0	A follow up report on water Quality and feedback given to communities was compiled by Egonu Joseph the borehole maintenance technician on 27th 07/2024 and some of the aspects found according to the report were, It was amazing to find out that Communities were fully sensitized by the extension workers. Communities were boiling their water from thes borehole prior to drinking. Most WSCs had started collecting the O&M fees. Most of the water points were properly fenced using brick work. Continuous water quality testing was ongoing. Post construction support capacity building of WSCs was done among other aspects	**2**

Access

2	Evidence that the population with access to safe water service is either above 70% or has increased between the previous FY one and the previous FY	From the Ministry MIS for the previous FY and previous FY but one: • Obtain and check data access to safe water in the previous FY but one and compare with safe water access in the previous FY Verify if the population with access to safe water service is either above 70% or has increased between the previous FY one and the previous FY but one score 5 or 0	According to the MIS Access data for Soroti DLG. FY 2022/2023 was at 87 % FY 2023/2024 was also at 87% The was no increase between the successive financial years however the ministry data figures were above the 70% recommended.	**5**

a) Evidence that the DWO has prioritized at least 70% of the budget allocations for the current FY to LLGs that are underserved (based on the average district water coverage) score 2 or else 0. b) If at least 70% of budgeted water projects were implemented in sub-counties with safe water coverage below the district average in the previous Financial Year	From MoWE MIS and the DWO obtain and review the district safe water coverage data, (disaggregated by LLG); the AWP and budget for the current FY and reports to determine whether DWO allocated funds to LLGs that are underserved Verify if the DWO has prioritized at least 70% of the budget allocations for the current FY to LLGs that are underserved (based on the average district water coverage) score 2 or else 0.	From the attachments page 3 of 4 of the AWP for the current FY 2024/2025 approved on 2nd/08/2024. 23 deep boreholes are to be drilled at Shs. 563,000,003, 23 boreholes to be rehabilitated at shs.103,500,000, Phase II construction of a piped water scheme in Asuret RGC at Shs.200,000,000, Extension of a pipeline water scheme in Tubur at Shs. 80,531,214 and Design and generation of BOQ fot Amolator PWS at Shs. 35,000,000 in Lale sub county. Soroti DLG safe water coverage for FY 2023/2024 was at 87% and thus the allocation to LLGs below average were; Arapai sub county at 51% received 3BHs, , Asuret sub county at 49% received 4BH, 5 BH rehabilitations and Phase II construction of a piped water scheme plus a Design and generation of BOQ fot Amolator PWS, Aukot sub county at 42% received 3 boreholes and 2 BH rehabilitaions, Awaliwal at 59% received 2 BHs and 3 BH rehabilitaions, Gweri sub county at 65% received 1BH and 4 BH rehabilitations, kamuda sub county at 84% received 2BH and 2 BH rehabilitations, Tubur sub coumty at 71% received 3BH and 2 BH rehabilitations Total water project budget for sub counties with safe water coverage below the district average =752,108,698 Total water project Budget for all the sub counties = 982,031,217 Percentage budget allocation to sub counties below the district average = 752,108,698.x 100 982,031,217 = 76.6% Therefore, the DWO prioritized at least 70% of the budget allocations for the previous FY to LLGs that are underserved.

3	a) Evidence that the DWO has prioritized at least 70% of the budget allocations for the current FY to LLGs that are underserved (based on the average district water coverage) score 2 or else 0. b) If at least 70% of budgeted water projects were implemented in sub-counties with safe water coverage below the district average in the previous Financial Year	From MoWE MIS and the DWO obtain and review the district safe water coverage data, (disaggregated by LLG) From the BPR of the previous FY ascertain whether the budgeted water projects were implemented. Verify If at least 70% of budgeted water projects were implemented in sub-counties with safe water coverage below the district average in the previous Financial Year score 3 or else 0.	From page 3 of 4 of the AWP for the Previous FY 2023/2024 approved on 28th/07/2023. 16BHs were drilled at 384,000,000, 1 Production well at Shs. 35,000,000, 17 bore holes were rehabilitated at Shs. 76,500,000, construction of Asuret RGC phase I at Shs. 65,400,720 in Asuret sub county and a piped water scheme in Adamasiko RGC phase IV at Shs. 140,000,000 in Oculoi sub county. Soroti DLG safe water coverage for FY 2022/2023 was 87% and thus the allocation to LLGs below average for FY 2023/2024 were; Arapai S/C at 75% received 3BHs and 4 BH rehabilitations. Gweri S/C at 65% received 7BHs and 2 BH rehabilitations. Total project budget for sub counties with safe water coverage below the district average = 267,000,000 Total project Budget for all the sub counties = 700,000,000 Percentage budget allocation to sub counties below the district average = $\frac{267,000,000}{700,000,000} \times 100$ =38% Therefore, the DWO did not prioritize at least 70% of the budget allocations for the previous FY to LLGs that are underserved.	**0**

4	Evidence that the LG has ensured that existing rural water facilities are functional.	From the Ministry MIS for the current FY: • Obtain and check data on functionality of water facilities • Sample 5 facilities to determine functionality of water facilities. • If above 90% score 5 • Between 70% -89% score 2 or else 0	From the Ministry MIS for the current FY 2024/2025, DLG Functionality was at 86% There was evidence from the sampled constructed facilities of; Kalengo BH DWD No. 23192 in Lale S/C, Olobai BH DWD 26095 in Kamuda Sub County, Adil village BH DWD No. 74927 in Kamuda Sub County, Obiarai P/S BH in Oculoi sub county and Agaja village BH DWD 20434 in Oculoi sub county that the functionality was as reported thus maximum score sampled facilities = 5 Functional facilities = 5 $= \frac{5}{5} \times 100 = 100\%$ Thus, the functionality was as reported.	**2**

5	Evidence that the LG has ensured that 80% water facilities have functional water & sanitation oversight committees	From the Ministry MIS for the current FY: • Check data on functionality of water & sanitation committees • From the sampled water facilities interview the caretaker and members of the user committees to determine whether the oversight committees are functional (e.g. collect O&M funds regularly with good record keeping, undertake minor repairs and maintaining adequate sanitation around the water source and receive and respond to the grievances. Score 5 or else 0	From the Ministry MIS for the current FY 2024/2025, Soroti DLG Over site committees' management figure was at 90% For the five sampled facilities, 1. For Kalengo village BH DWD 23192 in Lale Sub County, the care taker Simon Ewulu reported that they were managing the facility well with good collaboration with the community, he reported that the committee was active and meeting, each house hold was paying Shs.1000 per month and so far the community had about shs.300,000 in their treasury 2. For Olobai BH DWD 26095 in kamuda Sub County, the committee was collecting UGX 2000 per house hold per month and it was with this money that they had managed to raise money to purchase bricks and sand. By the time of visiting, they were starting on the construction of a brick wall around their water source. For Adil village BH DWD 74927 in Kamuda Sub county, Mr Awuta John Robert the WSC chairperson reported that the committee was collecting UGX 1000 per house hold per month and it was this money that they managed to raise a brick work fence wall around their water source, and also keep cleaning their source surrounding. For Obiarai P/S BH DWD not identified in Oculoi Sub county, no committee member of the water user committees was found present at the facilities, but the water users reported that they were in place and operating well, the source was well fenced and clean an indication that the committee was in place and operating well. For Apokor village BH DWD 74986 in Ocokican Sub county, Mr Sam Eleb the WSC chairperson reported that the committee was collecting UGX 1000 per house hold per month, they were planning to remove a wooden fence around their water source and replace it with a brick wall that is deemed more permanent. They had good record keeping and trees were planted around their source. There was evidence from the 5 sampled constructed facilities that the Water User committees were in existence and functional.	**5**

Efficiency

6	Evidence that the LG has ensured that the installed water facilities provide water of adequate yield score	From the DWO: • Obtain drilling/survey reports and check whether installed facilities meet the water quantity standards. • Sample 5 water facilities and determine whether the yield meets the design capacity as per the drilling and design reports If the sampled water facilities yield meets the design capacity score 5 or else 0	There was evidence from the 5 sampled facilities that the yield met the design capacity according to the drilling and pumping test yield recorded as follows 1). Agule-Maido borehole DWD 74974 of Kamuda S/C had a yield of 2.04m3/hr. 2). Ongoratok borehole DWD 74975 of kamuda S/C had a yield of 1.1 m3/hr. 3). Alero borehole DWD 74976 of Lale S/C had a yield of 1.0 m3/hr. 4). Osinai borehole DWD 74977 of Ocokican S/C had a yield of 2 m3/hr. 5). Ogur borehole DWD 74978 of Oculoi S/C had a yield of 2.5 m3/hr. All the above boreholes had yield above the minimum yield of 0.5m3/h.r required for boreholes.	**5**
7	Evidence that the LG has ensured that the installed water facilities provide water service all the time score 5 or else 0	• From the DWO obtain information about downtime or hours of service of source or service (down time should not exceed one week) • Sample 5 water facilities and determine whether the water facilities provides water at all times If the LG has ensured that the installed water facilities provide water service all the time score 5 or else 0	There was evidence from sampled facilities that they supplied water at all times and had not broken down. The DWO provided evidence of the quarterly monitoring reports as per the requirements of supervision stated in AWP for FY 2023/2024. The deep boreholes of Apokor village borehole DWD 74986 of Ocokican S/C, Aputon borehole DWD 74983 of Katine S/C, Alero borehole DWD 74976 of Lale S/C, Osinai borehole DWD 74977 of Ocokican S/C, Ogur borehole DWD 74978 of Oculoi S/C that were sampled had full time 24hrs water accessibility to communities and had not broken down since their installation. The LG had in the Sub-programme Workplan for the FY 2024/2025 allocated funds Ugx 45,000,000 for repair of water points and from the water user fees, the WUCs planned to fence off the water points, facilitate training of the water points caretakers and training of the WUC members on the basic skills of operation and mantainence.	**5**

Human Resource Management

8			**10**
Evidence that communities receive Backup technical support from the Water Office.	• From DWO field obtain monitoring reports, review and verify that communities received back-up technical support. • Sample Water sources to ascertain that communities receive backup technical support. If the communities received Backup technical support from the Water Office. Score 10 or else 0	There was evidence from the sampled constructed facilities that communities received backup technical from the district staff as evidenced by the responses from the people interviewed upon the site visits. The assessor reviewed the Quarter 4 monitoring report on water projects dated 17th June 2024, compiled by the DWO, Oriekot Alex. The reports indicated that the district technical team supported the community on the Grievance that were raised on Apokor community BH. The DWO also sensitized the community on payments of O&M fees that help in maintenance of system. The Quarter 3 monitoring report on water projects FY 2023/2024 dated 15th March 2024, compiled by the DWO, Oriekot Alex. The report indicated that the sampled water facilities, Simon Ayawo and Arebo Sarah the treasurer from Apokor community BH DWD No. 74983 in Katine S/C said that they received support from the ADWO during the construction of their brick fence wall and trainings on how to manage their source. Sam Eleb the chairman of Osinai bore hole DWD 74986 in Ocokican Sub County noted that Joseph Egonu the district BMT frequents their source and of recent had helped them in setting out their bore source fence wall and this was in addition to the training they had earlier received after the construction of the borehole Asanyu Joyce the care taker at Alero BH DWD 74976 in Lale sub county reported that they received training from the district at the time of formation of their water user committee formation. Ameso Agnes a committee member at Kalengo village BH DWD No. 23192 in Lale Sub County reported that the district supported them through the training of their members on their roles and how to manage the bore hole source. Community members at Agaja BH DWD 20434 in Oculoi Sub County reported that they received support from the district when their bore hole broke down in reinstating and helped in re training their WSC committees.	

9	Evidence that the constructed water facilities have basic functional amenities.	From DWO: • Sample 5 water sources to ascertain that the water facilities have fences, soak-away pits, storm water diversion channels and grass. • For the piped water facility check for: i) Reliable water source and intake structure, (ii) storage tanks or reservoirs, (iii) reliable pumping system, (iv) piped networks, (v) tap stands /water kiosks. If the sampled water facilities have the basic amenities Score 10 or else 0	There was evidence from the 5 sampled constructed facilities that they had the basic amenities for example; Apokor village borehole DWD 74986 of Ocokican S/C, Aputon borehole DWD 74983 of Katine S/C, Alero borehole DWD 74976 of Lale S/C, Osinai borehole DWD 74977 of Ocokican S/C, Ogur borehole DWD 74978 of Oculoi S/C. Fencing around the bore holes for all the sites was done using the brick work apart from that of Apokor village BH, soak away pits were well-constructed, the storm water was channeled and trees were planted around the boreholes.	**10**

Management of Financial Resources

10	a) Evidence that the water officer allocated and spent the NWR grant in line with the sub-programme grant & budget guidelines score 6 or else 0. b) Evidence that the water officer submitted quarterly reports to MoWE on the 10th day of the first month of the subsequent quarter	From the Planner obtain and review a copy of the sector AWP for previous FY and the progress report and check whether allocations and expenditures for the sector NWR grant were done as per the sub-programme guideline s. Verify if the water officer allocated and spent the NWR grant in line with the sub-programme grant & budget guidelines score 6 or else 0.	There was evidence that DWO allocated and spent the NWR grant in compliance with the Sub-Programme grant & budget guidelines According to the AWP for FY 2023/2024 dated 28th/07/2023-page attachment 2 of 4 of the BPR. The NWR grant was Shs.80,260,996 Amount allocated to mobilization activities was shs.43,088,000. Therefore, = 43,088,000 x 100 80,260,996 =53.68% Which is above the 40% recommended.	**6**

10	a) Evidence that the water officer allocated and spent the NWR grant in line with the sub-programme grant & budget guidelines score 6 or else 0. b) Evidence that the water officer submitted quarterly reports to MoWE on the 10th day of the first month of the subsequent quarter	From MoWE: Obtain a schedule for submission of the LG reports and check whether the DWO submitted quarterly progress reports in time Verify if the water officer submitted quarterly reports to MoWE on the 10th day of the first month of the subsequent quarter score 4 or else 0	The DWO submitted all the 4 quarterly reports beyond the 10th day of the first month of the subsequent quarter as stated below evidenced from the schedule for report submission issued by the MoWE and the stamp dates on the reports upon their submission to the ministry. Quarter 1 was submitted on 31st/01/2024 Quarter 2 was submitted on 31st/01/2024 Quarter 3 was submitted on 30th/04/2024 Quarter 4 was submitted on 2nd /08/2024	**0**

Environment, Social, Health and Safety

11	Evidence that the LG conducted training and sensitisation of the water and sanitation committees on the protection measures, the WSCs and communities implemented actions in water source protection plans for water sources constructed last FY, and the LG Water Office and Community Development Office trained the Water User Committee on grievance management and stakeholder engagement.	• From the District Water Office obtain and review • Water source protection plans for water sources constructed in the previous FY. • Training reports for the water and sanitation committees on water source protection, GRM and stakeholder engagement. • Sample 5 water facilities to ascertain that water source protection measures were implemented • From the LG Water Department, obtain and review: Water sub-programme ABPR and check whether the LG has included status of implementation of water source protection plans Check and verify i. Evidence that the LG conducted training and sensitization of the water and sanitation committees on the protection measures ii. Evidence that the WSCs and communities implemented actions in water source protections	There was evidence that Soroti DLG water sub-program conducted training and sensitization of the WSCs on the protection measures, grievance management and stakeholder engagement and that the WSCs and communities implemented actions in water source protection plans. The District presented the water source protection/Management plan deigned for each borehole on 24th/ 04/2024 and this was aimed at minimizing the risk of human and live stock health and to ensure the adequate yield to meet the water supply demand. Egonu Joseph the BMT under engineering prepared a training report dated 29th /12/2023 following the training of water and sanitation committees in the 16 sub counties of Soroti DLG where new water sources were drilled. The training took place from 4th July to 20th December 2023 and 5 members were trained per sub county that formed the committee of each source. Under Training, Sensitization and protection measures; Communities were trained on their roles and responsibilities, mobilized to collect the water user fees for O&M, To fence their boreholes with brick work, come up with by laws governing the water sources among other aspects. Under grievance management; Communities were guided on how and	**10**

		plans for water sources constructed last FY. iii. Evidence that the LG Water Office and Community Development Office have trained the Water User Committee on grievance management and stakeholder engagement If (i) to (iii) met score 10 or else 0	who to report to, holding meetings whenever cases arise, they were trained also to document complaints once they were received and also to communicate mediums for reporting issues once they arise, among others. Also to note was that the minutes of the meetings with signed attendances of the trained water user committees were attached to the reports From the sampled field visits conducted; Apokor community BH DWD No.74986 in Ocokican S/C, Osinai BH DWD 74977 in Ocokican Sub County, Aloro village BH DWD No. 74976 in lale Sub County, Ogur BH DWD 74987 in Oculoi sub county, and Aputon BH DWD 74983 in Katine sub county. It was observed that the WSCs had mobilized their communities for fencing around the bore holes using brick work, Soak pits were in place, the water sources were pretty tidy and clean with good access and trees were planted around an indication that the communities implemented actions in the water source protection plans.	
Oversight and support supervision				
12	a) Evidence that the water officer has monitored 100% of public sanitation facilities and at least 25% of water supply facilities per quarter b) Evidence that the findings from monitoring were discussed with the DWSCC and among other agenda items key issues identified from quarterly monitoring of water facilities and recommended corrective actions from monitoring were implemented.	From the district water office: • Obtain the list of water facilities in the LG • Obtain and review the monitoring plans previous FY • Check the monitoring reports of each project and establish whether the water officer monitored the WSS projects and public sanitation facilities (including ESHS aspects, water quality .). If the water officer has monitored 100% of public sanitation facilities and at least 25% of water supply facilities per quarter score 10 or else 0	There was evidence that Soroti DLG water department monitored at least 25% of water supply facilities annually according to the quarterly monitoring reports presented for the FY 2023/2024. Monitoring in the first quarter was conducted by a joint team led by the DWO, Alex Oriekot, Borehole maintenance technician Joseph Egonu and Easter Akol the health assistant from July to September 2023 and here a report was compiled on 28th/09/2023 showing a total of 191 borehole sources monitored in Katine and Arapai sub counties. Monitoring in the second quarter was conducted by a joint team led by the DWO, Alex Oriekot, Borehole maintenance technician Joseph Egonu and Easter Akol the health assistant from October to December of 2023 and a report compiled on 27th/12/2023 indicating a total of 143 borehole sources monitored in Asuret and Katine sub counties. Monitoring in the third quarter was also conducted by a joint team led by the DWO, Alex Oriekot, Borehole maintenance technician Joseph Egonu	**10**

and Easter Akol the health assistant from January of March 2024 and a report compiled on 26th/03/2023 indicating a total of 150 borehole sources monitored in Asuret, Kamuda and Gweri sub counties.

Monitoring in the Forth quarter was also conducted by a joint team led by the DWO, Alex Oriekot, Borehole maintenance technician Joseph Egonu and Easter Akol the health assistant from April to 2024 and June and a report compiled on 27th/06/2024 indicating a total of 179 borehole sources monitored in Gweri and Tubur sub counties.

Total Annual facilities monitored annually =191+143+150+179 = 663

According to the MIS data for FY 2023/2024, Soroti DLG had 11125 water source facilities

= 663 x 100 = 58.9%

1125

Therefore, the total percentage of water supply facilities monitored was 58.9% thus above the minimum 25% recommended.

a) Evidence that the water officer has monitored 100% of public sanitation facilities and at least 25% of water supply facilities per quarter b) Evidence that the findings from monitoring were discussed with the DWSCC and among other agenda items key issues identified from quarterly monitoring of water facilities and recommended corrective actions from monitoring were implemented.	From the DWO, obtain the DWSCC minutes, DWO progress reports and AWP and check whether key issues discussed in DWSCC were from the quarterly monitoring exercises. Check whether remedial actions were incorporated in the AWP. If the findings from monitoring were discussed with the DWSCC and among other agenda items key issues identified from quarterly monitoring of water facilities and recommended corrective actions from monitoring were implemented.	From the DWSCC minutes dated 29th/09/2023, 28th/12/2023, 26th/03/2024 and 27th/06/2024 respectively, There was evidence that findings from the quarterly monitoring reports were discussed and recommended corrective actions from monitoring were implemented. Some of the key findings from the monitoring reports discussed in the DWSCC were; Some Places had very salty waters for human consumption to an extent of abandoning them, Establishment of strong O&M structures at community and sub county level, appreciating the extremely excelling communities as a way of moral boosting and continuous monitoring of the facilities to be done. The committee adopted the report unanimously and agreed that the water sector starts on the process of ensuring that the production well be developed to serve the people of kamuda, especially the places where the water is salty. They agreed that production wells could be secured in areas where water quality is acceptable and distributed to areas where water is salty among other recommendations.

PMs and Indicators to Incentivise Delivery of Accessible, Quality and Efficient Micro-scale Irrigation Services

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Quality				
1	Evidence that the Local Government has in the previous FY trained all micro-scale irrigation beneficiary farmers on good field management practices, and the farmers are implementing these practices	From the SAE, obtain and review the list of farmers that benefited from micro-scale irrigation funds in the previous FY Sample at least 5 beneficiary farmers. Visit the Sampled farmers to establish, if they are implementing at least four (4) of the following practices: Trenching Mulching weeding, manuring, thinning, spacing, soil and water conservation If the farmer practices at least any four of the above practices score 10 else 0	The assessment team received and reviewed reports from the Production office on farmer adoption of good field management practices and conducted field visits to five sampled farmer sites and established the following; • The LG had supported farmers through trainings on various farming practices. Of the 9 farmers that the LG supported with Microscale irrigation equipment, we sampled and interviewed six (6) farmers including; o Okello Simon (0773395917) of Otatai village, Otatai parish, Asuret Sc. He was on a 2.5acre farm Under the program, he installed sprinkler on 1.5acres by the MSI program with 64 sprinkler points. On his own, he had installed drag hose technology on 1 acre with 3 hydrants. Was involved mainly in onions, tomatoes and water melon. He had co-funded 11,000,000/= o Achengu Simon peter (0784084105) of Obule village, Obule parish, Asuret Sc. He had established on 3 acres farm. Hosted a MSI demonstration site and also a host of a Farmer Field School (FFS) of 30 members 6 of whom were male and 8 of them were youths. He was engaged in tomatoes, passion fruits, and egg plants. The FFS had 1 acre of tomatoes that was soon in market. In September, he had earned 2,000,000/= from 0.25 acre of tomatoes. Put to use the recommended practices including manuring, mulching, spacing and trenching. Demonstration site was active and regularly visited by staff and farmers as a learning centre. o Eyadu Benjamin (0784997312) of Awiding village, Ajonyi parish, Oculoi Sc. Had a 1acre farm and installed drip line technology. He was engaged mainly in onions and tomatoes. He had extended his farm under irrigation with 2 acres more, under drip line technology with passion fruits and pineapples. o Opio Steven (0762194730) Agora village, Ojom parish, Oculoi Sc. Was on 1.9acres of drag hose technology and on tomatoes as main enterprise. Hosted a FFS of 25 members of whom 10 were female. Five were youths. He was practicing manure application, spacing, ridging, trenching, pruning among other practices.	**10**

o Obaale Alex (0779348170), Moruapesur village, Abeleti parish, Gweri Sc. Was operating on 0.5 acres with drip line technology of 90 drip lines. He was engaged mainly in sukumawiki, cabbages, tomatoes and egg plants. The farm was generally well managed and was putting in practice spacing, manuring, weeding and ridging.

o Otim Blasio (0777772891) of Onyamai village, Abeleti parish, gweri sc. Was operating on 1acre farm. He was hosting one of the MSI Demonstration site for the LG. The sprinkler had 6 points, drip lines were 12 and drag hose 2 hydrants with a 50m pipe. The water tank stand was 5m high and water source was reported to be 35ft deep. On average, 5-10 farmers visited site weekly. It was an active farmer learning centre.

• The farmers sampled and visited were implementing the farming practices which they had been trained on by the LG as highlighted below;

o manuring,

o mulching,

o trenching

o ridging,

o weeding and

o spacing.

Access

2	Evidence that the LG has achieved MSI MAAIF installation targets in the previous FY.	From MAAIF obtain the installation targets for the LG. From the MIS and SAE, obtain the list of completed installations in the previous FY and compare with the target. If the LG has achieved MSI MAAIF installation targets in the previous FY. Score 8 or else 0	The assessment team received and reviewed reports from the LG on Increase in uptake of irrigation technology and established the following; • In the FY 2023/2024 the LG set with MAAIF, a target of 26 beneficiary farmers micro-scale irrigation equipment installations. • By close of the FY under review, the LG had mobilized the farmers, created awareness to them on the MSI program, trained them on their roles and responsibilities on the program including the obligations to mobilise and pay confounding before MSI equipment installations were made on their farms, as well as built their capacity to put to use the equipment once installed on their farms. • By closure of the FY2023/2024 under review, the LG had installed 9 sets of equipment for 8 individual farmers and 1 demonstration site. • The LG did not meet the agreed target with MAAIF for the period under review (FY2023/2024).	**0**
3	Evidence that the LG has realized an Increase in acreage of land under irrigated agriculture between the previous FY and the previous FY but one	From the MIS and SAE, obtain and review data on irrigated land for the last two FYs. Calculate the percentage increase for micro-scale irrigation grant beneficiaries If increase in micro-scale irrigation grant beneficiaries by 20% score 4 or else 0	The assessment team received and reviewed records from the production office on ‘increase in acreage of land under irrigation’ in the LG and established as follows; • Soroti DLG was under the phase II LGs in the implementation of MSI. • LGs in phase II begun program implementation in FY 2022/2023 with community awareness creation activities and establishment of MSI demonstration sites. • The LG established 3 demonstration sites FY2022/2023 as the guidelines provided, each of 1 acre. Regarding the land under irrigation in the LG, the assessment team established the following; • At time of assessment, the LG had a total acreage of 37.9 acres under irrigation. (Source: Summary of irrigated land in Soroti District FY2022-2023/2023-2024; by Okello Moses Echeku, DAO; dated 20th June 2024). • 23.5 acres of land under irrigation were established during FY2022/2023. (Source: Report by DAO, Okello Moses Echeku-0772348931; “Summary of irrigated land in Soroti District; dated 28/6/2023). • Under micro-scale irrigation grant, during	**4**

FY2022/2023, the LG established 3 UgIFT-MSI Demonstration sites, each of 1 acre among three host farmers, making a total acreage of 3 acres. The 3 Demonstration host farmers were;

o Achengu Simon Peter (0784084105) of Obule-angorom village, Obule parish, Asuret Sc.6

o Kamuda Seed Secondary School C/o Mr. Okoyo, Owolo village, Aminit parish, Kamuda Sc.

o Elasu Charles of palaet parish of Otubur Sc,

(Source: Report on Micro-scale Irrigation Sites in Soroti District for FY2022/2023; Okello Moses Echeku, DAO; dated 30th June 2023).

• Under the Non-micro-scale irrigation grant beneficiaries, the LG had a total of 20.5 acres of land under irrigation. These included;

o 20 acres under Awoja and Alere Small Scale irrigation projects under the Ministry of Water and Environment.

o 0.5 acre for Auruku Andrew, a private farmer in Kamuda sc, Onoratok parish.

Regarding the land under irrigation in the LG during the period FY2023/2024, the following was established;

• During the FY2023/2024, under UgIFT-MSI Program, the LG established 14.4 acres of irrigated land under the micro-scale irrigation grant beneficiaries among 8 individual farmers across the LG.

• During the same timeframe, FY2023/2024, the LG recorded 0 increase in irrigated under the Non-microscale irrigation grant beneficiary category.

• Thus, the total acreage of irrigated land in the LG for the period FY2022/2023 to FY2023/2024 was 37.9 acres. (Source: Report by DAO, Okello Moses Echeku-0772348931; “Summary of irrigated land in Soroti District, dated 30/6/2024).

• Therefore;

1. Increase in acreage of irrigated land during the period under review for micro-scale irrigation grant beneficiaries;

o The LG registered an increase in irrigated land under the micro-scale irrigation grant beneficiary category of 14.4 acres. Thus, the total acreage of land under irrigation under this category was 17.4 acres (for the period under review (FY2022/2023 to FY2023/2024).

o This is a percentage increase of 480%.

			The LG had therefore registered increase in acreage for micro-scale irrigation grant beneficiaries for the period FY2022/2023 to FY2023/2024 of 480%.	
3	Evidence that the LG has realized an Increase in acreage of land under irrigated agriculture between the previous FY and the previous FY but one	From the MIS and SAE, obtain and review data on irrigated land for the last two FYs. Calculate the percentage increase for micro-scale irrigation grant non-beneficiaries. If increase in non-Micro-scale irrigation grant beneficiaries by 10% score 2 or else 0.	The assessment team received and reviewed records from the production office on 'increase in acreage of land under irrigation' in the LG and established as follows; • Soroti DLG was under the phase II LGs in the implementation of MSI. • LGs in phase II begun program implementation in FY 2022/2023 with community awareness creation activities and establishment of MSI demonstration sites. • The LG established 3 demonstration sites FY2022/2023 as the guidelines provided, each of 1 acre. Regarding the land under irrigation in the LG, the assessment team established the following; • At time of assessment, the LG had a total acreage of 37.9 acres under irrigation. (Source: Summary of irrigated land in Soroti District FY2022-2023/2023-2024; by Okello Moses Echeku, DAO; dated 20th June 2024). • 23.5 acres of land under irrigation were established during FY2022/2023. (Source: Report by DAO, Okello Moses Echeku-0772348931; "Summary of irrigated land in Soroti District; dated 28/6/2023). • Under micro-scale irrigation grant, during FY2022/2023, the LG established 3 UgIFT-MSI Demonstration sites, each of 1 acre among three host farmers, making a total acreage of 3 acres. The 3 Demonstration host farmers were; o Achengu Simon Peter (0784084105) of Obule-angorom village, Obule parish, Asuret S/C.6 o Kamuda Seed Secondary School C/o Mr. Okoyo, Owolo village, Aminit parish, Kamuda S/C. o Elasu Charles of palaet parish of Otubur S/C, (Source: Report on Micro-scale Irrigation Sites in Soroti District for FY2022/2023; Okello Moses Echeku, DAO; dated 30th June 2023). • Under the Non-micro-scale irrigation grant beneficiaries, the LG had a total of 20.5 acres of land under irrigation. These included;	**0**

			o 20 acres under Awoja and Alere Small Scale irrigation projects under the Ministry of Water and Environment. o 0.5 acre for Auruku Andrew, a private farmer in Kamuda sc, Onoratok parish. Regarding the land under irrigation in the LG during the period FY2023/2024, the following was established; • During the FY2023/2024, under UgIFT-MSI Program, the LG established 14.4 acres of irrigated land under the micro-scale irrigation grant beneficiaries among 9 individual farmers and 1 demonstration site across the LG. • During the same timeframe, FY2023/2024, the LG recorded 0 increase in irrigated under the Non-microscale irrigation grant beneficiary category. • Thus, the total acreage of irrigated land in the LG for the period FY2022/2023 to FY2023/2024 was 37.9 acres. (Source: Report by DAO, Okello Moses Echeku-0772348931; “Summary of irrigated land in Soroti District, dated 30/6/2024). • Therefore; • Increase in acreage of irrigated land during the period under review for non-micro-scale irrigation grant beneficiaries; o During the same period, (FY2022/2023 and FY2023/2024) the LG registered zero increase in irrigated land among the Non-micro-scale irrigation grant beneficiary category for the period under review (FY2023/2024 to FY2023/2024). o This is a percentage increase of 0%. The LG did not registered increase in acreage for non-microscale irrigation grant beneficiaries for the period FY2022/2023 and FY2023/2024.	
4	Evidence that the LG has established and run Farmer Field Schools (FFS) as per the guidelines: • Eligible number of participants (20 -30 farmers) • Farmers in a radius of 15km of the FFS. • Inclusion of male, female, and youth farmers.	From the DPO, obtain and review reports on FFS to determine whether they are established and run as per the guidelines. Sample farmer field schools to verify that they comply with the guidelines: i. Eligible number of participants (20	The assessment team received and reviewed reports from the Production office on establishment and operationalization of farmer field schools and conducted field visits to sampled MSI establishments in the LG and noted the following on establishment of Farmer Field Schools by the LG; • The LG had established 4 Farmer Field Schools by the time of the assessment exercise (FY2023/2024). • Three FFSs were visited and the following were observed regarding existence and functionality of FFSs in the LG;	**6**

		-30 farmers) ii. Not more than 15km from the FFS. iii. Inclusion of male, female, and youth farmers. If all above complied with score 6 or else 0.	i. Oculoi Farmer Field School, Agora village, Ojong parish of Oculoi Sc; o Total membership of the FFS was 25. o Female membership was 10. o Youth membership was 5. o FFS conducted meetings every Tuesday at 2.00pm. o FFS had established a VSLA as a cohesion enhancement mechanism. o The contact person of the FFS was Opio Steven (07). ii. Akuna Kulala Savings Group and farmer Field School, Onyamai village, Abeleki parish of Gweri Sc. o Total membership of the FFS was 25 members. o Female members were 5. o Youth members were 10. o The FFS held weekly meetings every Monday of the week at 12.00noon. o The FFS had established a saving arm as a means of strengthening the members of the group. o The contact person of the FFS was Otim Blasio (0777772891). iii. Angirikisi Savings and Farmer Field School, Obule village, Obule parish of Asuret Sc. o Total membership of the FFS was 30 members. o Female members were 24. o Youth members were 8. o The FFS held weekly meetings every Friday of the week at 2.00pm. o The FFS had established a savings scheme as a means of strengthening the membership of the group. o The contact person of the FFS was Ocen Peter (070753166). • FFSs were within a radius of 5-6km their membership catchment area.	
Efficiency				
5	Evidence that farmers who received and are currently utilizing MSI facilities have registered an increase in crop yield between the previous FY	• From the DPO, obtain the list of beneficiary micro-scale beneficiary farmers.	The assessment team received and reviewed the records presented from the Production office of the LG and established the following;	**10**

but one and the previous FY	• Sample and visit 5 farmers and check their records for the last two FYs to determine the percentage increase in yield If the farmers who received and are currently utilizing MSI facilities have registered an increase in crop yield between the previous FY but one and the previous FY by 10% score 10 or else 0	• Soroti LG was a Phase 2 LG of the UgIFT MSI program that commenced in FY2022/2023 as year 1 of the Program implementation. • Year 1 of MSI program implementation for phase 2 LGs focused on creation of community awareness and establishment of MSI demonstration sites in the LG. • The LG in FY2022/2023, established 3 demonstration sites at Kamuda Seed Secondary School, Achengu Simon Peter of Obule village, Obule parish in Asuret Sc and Elasu Charles of palate parish in Otubur sc. • The three host farmers were engaged in in horticulture production for FY2022/2023 and FY2023/2024, the period under review. Achengu Simon Peter was engaged in tomatoes and cabbages production, Kamuda Seed secondary school was engaged in tomatoes, cabbages and sukumawiki and Elasu Charles was involved in tomatoes and cabbages too. • Records from the Production Office indicated that; o In season B of 2022 and season A of 2023 for the FY2022/2023, Achengu Simon Peter produced 0.8tons and 1.3 tons of tomatoes and green pepper respectively, a total of 2.1tons, for the two seasons of FY2022/2023. o Similarly, and for the same period, Kamuda Seed sec school produced 10.3 tons and 12.5 tons respectively, a total of 22.8 tons of tomatoes, sukumawiki and cabbages, for the two seasons of FY2022/20203. o Elasu Charles, for the same period produced 6 tons and 8 tons of tomatoes respectively, a total of 14 tons of tomatoes and cabbages, for the two seasons of FY2022/20203. o In season B of 2023 and season A of 2024 for the FY2023/2024, Achengu Simon Peter produced 2.2 tons and 2.3 tons respectively, a total of 4.5tons of water melon, tomatoes and egg plants for the two seasons of FY2023/2024. o Similarly, and for the same period, Kamuda Seed sec school produced 11.3 tons and 11.9 tons respectively, a total of 24.2 tons of tomatoes cabbages and sukumawiki, for the two seasons of FY2023/20204. o Elasu Charles, for the same period produced 7 tons and 9 tons respectively, a total of 16 tons of tomatoes and cabbages, for the two seasons of FY2023/20204. (Data source: Report; “Increase in Crop Yield where Irrigation system is installed”

			by Okello Moses Echeku -, DAO. Dated 27/6/2024). o The percentage increase in crop yield for Achengu Simon Peter for the period under review was 114%. o The percentage increase in crop yield for Kamuda Seed sec school for the period under review was 6.1%. o The percentage increase in crop yield for Elasu Charles for the period under review was 14%. • The three sites registered increased crop yield for the period under review (FY2022/2023 and FY2023/2024). • The assessment team corresponded with the two host farmers for the MSI Demonstration sites and verified the records provided by the production office as a true record. • In the event that the LG is in the Phase 2 category to which the year under assessment was the year individual farmers installation commenced, the assessment team found logic in assessing this indicator based on the performance of the farmers that hosted the three MSI demonstration sites to draw insights on the indicator. • The LG therefore registered increased crop yield among the farmers that received and used MSI facilities for the period under review.	
Human Resource Management				
6	Evidence that the SAE has provided technical support and mentoring to extension workers in the LLG in MSI component	• From SAE obtain and review the supervision and mentoring reports • Interview extension workers in a sample of 5 LLGs to verify the support provided If SAE has provided technical support and mentoring to extension workers in the LLG in MSI component score 10 or else 0.	The assessment team received and reviewed records from the Production office on ‘technical support to extension workers in MSI’ and established the following; • The LG had conducted supervision and mentoring sessions to the extension workers for the period under review. • The mentorship sessions were evidenced by reports on file as indicated below; o On the 10th January 2024, the LG conducted a refresher training for the Extension Workers on farmer Field Schools including how to develop an Action plan for the FFS (Source: Farmer Field School Refresher training for Agriculture Officers in Soroti DLG, dated 10/1/2024 by Okello Moses Echeku, DAO) o On the 15th January 2024, the LG had mentored extension staff on pests and diseases surveillance and data collection (Source: Technical Backstopping and supervision on pest and disease surveillance, coordination and consultation Data collection, by Okello Moses Echeku,	**10**

			the DAO, dated 15/1/2024) o On the 2nd April 2024, the LG trained the Extension workers on how to establish and manage Farmer Field Schools (Source: Training of Extension Workers on the Farmer Field School Methodology. National Farmer Leadership Centre Kampilingisa. Okello Moses Echeku, DAO). o On 24th June 2024, the LG conducted a benchmark visit for technical staff and leaders on Microscale Irrigation to Tororo DLG (Source: Back to Office report on Bench mark visit to Tororo DLG. Okello Moses Echeku, DAO). o Staff were taken through an online hands-on training by MAAIF on the IrriTrack on how to register Expressions of Interest (EOIs) by the farmers, conduct farm visits, procurements under UgIFT/MSI and how to enter farmers data. • The assessment team sampled some of the extension workers to ascertain the claims of mentorship sessions by the reports on file and they affirmed to have been mentored on MSI program by the LG. some sampled staff included; i. Akello Regina (0775719025) , A.O for Oculoi sc. ii. Emaku Emmanuel (0773766216), A.O Otuboi Sc. iii. Ejuku David Ambrose, (0772325397), AHO of Asuret Sc. iv. Okedero Amos (0779964011), A.O Kamuda Sc. v. Asau John Francis (0785020494), A.O Arapai Sc vi. Ilemut Christine, (0782039249), A.O Gweri Sc The staff confirmed having received mentorship and technical support from the LG. The LG provided technical support and mentorship to extension workers as guided by the MSI Guidelines.	
Management of Financial Resources				
7	Evidence that the LG has appropriately allocated the micro-scale irrigation grant between capital development and complementary services, the development component of MSI grant has been used on eligible activities (procurement	From the planner’s office obtain and review: The budget performance report and AWP to establish whether the micro-scale irrigation grant has	The assessment team accessed and reviewed the relevant documents including the Annual Budget and Workplan for the period under review (FY2023/2024) ‘regarding allocation and execution of MSI funds as per the sub-programme grant and budget guidelines’ and established the following;	**10**

and installation irrigation equipment including accompanying supplier manuals and training, and budget allocations have been made towards complementary services in line with the sub-programme guidelines	been used as per guidelines. Verify if: i. The LG has appropriately allocated the micro-scale irrigation grant between capital development (micro-scale irrigation equipment (75%) and complementary services (25%) ii. The development component of MSI grant has been used on eligible activities (procurement and installation irrigation equipment including accompanying supplier manuals and training iii. The budget allocations have been made towards complementary services in line with the sub-programme guidelines i.e. maximum 25% for enhancing LG capacity to support integrated agriculture and minimum of 75% for enhancing farmer capacity for uptake of MSI If (i) to (iii) met score 10 or else 0	• The LG had a total budget of Six hundred eighteen million, four hundred sixty-two thousand, eighty-nine shillings (618,462,089/=). • Of this budget, Four Hundred and Sixty-three million, eight hundred and forty-six thousand, five hundred sixty-seven shillings (463,846,567/=) was the 75% Development component of the MSI grant. • Of the total annual Budget, the LG budgeted One hundred fifty-four million, six hundred fifteen thousand, five hundred and twenty-two (154,615,522/=) as 25% complementary services Budget for MSI for the FY 2023/2024 under review as the MSI Guidelines provided, on the following activities; o Awareness raising to Local leaders (21,692,328/=) o Procurement monitoring and supervision (16,961,552/=). o Awareness creation to farmers (34,788,492/=). o Farm visits (23,192,328/=). o Establishment and management of Demo sites (34,788,493/=). o Establishment and management of Farmer Field Schools (23,192,328/=). The assessment team reviewed the Quarterly Progress Reports and Budget performance reports and established the following; • The LG utilized the 75% MSI annual budget to procure MSI equipment for the beneficiary farmers that had been identified and paid their co-funding obligations as the guidelines for the MSI program required of them. • The LG had utilised the 25% complementary services budget to undertake activities as the guidelines required of them (Source: Micro-scale Irrigation sub-grant Quarterly Report for Quarter 3 2023/2024 FY; dated 4/April/2024; and Physical Progress Report for Quarter 4 of FY2023/2024; dated 29/7/2024; by Okello Moses Echeku, DAO). • By end of the FY2023/2024, of the total budget for complementary services of 154,615,522/= the LG had spent 126,275,522/= (82.7%) on the following activities; o Awareness raising to the local leaders (19,475,729/=). o Procurement monitoring and supervision (15,986,000/=).

			o Awareness raising to farmers (34,815,500/=). o Farm visits (24,991,800/=). o Demonstrations (9,015,493/=). o Farmer Field Schools (21,991,000/=). o Regarding the performance of the compelementary services budget, the LG operated within the guidelines. • Overall, the LG operated within the grant guidelines for both Development (75%) and the Complementary Services (25%) for the period under review (FY2023/20240. • However, the LG had unspent balances by close of the period under assessment that was rolled over to FY2024/2025.	
8	Evidence that the LG has ensured that farmers meet their co-funding IN FULL before equipment installation, the LG has utilized the farmer co-funding following MSI guidelines in the previous FY and that co-funding funds were reflected in the LG budgets for the coming FY	From the SAE obtain and review the beneficiary project file to determine the projected farmers' contribution and review the receipt to verify actual amount paid by the farmer. From district planner obtain and review the budget performance report to verify that farmers co-funding has been allocated and utilized as per the guidelines. Verify if: i. Evidence that the LG has ensured that farmers meet their co-funding IN FULL before equipment installation ii. Evidence that the LG has utilized the farmer co-funding following MSI guidelines (to scale-up acquisitions of MSI equipment of other new farmers) in the previous FY iii. Evidence that co-funding funds	The assessment team received and reviewed documents presented from the Production office of Soroti LG on 'management of co-funding as per sub-programme grant and budget guidelines' and established the following; • The LG had set out to raise a total of One hundred and one million, Five hundred seventeen thousand and twenty-five (101,517,025/=) shillings from co-funding for the various 26 MSI beneficiary farmers. • By the close of the FY 2023/2024 under assessment, the LG had collected the co-fund payments from only 8 farmers, totaling to 51,770,750/= only. This was only 51% of the expected co-funding from the farmers for the period under review. • For the farmers that had paid for their co-funding, the LG issued receipts to them before commencement of installation for the equipment. • For example, 3 farmers randomly sampled from the 8 that completed their co-funding obligation are highlighted here below; iv. Eyudu Benjamin of Awidiang village, Ajonyi parish, of Oculoi Sc completed the balance of his co-funding of 4,128,750/= on 18/6/2024. v. Ejangu George Gabriel of Ogwolo village, Ogwolo parish of Katine sc completed the balance of his co-funding of 4,790,000/= on 10/6/2024. vi. Ogwanga Anthony of Amusai village in Omugenya parish of Awalwal Sc completed the balance of his co-funding of 3,043,250/= on 31/5/2024. The remaining 5 farmers that completed their co-funding did so within the	**10**

	were reflected in the LG budgets for the coming FY If (i) to (iii) met score 10 or else 0	FY2023/2024 before installations to their farms were made. (Source: UGIFT Micro-scale irrigation Updates for the Financial Year 2023-2024, Soroti District; by Okello Moses Echeku, DAO). The assessment team established that the LG by close of the FY2023/2024 had collected ninety Million (90,000,000/=) as co-funding from the MSI farmers who had been approved for MSI equipment installation on their farms. These funds were re-voted as supplementary budget for the FY2024/2025 (Source: Request to re-vote unspent balance as at the end of FY2023/2024 meant for UgIFT Micro-scale irrigation programme-Local Revenue from farmer Co-funding; to PS/SST MoFPED by CAO Soroti DLG, dated 19th July 2024). The LG conformed with the guidelines.

Environment, Social, Health and Safety

9	Evidence that the LG has monitored environment irrigation impacts quarterly e.g. efficiency of system in terms of water conservation, use of agro-chemical waste containers among the beneficiary farmers	From the Natural Resource department/ Environment officer, obtain and review environment monitoring and compliance reports to determine whether the SAE ensured that farmers conduct: a) Proper water conservation; and b) Proper agrochemicals and management of resultant chemical waste containers. Sample and visit 5 farmers and verify that farmers practice proper water conservation and agro-chemicals management as well as management of resultant chemical waste containers. If the LG has monitored environment irrigation impacts quarterly e.g. efficiency of system in terms of water conservation, use of agro-chemical waste containers among the beneficiary farmers score 5 or else O	The assessment team received and reviewed reports from the Environment office on 'Monitoring irrigation environment impacts' and established the following; • That the LG conducted environment screening for each of the 16 farmers that had paid up the commitment MSI program co-funding of 1,000,000/= were screened. The exercise was conducted between 12th to 29th February 2024 (Source: Social and Environmental Screening Report for Micro-scale Irrigation Farmer sites in Soroti District for FY2023/2024; by Adutu George Patrick Ejok, Senior Environment Officer; dated 4th march 2024). • The LG conducted Quarterly Environmental Compliance Monitoring for the various beneficiary farmer sites in the district. This captured key aspects of the exercise including findings and issues raised, action taken and recommendations to the farmers by the team of experts (Source: Report on environmental compliance monitoring of Microscale Irrigation farmers in Q4 FY2023/2024. By Adutu George Patrick Ejok, Senior Environment Officer; dated 28th June 2024). • The LG conducted Environmental Social Safeguard Clearance assessment for all the MSI farmer sites and provided an 'Environmental social safeguard Clearance Report' for each of the beneficiary farmers highlighting variables of interest, status at time of the visit and remarks on what the team advised the farmers to address during and after the visit (Source: Environment Social Safeguard Clearance Report. By Adutu George Patrick Ejok, Senior Environment Officer; dated 24th June 2024). • However, the assessment team did not access all the four quarterly reports to inform making the opinion on this indicator.	0

10	Evidence that the LG has established a mechanism of addressing micro-scale irrigation grievances : micro-scale irrigation grievances have been reported in line in line with the LG grievance redress framework, recorded, investigated and responded to	From the Designated Grievance Redress Officer obtain and review the Log of grievances and check whether grievances were recorded, investigated and responded If the LG has established a mechanism of addressing micro-scale irrigation grievances : micro-scale irrigation grievances have been reported in line in line with the LG grievance redress framework, recorded, investigated and responded to, score 5 or else 0	The assessment team received and reviewed reports from the Production office on 'establishment and functionality of the grievance redress mechanism' under the MSI program in the LG and established the following; • The LG had a Grievances Redress committee (GRC) established to receive and handle various grievances in the LG including those from the MSI program. • However, information the assessment team reviewed indicated that the committee had been opened on the 8th August 2024 beyong the period under assessment. • The LG therefore did not have a grievance redress committee for the period under review.	**0**

Oversight and support supervision

11	Evidence that the LG has monitored on a quarterly basis all installed MSI equipment (key areas to include: functionality of the equipment, adherence to ESHS, adequacy of water source, efficiency of MSI in terms of water conservation)	• From SAE obtain and review the quarterly monitoring reports for the previous FY to establish the number of MSI equipment that were monitored • Sample and visit 5 farmers and verify what is in the reports. If the LG has monitored on a quarterly basis all installed MSI equipment (key areas to include: functionality of the equipment, adherence to ESHS, adequacy of water source, efficiency of MSI in terms of water conservation) score 10 or else score 0	The assessment team received and reviewed reports from the production office for the period under assessment on 'monitoring and technical support to farmers' by the LG and established as follows; • The LG had conducted monitoring and offered technical support to the beneficiary farmer of the MSI Program for the period under assessment (FY2023/2024). • The assessment team established that on the 24/6/2024, the LG conducted a farmer exchange visit of 18 farmers to Tororo District to benchmark on MSI program and build the capacity of the beneficiary farmers to manage their installations well (Source: Report on Farmer Exchange visit to Tororo District. By Okello Moses Echeku, DAO; dated 24/6/2024). • The LG Agriculture Officers (A.Os) at LLG level built the capacity of farmers in MSI program through awareness and sensitization meetings on MSI program. For example; o In Gweri sc, 200 farmers from 4 parishes attended a sensitization meeting on MSI program on the (Source: Activity Report on Sensitisation of farmers on Micro-scale irrigation (UgIFT). By Christine Ilemut, dated 10/6/2024). o In Oculoi sc, the Fourth Quarter Report	**10**

indicated that farmers were trained on MSI program to improve their awareness on the program (Source: Oculoi sub-county Fourth Quarter Report for FY2023/2024. By Akello Regina, A.O; dated 30/6/2024).

o In Asuret Sc, 350 farmers took part in training in MSI program (Source: Activity Report from Asuret Subcounty. By Ejulu David Ambrose, A.O. dated 23/5/2024).

o In Katine Sc, farmers were trained in MSI program (Source: Activity Report on micro-scale irrigation (UgIFT) and Farm visits. By Asau John Francis).

o In Ocokican Sc, 232 farmers attended training on MSI program (Source: Activity Report. By Ojuku David Ambrose, A.H.O; dated 23/5/2024).

o In Arapai Sc, farmers were trained on MSI program (Source: Activity Report for sensitization of farmers at Arapai Sc. By Asau John Frances, dated 30/6/2024).

• The assessment team sampled some farmers to establish as to whether the LG was offering regular monitoring of their farms to establish as to whether the equipment was functioning to their satisfaction; were maintaining the required environmental, sanitary and health standards; and adequacy of water source. The farmers visited included;

i. Okello Simon (0773395917), of Otatai village, Otatai parish of Asuret Sc (had two systems, the drag hose and sprinkler, dealing in onions, cabbages and egg plants and operating on 2.5 acres).

ii. Achengu Simon Peter (0784084105), of Obule village, Obule parish of Asuret Sc (had 3 technologies and operating on 3 acres. Was engaged in tomatoes, passion fruit and egg plants. He also hosted an FFS).

iii. Eyudu Benjamin (0784997312) of Awiding village, Ajonyi parish of Oculoi Sc (had drip line technology and operating on 1 acre of land. He was engaged in onions and tomatoes). He had expanded the drip line technology to another 1 acre using his own resources.

iv. Obaale Alex (0779348170) of Moruaopesur village, Abeleti parish of Gweri Sc (had drip line technology with 90 lines on 0.5 acres and engaged in egg plants, sukumawiki, cabbages and tomatoes).

v. Otim Blasio (0777772891), of Onyamai village, Abeleti parish of Gweri Sc (was a host farmer of the 3 technologies of drag hose, drip irrigation and sprinkler technologies on the MSI Demonstration site and the FFS).

			vi. Opio Steven (0762194730) of Agora village, Ojomo parish of Oculoi Sc (had sprinkler being installed on the farm by the time of the assessment exercise. He was operating on 1.9 acres and engaged in tomatoes and citrus). Generally, the farmers engaged were satisfied by the equipment types and installations made on their farms in terms of functionality, efficiency of water source of the farm, and were ensuring effective management of their environment by controlling waste materials and ensuring adequate sanitation and hygiene on the farms. . Therefore, LG monitored and gave technical support to farmers during the period under assessment.	
12	Evidence that the LG collects information quarterly on newly irrigated land, functionality of irrigation equipment installed, provision of complementary services and farmer expression of interest, the LG has entered up to-date LLG information into the MIS, the LG has prepared quarterly reports using information compiled from LGs in the MIS, and the information in the MIS on the status of installation matches with the physical reports and data on the ground. If (i) to (iv) met score 10 or else 0	• From the MIS and SAE obtain and review quarterly supervision and monitoring reports to determine whether they are compiled and cover LLG irrigated land, functionality of irrigation equipment installed, provision of complementary services and farmer expression of interest • From the MIS report determine whether up to-date LLG performance information is submitted Check and verify if i. Evidence that the LG collects information quarterly on newly irrigated land, functionality of irrigation equipment installed, provision of complementary services and farmer expression of interest. ii. Evidence that the LG has entered up to-date LLG information into the MIS	The assessment team received and reviewed records from the production office, conducted field visits to sampled farmers and navigated through the UgIFT MSI program regarding collection of information and reporting and established the following details; • The LG during the period under review, FY2023/2024, established 33.4 acres of newly irrigated land in the following categories; i. The LG established 11.4 acres of irrigated land under MSI program among 9 farmers across the LLGs. ii. The LG established 20 acres of irrigated land under the Ministry of Water and Environment and was accessed by 24 farmers. iii. One farmer established privately 2 acres of irrigated land. • On functionality of irrigation equipment installed during the period under review, the assessment team established that the 5 farmer sites that were sampled and visited of the 9 sites installed were all functional (4 individual farmers and 1 demonstration site). The water tanks were at a height of 5-7m high and water sources were well covered and at good depth, between 35 - 50ft deep. • The LG delivered Complementary services to the farmers. The services included trainings in pest and disease control, compost manure making, soil conservation and tree planting. Engagement with the 5 farmers during field visits confirmed the delivery of complementary services to the farmers by the LG for the period under review.	**10**

iii. Evidence that the LG has prepared quarterly reports using information compiled from LGs in the MIS iv. Evidence that the information in the MIS on the status of installation matches with the physical reports and data on the ground. If (i) to (iv) met score 10 or else 0	The LG was using the MIS to generate and submit the Quarterly reports. For example; i. First Quarter Report was generated on the 5/10/2023 and highlighted Farmers expressions of Interests and farm visits that were successfully conducted. ii. Second Quarter Report was generated on the 10/1/2024 and focused on awareness raising for LG and LLG leaders, events for awareness raising for farmers, EoIs by farmers and Farm visits conducted iii. Third Quarter Report was generated on the 4/4/2024 and focused on awareness raising for LG and LLG leaders, events for awareness raising for farmers, EoIs by farmers, Farm visits conducted and approval of beneficiary farmers. iv. Fourth Quarter Report was generated on the 26/6/2024 and focused on awareness raising for LG and LLG leaders, events for awareness raising for farmers, EoIs by farmers, Farm visits conducted and procurement and installations for the farmers was done. • The MIS indicated that information on the 8 farmers whose MSI equipment were installed was on the system. • Navigation of the MIS indicated that the farmers' details including their EoI forms, farm visits conducted and co-funding details were available on the MIS. • Status of installation of MSI equipment matches with physical reports and data on the ground.

PMs and Indicators to Incentivise Delivery of Accessible, Quality and Efficient Production Services

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Quality				
1	Evidence that the Production Department has trained and met MAAIF farmer and farmer's institutional training targets for the previous FY	From MAAIF obtain and review: (i) the LG targets for the farmer and farmers institution training for the previous FY; and (ii) quarterly agriculture extension grant report to establish the number and nature of farmer and farmer's institutional capacity building conducted. From the DPO obtain and review: the training needs assessment report, training schedule, and quarterly reports for the previous FYs to verify that the LG: • Conducted capacity needs assessment of farmers • Delivered training to a set number of farmers • Availed knowledge products to farmers e.g. brochures, informative videos, flyers, manuals. From the sampled farmers' institutions (farmer field schools) ascertain that they were trained by: • Interviewing the farmers on whether the training was conducted and the training content • Reviewing the knowledge products shared • Reviewing the visitors book to	Quarterly reports submitted to MAAIF and received as follows: 2nd Quarter –10.03.2024 3rd Quarter – 16.04.2024 4th Quarter- 08/07/2024 Needs assessments, and training schedules, were not verified. The number of farmers who reached and trained during 2023/2024 could not be established due to a lack of information. There was no evidence that the production Department trained and met the MAAIF farmer and farmer's institutional training target.	**0**

		confirm the extension worker’s visit. If the Production Department has trained and met MAAIF farmer and farmer’s institutional training targets for the previous FY score 5or else 0		
2	Evidence the LG has increased the Percentage of farmers reached and supported by the extension workers between the previous FY and the previous FY.	From MAAIF obtain the quarterly Agriculture extension grant reports submitted by LGs. From DPO, Obtain and review quarterly reports of the previous FY to establish the number of farmers reached and supported by extension officers in the following areas: • Enterprise selection, • Value chain production, • Harnessing post-harvest handling, • Market linkages, processing and value addition, • Pest and disease surveillance Calculate the percentage increase between the previous FY but one and the previous FY. If the LG has increased the Percentage of farmers reached and supported by the extension workers between the previous FY and the previous FY but one score 5 or else 0.	From the Quarterly extension grant reports of FY 2022/2023, farmers reached and trained for 2022/2023, but this could not be verified due to a lack of information from the DPO. From the information obtained from the DPO, it was not enough to help us calculate the difference between farmers trained in 2022/2023 and 2023/2024.	**0**

3	Evidence that LG collects and submits agricultural data and statistics on acreage and production, and submits reports to MAAIF using tools i. Daily Capture fisheries/aquaculture ii. Monthly livestock iii. Crop Seasons iv. Entomology reports	From DPO obtain and review the following reports a) Capture fisheries/aquaculture b) Monthly livestock c) Crop Seasons d) Entomology repots Verify if this data is collected and submitted to MAAIF (evidence of stamped copy). Score 5 if any of the above reports are compiled and submitted or else 0.	No minutes nor a report was seen about multi-stakNo minutes nor a report seen about multi-stakeholder monitoring of agricultural extension services.	**0**
4	Evidence that the LG has conducted surveillance on pest and disease occurrence and taken corrective actions based on findings from the surveillance	From DPO obtain and review the quarterly performance report to determine whether the respective units within the department conducted pests, vector and disease surveillance in the previous FY. From the clerk to council obtain and review council minutes to verify whether reports on pests, vector and disease were presented to the relevant committee of the Council and the actions taken by council on the reports of surveillance to reduce and control pests, vectors and diseases If the LG has conducted surveillance on pest and disease occurrence and taken corrective actions based on findings from the surveillance score 5 or else 0	• The EMAi app. used to capture livestock diseases was verified and surveillance reports were sent to MAAIF. The report indicated that Foot and mouth disease was suspected in goats. The observation date by EMAi App was 05/07/23, but there was no corrective action taken by the Council Committee of Production.	**0**

Access

5	Evidence that LG has functional results demonstration and trial sites, has conducted farmer training at each of these sites, and farmers have utilized these sites for learning purposes in previous FY score 6 or else 0	From the DPO, obtain and review the inventory of ‘Results demonstration’ and trial sites. From the list obtained, sample at least 2 demonstration sites to ascertain whether • The demonstration site is functional and in good condition. • Farmer visits took place by reviewing the visitors' book • Attendance sheets to verify participation in the training If the LG has functional results demonstration and trial sites, has conducted farmer training at each of these sites, and farmers have utilized these sites for learning purposes in previous FY score 6 or else 0	Inventory of demonstration facilities was seen with lists of farmers. Some of the Demonstration sites visited included: 1. Obule Farmer field school in Asuret sub-county. FFS was located on a site where there was a micro-scale irrigation scheme with overhead sprinklers, Drip pipes and drag hose all functional. The group had grown Tomatoes, Eggplants, and Passion fruits. Group members were 24 female and 6 male. 2. Otatai Farmer Field School in Asurat Sub County had planted Onions, watermelon, Kale, Cabbages, Eggplants, and Pasion fruits. The Demonstration site was functional and Mr. Okello Simon was the host farmer. 3. Angola FFS in Ongom Parish, Oculai sub-county. Mr. Enerkede Aswam was the chairman of the FFS. The farmer field School was located at an irrigation point and had grown Onions, Pineapples, and passion fruits and were rearing goats. The FFS was active and had grown crops on a rotation basis.	**6**

6	Evidence that the Production Department has collected, compiled and publicized up-to-date data and information on key players/service providers (updated one quarter before the assessment)	From the DPO, obtain and review the registry/database of the key players and service providers to verify if the database is existent and includes the service providers where farmers can obtain services. The list should among others include: • Research organizations, • Profile of genuine agro-dealers, agro-processors, • Private extension service providers, and • Agriculture finance institutions and insurance, in the LG. From the register, verify whether it is up-to-date by reviewing new entries made in the previous FY. Interview the sampled farmers to verify that the list was publicized. If the Production Department has collected, compiled and publicized up-to-date data and information on key players/service providers (updated one quarter before the assessment) score 6 or else 0.	Registers of service providers were seen and contained many categories of service providers especially Agro in input dealers, Agro-Vet Dealers, Tractor operators, etc. There was evidence that lists were updated. The list was updated in the 4th quarter of 2023/2024 on 13th June 2024 according to the report verified. Some of the Service providers listed and updated included: 1. Aruo Alfred Martin of Arapai. Tel. no. 0776704082 2. Aanyu Betty of Katine on Tel.no.0772436776 3. Mawa Bernard of Kamuda, on Tel. no. 0774285785, 4. OPIC Merchants Enterprises Ltd of Katine on Tel. no. 0772588704	**6**

7	Evidence that the LG organized awareness events during the previous FY such as agricultural shows, exhibitions, and farmer field days aimed at bringing farmers and other sub-programme actors together.	From the DPO, obtain and review reports on awareness events such as agricultural shows and exhibitions that bring together farmers and other sub-programme players/actors together to verify: • Theme of the event • When the event took place • Where it took place • The targeted participants • The participants that attended • Exhibition photographs and pictures If the LG organized awareness events during the previous FY such as agricultural shows, exhibitions, and farmer field days aimed at bringing farmers and other sub-programme actors together score 8 or else 0.	There was an Agricultural exhibition that was reported and there was a report seen with photographs of the function. The exhibition took place at a micro-scale irrigation site at Awinding village, Owonyi, parish Oculoi Sub county. The show took place on 26/05/2024, and the report was of 31/05/2024. The Theme was:" Farming as a business, using irrigation to maximize profits" Group members and neighboring farmers totaling 67 and 7 sub county staff including Extension workers attended the show. There was an exchange visit of farmers from Soroti to Kampala on 12/03/2024. The farmers were from 5 sub counties. The Theme was "To enhance the skills and expertise of farmers in peanut oil manufacturing technology. The attendance list seen contained 15 farmers and 4 extension staff from Soroti District. The training was conducted by Macdough Foods Uganda Limited.	**8**

Human Resource Management

8	Evidence that the LG ensured at least one extension worker was deployed in each of the LLG during the previous FY	From the PHRO, obtain and review the personnel files of extension workers to verify recruitment of extension workers From the DPO and PHRO Obtain the staff list to verify the deployment of extension staff per LLG. If the LG ensured at least one extension worker was deployed in each of the LLG during the previous FY score 5 or else 0	From files obtained from the PHRO and staff list of FY 2023 /2024, all LLG units had one extension worker deployed. Evidence from PHRO and the staff list that were seen on the District notice board, the LG had enough extension staff. They had 19 extension staff whom were equitable deployed at least one per LLG, with some LLGs having more than one extension worker.	**5**

9	Evidence that the extension workers are providing extension services in the LLGs where they are deployed	Sample and visit at least two LLGs • Review the notice board to verify the names of extension workers in the LLG • Review the attendance book • Review the quarterly reports submitted by the extension workers in the sampled LLG If the extension workers are providing extension services in the LLGs where they are deployed score 5 or else 0.	At the two LLGs sampled, the names of extension workers were found on notice boards. Attendance books, reports, and work plans were all verified and there was evidence that the extension workers were present and gave services to the farmers. This was also verified when we looked at the quarterly reports of the LLG. 2nd Quarter report was dated 21 .02.2024, 3rd quarter dated 23.04.2024, and 4th quarter was of 25.07.2024. From the Tablet, copies of activity reports, and photos of agricultural events were verified. The sampled and visited LLGs were: Arsuret Sub County where Mr. Ejuku David was an A.O. and Mr. Ejiet Charles the fisheries officer and Katine Sub County where Mr. Akitenge A.O. whose name was displayed on the notice board. Both the extension workers were provided with equipment to help them carry out extension work.	**5**
10	Evidence that the LG has facilitated, and equipped extension staff with basic equipment in the previous FY	From the DPO obtain the annual budget performance reports to verify that resources were allocated and utilized for buying equipment and tools for production staff. Obtain the asset register to confirm the equipment allocated to extension services From the sampled LLG, interview the extension staff to verify whether they have the basic equipment including; motorcycles, tablets/phones, tools, and extension kits. If the LG has facilitated, and equipped extension staff with basic equipment in the previous FY score 5 or else 0.	Assets from the asset registers at the District and LLG were verified. The extension staff at sampled LLG units were facilitated to carry out the extension work. They were given motorcycles, riding gear, and tablets. Veterinary Staff were added veterinary kits for meat inspection, and refrigerators for Livestock vaccines. Some were given furniture with the exception of newly created LLG units. The units sampled and visited were: Arsuret Sub County and Katine Sub County.	**5**

11	Evidence that LG has provided capacity building to extension workers	From the DPO, obtain and review the training needs assessment reports, training programs and training reports to verify whether the extension staff were provided with capacity building through; training programs, exchange visits, learning tours, and field visits to research centers, among others If the LG has provided capacity building to extension workers score 5 or else 0.	Capacity building of extension workers and Needs assessment report were verified. A report dated 02/04/2024 on the training of extension workers on Farmer Field School Methodology report was verified	**5**

Management and functionality of amenities

12	Evidence that public production facilities are functional and have proper management structures	From the DPO Obtain a list of public production facilities these include but are not limited to, communal watering facilities, markets, value addition centers, fish landing sites, slaughter slabs, community bulking stores, dip tanks, cattle crushes. Sample and visit at least one facility to establish functionality. If the public production facilities are functional and have proper management structures score 5 or else 0	From inventories, supervisory, and quarterly reports, the following were verified: The functionality of public production facilities in LG included bulking and marketing of farmer's produce among others. Specific examples are listed below': - Katine Joint Farmers' Cooperative Society Ltd, with a store of 200 Mt capacity for bulking and marketing farmers' produce. The group dealt in Value addition by milling of farmers produce and the facilities were functional. The manager was Mrs. Rabbeka Babirye and the Chairman was Mr. Epong Nelson. – - There was a livestock market in the Katine sub-county with a loading Rump and slaughter slabs all functional. - Obule Farmer Field School in Obule Parish Asuret Sub County. The group comprised 4 women and 6 men. There was a micro-scale irrigation scheme with sprinkles, drip, and drag hose units. The group was functional in growing Tomatoes, Eggplants, and Passion fruits during the drought period in order to fetch more money.	**5**
			From inventories, supervisory, and quarterly reports, the following were verified: The functionality of public production facilities in LG included: - Katine Joint Farmers' Cooperative Society Ltd, with a store of 200 Mt capacity for bulking and marketing farmers' produce. The group dealt in Value addition by milling of farmers produce and the facilities were functional. The manager was Mrs. Rabbeka Babirye and the Chairman was Mr. Epong Nelson. – - There was a livestock market in Katine Sub County with a loading Rump and slaughter slabs all functional. - Obule Farmer Field School in Obule Parish Asuret Sub County. The group comprised of 4 women and 6 men. There was a micro-scale irrigation scheme with sprinkles, drip, and drag hose units. The group was functional in growing Tomatoes, Eggplants, and Passion fruits during the drought period in order to fetch more money.	

13	Operation, maintenance and management of production facilities (e.g. communal watering facilities, markets, value addition centers, fish landing sites, slaughter slabs, community bulking stores, dip tanks, cattle crushes) Evidence that the LG had provided technical support on O&M and management of the agricultural infrastructural facilities to the beneficiaries of these facilities through training	From the DPO obtain the evidence of training (training reports) undertaken on O&M and management of the infrastructure facilities. At the sampled facilities obtain and review the site book to ascertain supervision and support to verify if support and O&M were provided At the sampled facilities verify the functionality of the management structures through; reviewing the minutes of the committee, the business of the committee members, and subscriptions among others If the LG had provided technical support on O&M and management of the agricultural infrastructural facilities to the beneficiaries of these facilities through training score 5 or else 0	From the lists of production facilities obtained from the DPO's office, on Maintenance and management of production facilities, no report was obtained on technical support on O&M and management of agricultural infrastructural facilities through training. There was no evidence that the LG had provided technical support on O&M and management of the agricultural infrastructural facilities through training.	**0**

Management of Financial Resources

14	Evidence that the LG ensured the production department’s budgets and work plan adhered to MAAIF planning and budgeting guidelines during the previous FY	From the Planner obtain the Annual work plan, budgets, and budget performance report of the previous FY to verify whether the production department budget and expenditures complied with the guidelines. If the LG ensured the production department’s budgets and work plan adhered to MAAIF planning and budgeting guidelines during the previous FY score 10 or else 0.	There was no evidence that the LG ensured the production department’s budgets and work plan adhered to MAAIF planning and budgeting guidelines during the previous FY. The Agriculture Extension Grant guidelines for the LG to spend 25% of the grant on Development and 75% on recurrent expenditures. The PMG grant guides the LG to spend 55% on development and 45% on recurrent expenditures. From the budget performance report dated 28 July 2024, Soroti LG received UGX 41,208,830 as PMG. UGX 22,664,854 was used for development expenditures; UGX 18,543,976 was used for recurrent expenditures. Hence, 54.9 % was used for development, while 45.1% was used for recurrent expenditures. The district also received a total of Ugx 167,675,730 for an Agriculture extension grant, of which UGX40,335,146 was used on development and Ugx161,340,584 was used on recurrent expenditure, implying that 20% was used on development while 80% was used on recurrent expenditure Items pursed for the development component included a computer, motorcycles, and staff Personal protection gear. Some of the items on recurrent expenditure included fuel and allowance, facilitation for field extension workers, maintenance of office equipment, vehicles and motorcycles, stationery, utilities, and staff welfare. The percentages calculated did not tally with the MAAIF Budget and work plan guidelines.	**0**

Environment, Social, Health and Safety

15	a) Evidence that the LG has put in place measures to include small holder farmers among the beneficiaries of agricultural services score 2 or else 0 b) Evidence that the LG has implemented measures to ensure that young women and young farmers (18-35 years) are accessing services score 2 or else 0 c) Evidence that farmer groups are trained in grievance management and stakeholder engagement score 2 or else 0	From the LG Agricultural Office, obtain and review; • LG AWP to establish that measures to include small holder farmers among the beneficiaries of agricultural services are in place If the LG has put in place measures to include small holder farmers among the beneficiaries of agricultural services score 2 or else 0	From the Agricultural office, the assessment team did not obtain AWP, training sensitization, and implementation reports. Therefore, the assessment team could not establish that Smallholder farmers were beneficiaries of agricultural services.	**0**
15	a) Evidence that the LG has put in place measures to include small holder farmers among the beneficiaries of agricultural services score 2 or else 0 b) Evidence that the LG has implemented measures to ensure that young women and young farmers (18-35 years) are accessing services score 2 or else 0 c) Evidence that farmer groups are trained in grievance management and stakeholder engagement score 2 or else 0	• From the LG Agricultural Office, obtain and review; • LG AWP to establish that measures to include small holder farmers among the beneficiaries of agricultural services are in place • Details of beneficiaries of agricultural services to ascertain that (small holder farmers, young women and young farmers) are accessing services If the LG hashas implemented measures to ensure that young women and young farmers (18-35 years) are accessing services score 2 or else 0	. From lists of beneficiary farmers, Training, sensitization, and implementation reports, were reviewed. There was no evidence that LG had implemented measures to ensure that young farmers (18-35 years) were accessing services. This was also seen from the attendance lists, where the age bract was not evident.	**0**

15	a) Evidence that the LG has put in place measures to include small holder farmers among the beneficiaries of agricultural services score 2 or else 0 b) Evidence that the LG has implemented measures to ensure that young women and young farmers (18-35 years) are accessing services score 2 or else 0 c) Evidence that farmer groups are trained in grievance management and stakeholder engagement score 2 or else 0	• From the LG Agricultural Office, obtain and review; • Reports to ascertain that farmer groups are trained in grievance management and stakeholder engagement • Reports to ascertain that farmer groups are trained in the management of agro-chemicals Evidence that farmer groups are trained in grievance management and stakeholder engagement score 2 or else 0	From the LG agricultural office, no reports on farmer groups training in grievance management and stakeholder management. No report on the management of agrochemicals was obtained. In the absence of the reports, there was no evidence that farmer groups were trained in grievance management and safe use of agrochemicals.	**0**

Transparency, oversight, reporting and accountability

16	Evidence that the LG has conducted multi-stakeholder monitoring of Agricultural Extension Services.	From the Clerk to Council office, obtain and review multi-stakeholder monitoring reports for extension services and agricultural projects to ascertain that the key stakeholders including RDC, C/P LCV, CAO Secretary for Production, Production Committee, DPMO & Subject Matter Specialists (SMSs) and NGOs participated in the multi-stakeholder monitoring. If the LG has conducted multi-stakeholder monitoring of Agricultural Extension Services score 7 or else 0	In the absence of multi stakeholder monitoring reports for extension services and agricultural projects from the Clerk to council office, there was no evidence that the activity was undertaken.	**0**

17	Evidence that the DPO has supported, supervised, mentored, and provided technical to the agriculture extension workers score 7 or else 0	From DPO obtain and review the monitoring and supervision reports, and training/mentoring report to verify if DPO provided support supervision to the LLG extension workers. At the sampled LLGs obtain and review the training reports, feedback notes and recommendations from DPO to the extension staff to verify the support provided. The DPO has supported, supervised, mentored, and provided technical to the agriculture extension workers score 7 or else 0.	There were monitoring, spot supervision, and training/mentoring reports by the DPO's office as per dates below: - 15/01/2024, Backstopping of sub-county agricultural extension staff and quality assurance of Agricultural data collection. - - The DPO trained extension staff on sensation on implementation of PDM projects on 03/ 09/2023. - Backstopping on certain issues e.g. Management of Irrigation systems on 28/06/2024. There was evidence that DPO supported, mentored and provided technical support supervision to the agriculture extension services.	**7**