



LGMSD 2021/22

Soroti District

(Vote Code: 553)

Assessment	Scores
Crosscutting Minimum Conditions	52%
Education Minimum Conditions	100%
Health Minimum Conditions	60%
Water & Environment Minimum Conditions	55%
Micro-scale Irrigation Minimum Conditions	0%
Crosscutting Performance Measures	52%
Educational Performance Measures	49%
Health Performance Measures	71%
Water & Environment Performance Measures	72%
Micro-scale Irrigation Performance Measures	11%

**Crosscutting
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): • If so: Score 4 or else 0 	<p>There was evidence that infrastructure project implemented using DDEG funding were functional and utilized as per the purpose of the projects . The following were the Sampled DDEG projects;</p> <p>Renovation of the Finance Administration Building.</p> <p>Construction of OPD at Auko HC11/.</p> <p>Constructed Teachers House at Kelim Tubur Primary School</p>	4
2	<p>Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>a. If the average score in the overall LLG performance assessment increased from previous assessment :</p> <ul style="list-style-type: none"> o by more than 10%: Score 3 o 5-10% increase: Score 2 o Below 5 % Score 0 	<p>There is no basis for comparison of previous assessment for LLGS results to the current assessment results. This was because assessment of LLGs has started this year 2022. The indicator is not applicable for the time being.</p>	0

Service Delivery
Performance

Maximum 6 points on
this performance
measure

b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.

- If 100% the projects were completed : Score 3
- If 80-99%: Score 2
- If below 80%: 0

There was evidence that the DDEG funded investments implemented in the previous FY 2021/2022 were 100 % completed as per performance contract (AWP)

Renovation of the Finance Administration Building page 32 of the Work plan and reported to have been completed on page 11 of the Annual Performance Report

Construction of OPD at Auko HC11 page 107 of the Annual Work Pan and was completed as per page 17 of the Budget Performance Report /

Constructed Teachers House at Kelim Tubur Primary School page 130 of the Work plan and was Completed as per page 19 of 3rd Quarter Budget Performance Report

Investment
Performance

Maximum 4 points on
this performance
measure

a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:

Score 2 or else score 0.

The LG budgeted for DDEG of UGX 524748,256 and spent all of it UGX 524748,256 as per schedule authenticated by CFO on eligible .activities as per DDEG guidelines.

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For instance UGX 105,000,000 was spent on construction of Staff house at Tiriri HC1V .

Construction of OPD block at Aukot HCII amount UGX 74,579,540

Construction of a Teachers' house at Kelin-Tubur amount UGX 92,747,410

Renovation of Finance and Administration amount UGX 179,113,000

ICT soft ware upgrade amount 46,021,000

Survey of land, tree seedlings amount 53,641,000

Preparation of BOQs amount 6,283,000

Investment
Performance

Maximum 4 points on
this performance
measure

b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,

score 2 or else score 0

The variations in the contract price and Engineer's estimates of the sampled DDEG projects were as follows:

- Completion of semi-detached staff house at Tiriri HCIV budgeted at UGX 22,326,204, actual was UGX 18,100,000 with a variation of UGX 4,226,204 represented by 18.9%.
- Construction of OPD block at Aukot HCII budgeted at UGX 65,000,000, actual was UGX 74,579,540 with a variation of UGX 9,579,540 represented by – 14.7%.
- Construction of a Teachers' house at Kelin-Tubur budgeted at UGX 90,000,000, actual was UGX 92,747,410 with a variation of 2,704,410 represented by 3%.

The variations were within the range of +/- 20% as per the requirement

Performance Reporting and Performance Improvement

Accuracy of reported information

Maximum 4 points on this Performance Measure

a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0

The review of the three sampled LLGs indicated that the information filled in LLGs per minimum staffing stands was accurate.

The Human Resource and LLGs staff lists had the same information on the filled positions at the sampled LLGs of Tubur T/C, Kamuda S/C and Asuret S/C.

At Tubur Town Council the staff list had 9 filled positions and HR staff list had 9 these included; Ag.Town Clerk Mr. Elebu Charles, SCDO Ms. Okwara Felix and SAA Kwemboi Robert among others.

Kamuda Sub County the staff list had 12 filled positions and the HR staff list had 12 these included; SAS Mr. Olobo Tom Vincent, CDO Ms Akurut Juliet and SAA Ms Emadit Getrude among others.

Asuret Sub County staff list had 16 filled positions and HR staff list had 16 these included; SAS Mr Omagor John, CDO Ms Aguti Sarah and SAA Mr Okwalinga Gilbert, among others.

4

2

Accuracy of reported information

Maximum 4 points on this Performance Measure

b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:

• If 100 % in place: Score 2, else score 0.

Note: if there are no reports produced to review: Score 0

There was evidence that infrastructure Constructed using the DDEG was 100% in place as per reports produced by the LG

Renovated Finance Building page 32 of the Work plan and reported to have been completed on page 11 of the Annual Performance Report

Constructed of OPD at Aukot HC11 page 107 of the Annual Work Pan and was completed as per page 17 of the Budget Performance Report /

Constructed Teachers House at Kelim Tubur Primary School page 130 of the Work plan and was Completed as per page 19 of 3rd Quarter Budget Performance Report

Human Resource Management and Development

6

0

Budgeting for and actual recruitment and deployment of staff

Maximum 2 points on this Performance Measure

a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.

Score 2 or else score 0

There was no evidence that the District had consolidated and submitted the staffing requirements for the coming Financial Year 2022/23

7	Performance management Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI): Score 2 or else score 0	There was no evidence that the District had conducted tracking and analysis of its staff attendance.	0
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7	Performance management Maximum 5 points on this Performance Measure	i. Evidence that the LG has conducted an appraisal with the following features: HODs have been appraised as per guidelines issued by MoPS during the previous FY: Score 1 or else 0	There was evidence that the LG had conducted appraisals on some of the HoDs i. Mr. Oboi Richard Ag. District Planner was appraised by Luke L.L Lukoda. ii. Mr. Okello Michael Principal internal auditor was appraised by Luke L.L Lukoda. iii. Mr. Oriekot Alex Civil Engineer water was appraised by Okello Simon Exolu Ag. District Engineer. iv. Ewena Haggi Procurement Officer was appraised by Ms Akiror Jane the PAS Those not appraised include Mr. Edyangu Thomas CFO Mr. Okello Simon Ekolu District Engineer among others.	0
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Performance management

Maximum 5 points on this Performance Measure

ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:

Score 1 or else 0

The LLG administrative reward and sanction was dully established ,functional and had considered cases as follows;

Mr. Enyede Joseph Parish chief Awaliwal had been sanctioned against absenteeism. He was cautioned in writing to desist from absenteeism.

Ms. Sagati Elizabeth Enrolled Midwife was sanctioned against absenteeism. The officer admitted to not being in the facility at the said day.

The committee recommended that she should be cautioned in writing on being absent from the station without authority.

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The District had established a consultative Committee for staff grievance redress on 25/06/2021.</p> <p>The committee members were as follows;</p> <ul style="list-style-type: none"> . Akiror Jane PACAO/Ag. DCAO . Margaret Emuria Acaya DCDO-Member . Oede James DEO-Member . Eyura Martins ADHO-for DHO .Okanya Simon PHRO/Sec 	1
8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>The staff recruited during the last financial year 2021/2022 were 27 but all were recruited under Parish development model and they were paid off payroll through PDM. These included;</p> <p>Arotin Angela, Akiriat Stella, Auto Shanel Vicky, Agwang Eunice, Adiola Norah Lucy extra, all were recruited on 2/Nov/2021 and accessed their payroll on 4th Jan 2022.</p>	1

9	Pension Payroll management	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:	Information necessary to assess whether all staff who retired during the Financial Year 2021/2022 accessed pension payroll was not availed.	0
	Maximum 1 point on this Performance Measure or else score 0	Score 1.		

Management, Monitoring and Supervision of Services.

10	Effective Planning, Budgeting and Transfer of Funds for Service Delivery	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:	Direct transfer of DDEG to LLGS	2
	Maximum 6 points on this Performance Measure	Score 2 or else score 0	<p>was executed in accordance with requirements of the budget FY 2021/2022.</p> <p>1st Quarter A total of UGX 241,158,699 transferred to LLGS against a budget of UGX 241,158,699.</p> <p>2nd Quarter A total of UGX 241,377,417 transferred to LLGS against a budget of UGX</p> <p style="padding-left: 40px;">241,377,417</p> <p>3rd Quarter A total of UGX 241,377,417 transferred to LLS against a budget of UGX 241,377,417</p> <p>(DDEG funds are transferred in 3 tranches according to the CFO, contact 0772563481)</p>	

Effective Planning,
Budgeting and
Transfer of Funds for
Service Delivery

Maximum 6 points on
this Performance
Measure

b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: (within 5 working days from the date of receipt of expenditure limits from MoFPED):

Score: 2 or else score 0

The LG did not do timely warranting 5 working days from the date of receipt of releases from

MOFPED

1st Quarter

Date of cash Limit 13th July 2021 Amount UGX 241,158,699 Date of Warrant 27th July 2021 amount UGX 241,158,699 A delay of 14 days .

2nd Quarter

Date of cash Limit 5th October 2021 Amount UGX 241,377,417 Date of Warrant 20th October 2021 Amount UGX241,377,417 A delay of 15 days

3rd Quarter

Date of cash Limit 7th Jan 2022 Amount UGX 241,377,417 Date of Warrant 21st Jan 2021 Amount UGX241,377,417 A delay of 14 days

10	Effective Planning, Budgeting and Transfer of Funds for Service Delivery	c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:	The LG did not invoice and communicate within 5 working days for all quarters as indicated below;	0
	Maximum 6 points on this Performance Measure	Score 2 or else score 0	Q1 date of invoicing was 27th July 2021 amount UGX 241,158,699 and date of communication was 18th August 2021	
			Q2 date of invoicing was 20th October 2021 amount UGX 241,377,417 and date of communication was 21st October 2021	
			Q3 date of invoicing was 21st January 2022 amount UGX 241,377,417 and date of communication was 1st February 2022	
11	Routine oversight and monitoring	a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:	There was no documentary evidence at the time of this assessment exercise that the LG mentored LLGs.	0
	Maximum 4 points on this Performance Measure	Score 2 or else score 0		
11	Routine oversight and monitoring	b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:	There was no documentary evidence at the time of this assessment exercise that the LG mentored LLGs.	0
	Maximum 4 points on this Performance Measure	Score 2 or else score 0		

Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>There was evidence that the LG maintained an updated Assets register.</p> <p>The Asset Register Comprehensively covered the 3 categories of Assets outlined in the Financial and Accounting Manual 2007 The three categories of Assets included Administration Building on plot 6-8.</p> <p>Vehicles included Vehicle registration number</p> <p>LG 0061107 in Engineering Department</p> <p>Assets Register General included Office Furniture and ICT Equipment.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>There was no documentary evidence that management had taken Action on previous recommendations.</p> <p>For Instance the previous Board of Survey Report FY 2020/2021 had recommended that the Board of Survey be provided with Laptop Photocopier to enhance its operational Capacity but by the time of performance assessment, no action had been taken</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If</p>	<p>The District did not have a functional Physical Planning Committee as it had produced and submitted 3 sets of minutes to MOLHUD against the requirement of 4. The said minutes were</p>	0

Measure

so Score 2. Otherwise Score 0.

submitted as follows

minutes of the meeting held on 20th August 2021 submitted to MOLHUD Soroti Regional office on 28th October 2021.

Minutes of the meeting held on 30th December 2021 submitted to MOLHUD Soroti Regional office on 10th June 2022.

Minutes of the meeting held on 24th May 2022 submitted to MOLHUD Soroti Regional office on 10th June 2022.

Jane Akiror - Assistant Chief Administrative Officer appointed as a member on 1st November 2013.

Cathbert Oula- Roads Inspector appointed as a member on 1st November 2013.

Akello Catherine District Natural Resources Officer appointed as a member on 24th December 2021.

Jane Akiror Principal Assistant Secretary - renewal of assignment as Chairperson of physical planning committee on 2nd October 2018.

Emmanuel Oluca - District Staff Surveyor appointed as a member on 20th December 2021.

Paul Egwau District Physical Planner nominated as a Secretary to the Physical Planning Committee on 1st November 2013.

Moses Okello Echekeu -

District Agriculture Officer appointed as a member on 20th December 2021.

Margaret Emuria Acaya - District Community Development Officer appointed as a member on 1st November 2013.

Georgi Adutu Senior Environment Officer, appointed as a member on 20th December 2021.

James Oede District Education Officer appointed as member on 20th December 2021.

Building Plan Registration was being maintained Developers Applications were considered within required time frame of 30 days.

A Telecommunication Company called UBUNTU Towers Uganda Limited submitted an application for building a Mast on 28th April

2022 and the Application was approved on 24th

May 2022 The process took three weeks

St Lawrence vocational Institute submitted an application on 29th April 2022 and it was considered on 24th May 2022.

KOMERIT Company Limited Submitted application for a building plan on 29th April 2022 and it was considered on 24th May 2022

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d. For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that the LG had conducted desk and field Appraisals at the time of assessment</p> <p>Information was not availed despite numerous requests.</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was no documentary evidence that</p> <p>the LG had conducted field Appraisals</p>	0

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>LG provided evidence to show that project profiles with costing were developed and discussed by TPC meeting dated 10th August 2021 minute N0 08/TPC/08/2021; examples of projects included</p> <p>Construction of a 2 classroom block at Akolodong P/S with projected cost UGX 93,916,205</p> <p>Construction of a 2 classroom block at Omodoi P/S with projected cost UGX 93,916,205</p> <p>Construction of a 2 in 1 Teachers house at Amusia P/S with projected cost UGX 103,436,440</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was no evidence provided in form of screening reports for current FY DDEG projects</p>	0

13	1	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>There was evidence to show that DDEG funded infrastructure projects for current FY were incorporated in the LG approved Procurement Plan signed on 9th September 2022 by CAO Muramira Aggrey</p> <p>Some of the projects included;</p> <p>Construction of a 2 stance drainable pit latrine in Tubur Town Council Page 3</p> <p>Extension of Asuret Sub county administration office block phase II Page 3</p> <p>Renovation of Tubur Town Council hall page 3</p> <p>Wiring and installation of electricity in Kamuda HCIII and supply of solar lights to Tubur Town council, page 3</p>
13	1	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>The LG had evidence of Contracts Committee approved all DDEG projects for Current FY contained in meeting dated 13th September 2022, under min N0 865/CC/09/2022-2023</p>

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:

Score 1 or else 0

According to the letter of appointment by CAO dated 14th April 2022 reviewed by the assessor, the Project Implementation team was not fully established as per the guidelines. The list of members appointed included;

Omagor John- Ag.
SAS/CAO

Acaya Margret - CDO

Okello Simon Ekolu-Ag DE

Adutu George- senior
Environment officer

Dr. Okadhi Charles- DHO

Oode James-DEO

The team did not have a
Clerk of works

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:

Score 1 or else score 0

There was evidence to show that all infrastructure projects implemented using DDEG followed the standard technical design; Examples of visited projects included;

Completion of semidetached staff house at Tiriri HCIV; a 1,500 Liters Tank was installed, 1.5m high ground concrete water tank base was constructed, septic tank of size 2850 x 2000mm , soak away pit, PVC pipes of different sizes coupled with bends and accessories for drainage were installed, all as per the designs provided by the LG Engineer

Another project visited was completion of power connection in Tiriri HCIV, 2 100A 4 way SPN MCB consumer unit were installed, adaptable box to contain UEDCL meter and cutouts was installed, 8 lighting points wired as per the designs provided by the DE

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0

LG provided evidence of supervision by the relevant technical officers of the infrastructure projects as per the reviewed inspection reports captured below;

Inspection report for construction of an OPD at Aukot HCII dated 16th June 2022; the DE, CDO and Environment officer participated

Inspection report for construction of Teacher's house at Kelin - Tubur P/S dated 16th June 2022; DE, CDO and Environment Officer participated

Inspection report for completion of semi-detached staff house in Tiriri HCIV dated 20th June 2022; DE, CDO and Environment officer participated

<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):</p> <p>Score 1 or else score 0</p>	<p>The DLG had evidence of Certified works and payments initiated within timeframes as follows:</p> <p>Construction of Completion of construction of a semidetached house in Teriri by Frahah Amuria Enterprises was certified by District Engineer for 1st payment UGX 36,316,428 issued on 17th June 2022 and Subsequent payment to the contractor was initiated and timely paid on 29th June 2022 under voucher NO. 44585466</p> <p>Construction of OPD block in Aukot HCII by Arising blessing Enterprises Ltd was certified by District Engineer for 1st payment UGX 35,002,450 issued on 16th June, 2022 and Subsequent payment to the contractor was initiated and timely paid on 29th June, 2022 under voucher NO 44585562</p> <p>Construction of a Teacher's house in Kelin-Tubur P/S by Frahah Amuria Enterprises Ltd was certified by District Engineer for 1st payment UGX 17,014,000 issued on 20th June ,2022 and Subsequent payment to the contractor was initiated and timely paid on 29th June, 2022 under voucher NO 44585584</p>
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Procurement, contract management/execution

Maximum 8 points on this Performance Measure

g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:

Score 1 or else 0

From a sample of 3 files, there was evidence to show that the LG had a complete procurement file with all records as per PPDA. Examples of project files reviewed;

- Completion of the construction of a semidetached staff house in Tiriri HCIV ;minutes of meeting for contracts committee decision dated 1st February 2022, minute 810/CC/02/2021-2022, contract agreement signed 15th February 2022 and evaluation report dated 25th January 2022.

- Construction of OPD block in Aukot HCII; minutes of meeting for contracts committee decision dated 6th May 2022, minute 832/CC/05/2021-2022, contract agreement signed 20th May 2022 and evaluation report dated 24th September 2021

- Construction of a Teacher's house in Kelin-Tubur P/S ,minutes of meeting for contracts committee decision dated 2nd November 2021, minute 777/CC/11/2021-2022, contract agreement signed 22nd November 2021 and evaluation report dated 20th September, 2021

Environment and Social Safeguards

Grievance redress mechanism operational.

Maximum 5 points on this performance measure

a. Evidence that the District/Municipality has i) designated a person to coordinate response to feedback (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.

Score: 2 or else score 0

There was evidence of an appointment letter dated 15/07/2021 from the CAO Mr. Luke L. L. Lokuda designating Mr. Ekwaru Abraham as the Focal point person Grievance Handling Officer for period of 2021/2022.

There was evidence of GRC existence which was verified by the GRC formal appointment dated 15/07/2021 and the Minutes from the GRC meeting held on 27/05/2022 at the District Conference Hall.

GRC members included;

- Ms. Akiror Jane (Ag. Deputy CAO)-Chairperson
- Mr. Oede James (District Education Officer)-member
- Mr. Esatu Moses (Senior Labour Officer)- Secretary.
- Dr. Okadhi Charlee (DHO)- Member
- Mr. Okanya Simon (Principal Human Resource Officer)-Member

14	0	Grievance redress mechanism operational.	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.	The grievances were recorded in complaints log book that was received from Ministry of Agriculture, Animal, Industry and Fisheries purposely for Agriculture cluster development project (ACDP) complaints.		
Maximum 5 points on this performance measure	If so: Score 2 or else 0	The complaints log book never had clear and complete information, no description of how the complaints were responded to, mode of feedback among others.	However, there was no defined complaints referral path and no information on grievance redress was displayed publicly on LG notice boards			
14	0	Grievance redress mechanism operational.	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	The LG had not publicized the grievance redress mechanisms neither on the notice boards nor on websites		
Maximum 5 points on this performance measure	If so: Score 1 or else 0	15	0	Safeguards for service delivery of investments effectively handled.	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	There was no documentary evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets Complied with for the current FY
Maximum 11 points on this performance measure						

15	Safeguards for service delivery of investments effectively handled.	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management	There was no documentary evidence at the time of this performance assessment exercise that the LG had disseminated to LLGS the enhanced DDEG guidelines	0
	Maximum 11 points on this performance measure	score 1 or else 0		
15	Safeguards for service delivery of investments effectively handled.	(For investments financed from the DDEG other than health, education, water, and irrigation):	There was no other DDEG financed project other than Health and Education projects in the previous financial year 2021/2022	3
	Maximum 11 points on this performance measure	c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:		
		score 3 or else score 0		
15	Safeguards for service delivery of investments effectively handled.	d. Examples of projects with costing of the additional impact from climate change.	There was no project with costing of the additional impact from climate	3
	Maximum 11 points on this performance measure	Score 3 or else score 0		

15	Safeguards for service delivery of investments effectively handled.	e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:	There was no documentary evidence to prove land ownership for the implemented projects by assessment time.	0
	Maximum 11 points on this performance measure	Score 1 or else score 0		
15	Safeguards for service delivery of investments effectively handled.	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:	Monitoring and supervision reports provided were done quarterly as listed below;	1
	Maximum 11 points on this performance measure	Score 1 or else score 0	Construction of an OPD Block in Aukot HCII in Osuguro village, Awoja Parish in Aukot Sub-County	
			- Date of first Monitoring report -20/4/2022	
			- Date of the last monitoring report- 27/6/2022	
			Construction of a 2 in 1 teachers house in Kelim-Tubur Primary school in Palaet B. Village, Palaet Parish in Tubur Sub-county	
			- Date of first Monitoring report -15/03/2022	
			- Date of the last monitoring report- 17/06/2022	
			Construction of a semi-detached staff house at Tiriri HCIV	
			- Date of first Monitoring report -20/04/2022	
			- Date of the last monitoring report- 16/06/2022	
15	Safeguards for service delivery of investments effectively handled.	g. Evidence that E&S compliance Certification forms are completed and	There was evidence of E&S compliance certificates for all DDEG financed projects	0

Maximum 11 points on this performance measure

signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:

Score 1 or else score 0

signed by both the DCDO and SEO.

However, both the DCDO and the SEO never signed together on all the payment certificates even when there was provision for them to sign.

Construction of an OPD Block in Aukot HCII in Osuguro village, Awoja Parish in Aukot Sub-County.

Contractor: M/s Arise Blessed Destiny Enterprises Ltd

DCDO and SEO signed the Environmental and Social compliance certificate on 16/06/2022

Payment date: 11/06/2022

SEO signed on the Payment certificate on 16/6/2022

DCDO signed on the Payment Certificate on 16/6/2022

Construction of a 2 in 1 teachers house in Kelim-Tubur Primary school in Palaet B. Village, Palaet Parish in Tubur Sub-county

DCDO and SEO signed the Environmental and Social compliance certificate on 16/06/2022

Contractor: M/s FRAHAH Amuria Enterprises Ltd

Payment date: 30/06/2022

SEO signed on the Payment certificate on 17/06/2022

DCDO never signed on the Payment Certificate.

Construction of a semi-

detached staff house at Tiriri
HCIV

Contractor: M/s Sure
Friends Civil & Agro Input
Consultant Ltd

DCDO and SEO signed the
Environmental and Social
compliance certificate on
16/06/2022

Payment date: 11/07/2022

DCDO signed on the
Payment Certificate on
20/06/2022

SEO never signed on the
Payment certificate. There
was no provision for him to
sign.

Financial management

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that bank reconciliation were carried out to date for FY 2021/2022 as at 30th June 2021/2022 end (Financial Year) Similarly, the LG carried out bank reconciliations up to date as at 30th October FY 2022/2023.</p> <p>The following were the 3 Sampled</p> <p>bank Accounts had been reconciled</p> <p>to 30th October 2022 as indicated below</p> <p>General Fund Account at DFCU Soroti branch.</p> <p>Agriculture Cluster Development project at DFCU Soroti branch</p> <p>Collection Account at Bank of Uganda</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>1st Quarter report dated 10th November 2021 was in place.</p> <p>2nd Quarter report dated 28th February 2022 was in place.</p> <p>3rd Quarter report dated 18th May 2022 was in place</p> <p>4th Quarter report dated 4th August 2022</p>	2

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.

Score 1 or else score 0

There was no evidence at the time of the performance assessment exercise that the LG had provided information to Chairperson and LGPAC on the Status of implementation internal audit findings for FY 2021/2022.

LGPAC did not review all quarterly internal audit reports because it was not in place Its

term of office expired in March 2021 and a new one was inaugurated in July 2022

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:

Score 1 or else score 0

There was evidence that internal audit reports were submitted to Accounting Officer and LGPAC as follows;

1st Quarter report dated 10th November 2021 was submitted to Accounting Officer on 10th November 2021 and LGPAC on 25th March 2022.

2nd Quarter report dated 28th February 2022 was Submitted to Accounting Officer on 28th February 2022 and LGPAC on 28th March 2022.

3rd Quarter report dated 18th May 202 was Submitted to Accounting Officer on 18th May 2022 and LGPAC on 18th May 2022.

4th Quarter report dated 4th August 2022 was Submitted to Accounting Officer on 4th August and LGPAC on 14th August 2022.

However, LGPAC had not reviewed Internal Audit reports and followed them up.

]

LGPAC did not review all the 4 quarterly internal audit reports because it was not in place Its

term of office expired in March 2021 and a new one was inaugurated in July 2022 meaning that there was no LGPAC in FY 2021/2022

Local Revenues

18

0

LG has collected local revenues as per budget (collection ratio)

Maximum 2 points on this performance measure

a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.

Local revenue budget FY 2021/2022
UGX538,890,750 Page 1 of Approved budget FY 2021/2022

Local revenue collected FY 2021/2022 UGX
295,903986 page 11 of Draft Final Accounts FY 2021/2022

UGX 295,903986 x 100

UGX538,890,750

55% Local revenue Collected against budgeted resulting into a

deficit of _45%

The deficit was attributed to COVID 19 coupled with unrealistic budgeting for Local revenue on the part of LG The performance Team advised the LG staff to always budget for what could be collected rather than basing on fantasy

19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% - 10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>Local revenue Collections decreased from UGX 351791,688 FY 2020/2021 page 15 of audited Accounts to</p> <p>UGX 295,903,986 FY 2021/2022 page 11 of Draft Final Accounts 2021/2922</p> <p>351791,688 _ 295,903,986e</p> <p>UGX 55,887,700 was the decrease</p> <p>% decrease 55,887,700 x100</p> <p style="text-align: right;">3 51791,688</p> <p>There was a decrease of 16%</p>	0
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>Amount Subjected to Sharing</p> <p>UGX 95,514035 as per authenticated Schedule by CFO</p> <p>A total of UGX 62084123 was remitted to LLGS</p> <p>UGX 62084123/95,514035 x100</p> <p>65% was remitted to LLGS as required</p> <p>(not all local revenue collected is subjected to sharing. the type of revenue excluded include; bidding fees, property tax, among others)</p>	2

Transparency and Accountability

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>The Procurement Plan and awarded contracts and amounts for FY 2021/2022 were available, endorsed by CAO and Senior Procurement Officer and published on the procurement Notice Board. The sampled awarded contracts were:</p> <ul style="list-style-type: none"> • Construction of OPD at Aukot HCII was awarded to Arise blessed destiny at UGX 74,579,540 and displayed on 6th May 2022 • Completion of semi-detached staff house in Tiriri HCIV awarded to Sure Friends civil and Agro Inputs Ltd at UGX 18,100,000 was displayed on 7th February 2022 • Construction of Teacher's house in Kelim-Tubur P/S awarded to Frahah Amuria Enterprises Ltd at UGX 92,747,410 was displayed on 3rd November 2021 	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>When the Performance Team visited Soroti District Budget Website and public Notice Boards, it found out that the LG did not publish performance assessment results</p>	0

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was no documentary evidence that the LG conducted discussions such as Barazas radio t talk shows with the public to inform them about implementation of Government programs</p>	0
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>There was no documentary evidence that the LG had made publicly available information on tax rates collection procedures and appeal procedures The Performance Assessment Team confirmed the situation by visiting District website and public notice boards</p>	0
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>The Performance Assessment Team held interviews and discussions with the CAO, District planner and found out that the LG did not have any issue with IGG in FY 2021/2022</p>	1

**Educational
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>The LG PLE pass rate improved between the previous school year but one and the previous year by 1.5% as shown below;</p> <p>2019</p> <p>G1+G2+G3</p> <p>136+1937+1532=3605</p> <p>$3605/4604 * 100 = 78.3\%$</p> <p>2020</p> <p>G1+G2+G3</p> <p>125+1965+2030=4120</p> <p>$4120/5163 * 100 = 79.8\%$</p> <p>$79.8\% - 78.3\% = 1.5\%$ improvement.</p>	2

1

3

Learning Outcomes:
The LG has improved
PLE and USE pass
rates.

Maximum 7 points on
this performance
measure

b) The LG UCE pass
rate has improved
between the previous
school year but one
and the previous year

- If improvement by
more than 5% score 3
- Between 1 and 5%
score 2
- No improvement
score 0

The LG UCE pass rate improved
between the previous school year
but one and the previous year by
13.76% as shown below;

2019

G1+G2+G3

82+146+118=346

$346/555*100=62.34\%$

2020

G1+G2+G3

124+264+224=612

612

$/804*100=76.1\%$

$76.1\%-62.34\%=13.76\%$
improvement.

2

0

Service Delivery
Performance: Increase
in the average score in
the education LLG
performance
assessment.

Maximum 2 points

a) Average score in the
education LLG
performance has
improved between the
previous year but one
and the previous year

- If improvement by
more than 5% score 2
- Between 1 and 5%
score 1
- No improvement
score 0

LLGS were not assessed in the
previous FY but one. There was
no basis of Comparison of
Performance Assessment results.
Therefore, this indicator is not
applicable for the time being.

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

a) If the education
development grant has
been used on eligible
activities as defined in
the sector guidelines:
score 2; Else score 0

The Education department had a
total Education Development
Grant of shs. 290,923,000. as was
reported on the Approved Budget
Estimates for FY 2021/2022
generated on 5th July 2021 under
vote 553, pages 43- 44. This
development grant was used as
follows;

-classroom construction and
rehabilitation at Amoroto
90,000,000

Telamot PS, Katine PS, Cheele-
tubur PS, Olong PS at 72,000,000

-Teacher house construction and
rehabilitation at Kelim Tuhurps at
90,043,000

-Provision of furniture to primary
schools at 38,880,000

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

b) If the DEO,
Environment Officer
and CDO certified
works on Education
construction projects
implemented in the
previous FY before the
LG made payments to
the contractors score 2
or else score 0

The DLG had evidence that DEO,
CDO and Environment officer
certified works as indicated in the
following vouchers;

- Voucher N0 42117148 dated 7th
March, 2022 to Jojohe Agencies
Ltd for Construction of 2 classroom
block at Amoroto P/S amounting to
UGX 51,538,900; DEO, CDO and
Environment officer signed.

- Voucher N0 44585545 dated 29th
June 2022 to Godus Youth
Contractors Ltd for Construction of
5 stance drainable pit latrine at
Oculio P/S amounting to UGX
16,240,341 ; DEO, CDO and
Environment officer signed

- Voucher N0 445855466 dated
29th June 2022 to Godus Youth
Contractors Ltd for Construction of
Teachers' house at Kelin Tubur
P/S amounting to UGX 36,316,428
; DEO, CDO and Environment

<p>3</p> <p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0</p>	<p>2</p> <p>The variations in the contract price and Engineer's estimates of the sampled education sector projects were as follows:</p> <ul style="list-style-type: none"> • Construction of 2 classroom block at Amoroto P/S budgeted at UGX 90,000,000, actual was UGX 89,702,066 with a variation of UGX 297,934 represented by 0.3%. • Construction of 5 stance drainable pit latrine at Oculoi P/S budgeted at UGX 20,000,000, actual was UGX 19,716,620 with a variation of UGX 283,380 represented by – 1.4%. • Construction of a Teacher's house in Kelin-Tubur budgeted at UGX 90,000,000, actual was UGX 92,747,410 with a variation of 2,704,410 represented by 3%. <p>The variations were within the range of +/- 20% as per the requirement</p>
<p>3</p> <p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 	<p>2</p> <p>LG did not undertake Seed Secondary School projects in the previous FY.</p>

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 – 79% score: 1 • Below 70% score 0 	<p>There was evidence that the district had recruited primary school teachers as follows; The District had 69 primary schools as per the staffing norm of 7 teachers and the staff list had 746 teachers.</p> <p>$69 \times 7 = 483$</p> <p>$(483/746) \times 100 = 64.7\%$</p>	0
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>There was no evidence availed to the assessment team during the time of assessment</p>	0

Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>The LG accurately reported on teacher deployment as per the list dated 26th September 2022.</p> <p>From the sampled schools;</p> <p>Orimai PS in Asuret SC had 14 teachers on ground and this was the same number the assessor found at the DEO's office.</p> <p>Kamuda PS in Kamuda SC had 11 teachers on ground and this was the same number the assessor found at the DEO's office.</p> <p>Tubur PS in Tubur TC had 14 teachers on ground and this was the same number the assessor found at the DEO's office.</p>	2
5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was no evidence availed to the assessment team during the time of assessment</p>	0

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 – 99% score: 2
- Below 80% score 0

There was no evidence availed to the assessment team during the time of assessment.

School compliance and performance improvement:

Maximum 12 points on this performance measure

b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:

- If 50% score: 4
- Between 30– 49% score: 2
- Below 30% score 0

There was no evidence availed to the assessment team during the time of assessment from the DEO indicating that meetings or trainings were held to support to prepare and implement SIPs.

From sampled schools;

Orimai PS in Asuret SC and Kamuda PS in Kamuda SC had SIPs, while Tubur PS Tubur TC didnt have a SIP

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 – 99% score 2 • Below 90% score 0 	<p>There was no evidence availed to the assessment team during the time of assessment</p>	0
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Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>LG approved budget estimates for FY 2022/23 with a wage bill of UGX 5,956,902,000, vote: 930 Soroti District. The date and page numbers were not indicated because it was a system issue according to the planer. This was for 826 teachers on ground as per the staff list as at 26th September 2022, and This was for 69 UPE schools in the current financial year as per the staff list.</p> <p>826/69=11.97 teachers per school</p>	4
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7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>The LG deployed teachers as per sector guidelines in the current FY as per the list of staff obtained from the DEO'S Office. The assessor was able to access a staff list from the DEO dated 26th September 2022.</p> <p>From the sampled schools;</p> <p>Orimai PS in Asuret SC had 14 teachers on ground and this was the same number the assessor found at the DEO's office.</p> <p>Kamuda PS in Kamuda SC had 11 teachers on ground and this was the same number the assessor found at the DEO's office.</p> <p>Tubur PS in Tubur TC had 14 teachers on ground and this was the same number the assessor found at the DEO's office.</p>	3
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>Teacher deployment data was disseminated and publicized on the LG notice board dated 26th September 2022.</p> <p>From the sampled schools namely;</p> <p>Orimai PS in Asuret SC, Kamuda PS in Kamuda SC, and Tubur PS in Tubur TC, teacher deployment data had been displayed on the respective school notice boards though it was not dated.</p> <p>Orimai PS in Asuret SC had a display of 14 teachers, Kamuda PS in Kamuda SC had displayed 11 teachers while Tubur PS in Tubur TC displayed 14 teachers.</p>	1
8	<p>Performance management:</p>	<p>a) If all primary school head teachers have</p>	<p>According to the ten sampled files of head teachers there was</p>	2

Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

evidence that all the primary school head teachers had been appraised for example;

1. Ms. Enyegu Jesse head teacher Dokolo Gweri P/S was appraised by Agetu Samuel Alexander SAS Gweri Sub County 26th Feb 2022.

2. Mr. Opio Peter headteacher Achuna Primary school was appraised by Malinga Cornelius Osire SAS on 14th Feb 2022.

3. Ms. Amongin Deborah Norah head teacher Olwelai-kamuda Primary school was appraised by Olobo T M SAS on 16th Feb 2022.

4. Mr. Eriu Martin head teacher Aparisa Tubur Primary school was appraised by Malinga Cornelius Osire SAS on 15th Feb 2022.

5. Ms. Imede Esther Ruth head teacher Agirigiroi was appraised by Ikara Aloysius SAS on 15th Feb 2022.

6. Ms. Alum Sarah Beatrice head teacher Paleat Primary school was appraised by Malinga Osire Cornelius on 28th Dec 2021.

7. Ms. Lamunu Stella head teacher Oyomai primary school was appraised by Olobo Tom Vincent on 3rd Dec 2021.

8. Mr. Alomu William head teacher Olio-Kamuda primary school was appraised by Olobo Tom Vincent on 4/12/21

9. Ms. Enyegu Jesse Dokolo Gweri Primary school was appraised by Agetu Samuel on 28/12/2022.

10. Mr. Elianu John Michael head teacher Olegei primary school was appraised by Obore James on 20/11/21.

Performance management:
 Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM

Score: 2 or else, score: 0

No evidence was provided to the assessment team

Maximum 8 points on this performance measure

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

c) If all staff in the LG Education department have been appraised against their performance plans

score: 2. Else, score: 0

The LG education department had six staffs 2 inspectors of schools, Senior Education Officer, District Sports Officer, Education Officer special needs and an Office Attendant .The all staff in the Education department had been appraised against their performance plans in the previous FY 2021/2022 as follows,

i. Mr. Opio Nicholas District sports Officer was appraised by Mr. Odede James DEO on 17/07/2022.

ii. Ms. Ilenyot Jennifer SEO was appraised by Mr. Oede James on 20/06/2022.

iii. Mr. Egonyu paul Education Officer special needs was appraised by DEO Mr. Oede James on 15/09/22.

iv. Ms. Akwi Ketty office attendant was appraised by Mr. Egonyu Paul on 6/07/2022.

v. Mr. Emoru Anangon Simon Senior Inspector of Schools was appraised by DEO Mr. Oede James on 21/07/2022.

vi. Ms. Icimu Loyce Midred Senior Inspector of Schools was appraised on 21/07/2022 by Mr. Oede James the DEO.

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,

score: 2 Else, score: 0

Education and sports department Capacity building and Training plan for FY 2021/2022 dated 7th August 2021 prepared by the Ag DEO.

Training activities included among many others;

-induction of the newly recruited teachers by September 2021

-induction of the new SMC and PTA committees by August 2021

-training of head teachers on curriculum management by June 2022

-training of head teachers on financial management by June 2022

-training of school records teachers and librarians on record keeping by January 2021

Management, Monitoring and Supervision of Services.

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.

If 100% compliance, score:2 or else, score: 0

There was no evidence availed to the assessment team during the time of assessment

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines. If 100% compliance, score:2 else, score: 0</p>	<p>LG Approved budget estimates FY 2021/2022 VOTE: 553 Soroti DLG generated on 5th July 2021 at 06:19 page 48</p> <p>Monitoring and supervision of primary and secondary education was allocated 23,392,000.</p> <p>This was in line with sector guidelines (page 12 of the guidelines) which call for a minimum allocation of US\$ 4 million per LG, plus US\$ 336,000 (6 inspections at US\$ 56,000) per school for the 3 terms</p>	2
	<p>Maximum 8 points on this performance measure</p>			
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters If 100% compliance, score: 2 else score: 0</p>	<p>There was no evidence that the LG submitted warrants for Schools capitation within 5 days from the date of cash limits as indicated below</p> <p>1st Quarter Date of cash limit 13th July 2021 Amount UGX 392,760,667 Warrant Date 27th July 2021 Amount UGX 392,760,667 Delay of 14 days</p> <p>3rd Quarter Date of cash limit 7th January 2022 Amount UGX 392,760,667 Warrant Date 21st January 2022 Amount UGX 392,760,667 Delay of 14 days</p> <p>4th Quarter Date of cash limit 14th April 2022 Amount UGX 392,760,667 Warrant Date 22nd April 2022; Amount UGX 392,760,66 Delay of 8 days</p>	0
	<p>Maximum 8 points on this performance measure</p>			
9	<p>Planning, Budgeting, and Transfer of Funds</p>	<p>d) Evidence that the LG has invoiced and</p>	<p>The LG invoiced and the DEO communicated/publicised</p>	2

for Service Delivery:
The Local Government
has allocated and
spent funds for service
delivery as prescribed
in the sector
guidelines.

Maximum 8 points on
this performance
measure

the DEO/ MEO has
communicated/
publicized capitation
releases to schools
within three working
days of release from
MoFPED.

If 100% compliance,
score: 2 else, score: 0

capitation releases to schools
within three working days of
release from MoFPED.

From the DEO's office

Quarter 1&2- 392,760,667
released on 27th July 2021 and
communicated on 29th July 2021

Quarter 3- 392,760,667 released
on 21st January 2022 and
communicated on 23rd January
2022

Quarter 4- 392,760,667 released
on 22nd April 2022 and
communicated on 24th April 2022

From sampled schools:

Orimai PS in Asuret SC;

Term 3- 6,065,667

Term 1- 4,086,000

Term 2- 4,477,800

Kamuda PS in Kamuda SC;

Term 3-2,000,000

Term 1- 6,400,000

Term 2- 3,800,000

Tubur PS in Tubur TC;

Term 3- 7,462,000

Term 1- 4,720,263

Term 2- 4,706,000

Routine oversight and monitoring

Maximum 10 points on this performance measure

a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.

• If 100% compliance, score: 2, else score: 0

Inspection work plan for FY 2021/2022 prepared by the DIS dated 18th July, 2021

Activities included among many others;

-school inspection and supervision. Monitoring and inspection activities in Quarter 1 2021/2022 (July-September) 2021. Term 111

-school inspection and supervision. Monitoring inspection activities in Quarter 111 2021/2022(January-March) 2022. Term 1,2022

-School inspection and supervision. Monitoring inspection activities in Quarter iv 2021/2022(April-June) 2022 Term 11,2022

23/02/2022 inception meeting for school inspection Term one 2022. Min 4/22 where the inspection tool was discussed and adopted. Min 6/22 schools were distributed equally among 5 members who were to do the inspection. The exercise was to take 15 days and was to start on 7th March 2022. Fuel coupons were to be provided

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 – 99% score 1 • Below 80%: score 0 	<p>Term 3 ; 2021 school inspection report dated 24th March 2021 where all the 69 schools were inspected</p> <p>$69/69*100=100\%$</p> <p>- Term 1; 2022 inspection report done in February where 66 schools were inspected.</p> <p>$66/69*100=95.65\%$</p> <p>- Term 2; 2022 inspection report that took place in July 2022 where 69 schools were inspected.</p> <p>$69/69*100=100\%$</p> <p>$100\%+ 95.65\%+100\%=295.65/3=98.55\%$</p> <p>From the sampled schools;</p> <p>Orimai PS in Asuret SC was inspected on 22nd/7/2021</p> <p>Kamuda PS in Kamuda SC was inspected on 7th/10/2021 by Emorut Simon; on 23rd/2/2022 by Wabwire Charles</p> <p>Tubur PS in Tubur TC was inspected on 4th/10/2021 and 1st/9/2021 by Icumu Loyce</p>	1
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10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was no evidence availed to the assessment team during the time of assessment</p>	0
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10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>There was no evidence presented to the assessment team that the DIS and DEO had presented findings from inspection and monitoring results to respective schools as shown in indicator 10 c above. However the inspection reports were submitted to DES as evidenced below;</p> <p>Term 3; 2021 inspection report submitted on 26th March 2021 to the DES Mbale Office</p> <p>Term 1 and Term 2 ;2022 inspection reports handed to DES Mbale office on 17th November 2022</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The committee responsible for Education held a meeting on 19th - 20th August 2021 at the District Council hall under minute 006/HESC/08/2021 and discussed issues of service delivery in the Education sector as follows</p> <p>Issues of recruitment of 60 new teachers as per IPFs for the previous FY</p> <p>Whether Secondary Schools were to be constructed in Sub Counties that didn't have</p> <p>Issues for teacher's houses</p>	2

11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>31st/08/2021 letter from CAO to Head of institutions in Primary, Secondary and tertiary institutions, titled; mobilize, attract and retain learners at schools in Soroti district. He stated that some learners drop out of school due to various reasons and so schools should invite inspirational speakers like priests and church to have positive talks with learners; school feeding programmes should be encouraged; guidance and counselling of learners by senior women and men teachers plus CDOs should be encouraged; and the learning environment should be improved. However there was no evidence of any event or meeting on the said activity</p>	0
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Investment Management

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>There was no evidence availed to the assessment team during the time of assessment</p>	0
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12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>LG did not provide documentary evidence to the assessment team to show that desk appraisals were conducted</p>	0
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p>	<p>LG did not provide documentary evidence to the assessment team to show that field appraisals were conducted</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>From a copy of the Education sector plan approved by CAO on 9th September 2022 availed to the assessment team, Construction of Kamula seed secondary school on page 4 was incorporated</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There was evidence that the education infrastructure projects for the previous FY were approved by the Contracts Committee as per the sampled projects below;</p> <ol style="list-style-type: none"> 1. Construction of 2 classroom block at Amoroto P/s was approved on 2nd August 2021 under meeting minute N0 771/CC/08/2021-2022 2. Construction of 5 stance drainable pit latrine at Oculio P/S was approved on 10th March 2022 under meeting minute N0 819/CC/03/2021-2022 3. Construction of the teacher's house at kelin-Tubur P/s was approved on 2nd August 2021 under meeting minute 771/CC/08/2021-2022. 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>According to the letter of appointment by CAO dated 14th April 2022 reviewed by the assessor, the Project Implementation team was not fully established as per the guidelines. The list of members appointed included;</p> <p>Acaya Margret - CDO</p> <p>Okello Simon Ekolu-Ag DE</p> <p>Adutu George- senior Environment officer</p> <p>Oode James-DEO</p> <p>The team did not have a Clerk of works</p>	0

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>LG did not have Seed Secondary School projects in the previous FY</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>LG did not have Seed Secondary School projects in the previous FY</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p>	<p>LG provided evidence of joint technical supervision of Education sector projects contained in the reviewed inspection reports indicated below;</p> <p>Inspection report for construction of a 2 classroom block at Amoroto P/S dated 17th February 2022, a team consisting of DE,DEO,CDO and Environment Officer jointly visited site</p> <p>Inspection report for construction of a 5 stance drainable pit latrine at Oculoi P/S dated 16th June 2022, a team consisting of DE,DEO,CDO and Environment Officer jointly visited site</p> <p>Inspection report for construction of a semidetached Teacher's house at Kelin Tubur P/S dated 16th June 2022, a team consisting of DE,DEO,CDO and Environment Officer jointly visited site</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The DLG had evidence of Certified works and payments initiated within timeframes as follows:</p> <ul style="list-style-type: none"> • Construction of 2 classroom block at Amoroto P/S by Jojoh Agencies Ltd was certified by District Engineer for 1st payment UGX 51,538,900 issued on 31st January 2022 recommended by DEO and Subsequent payment to the contractor was initiated and timely paid on 7th March 2022 under voucher N0 42117148 • Construction of 5 stance drainable pit latrine at Oculio P/S by Godus Youth Contractors was certified by District Engineer for Final payment UGX 16,240,341 issued on 16th June 2022 recommended by DEO and Subsequent payment to the contractor was initiated and timely paid on 29th June, 2022 under voucher N0 44585545 <p>Construction of Teachers' house at Kelin Tubur P/S was certified by District Engineer for 2nd payment UGX 36,316,428 issued on 17th June ,2022 recommended by DEO and Subsequent payment to the contractor was initiated and timely paid on 29rd June 2022 under voucher N0 44585466</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The DEO submitted sector Procurement Plan on 16th June 2022 which was past the 30th April deadline</p>	0

Procurement, contract management/execution

Maximum 9 points on this performance measure

i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

From a sample of 3 files, there was evidence to show that the LG had a complete procurement file with all records as per PPDA. Examples of project files reviewed;

- Construction of a 2 classroom block in Amoroto P/S ;minutes of meeting for contracts committee decision dated 1st February 2022, minute 810/CC/02/2021-2022, contract agreement signed 15th February 2022 and evaluation report dated 25th January 2022

- Construction of 5 stance drainable pit latrine at Oculio P/S; minutes of meeting for contracts committee decision dated 6th May 2022, minute 832/CC/05/2021-2022, contract agreement signed 20th May 2022 and evaluation report dated 24th September 2021

- Construction of a Teacher's house in Kelin-Tubur P/S ,minutes of meeting for contracts committee decision dated 2nd November 2021, minute 777/CC/11/2021-2022, contract agreement signed 22nd November 2021 and evaluation report dated 20th September, 2021

Environment and Social Safeguards

Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.

Maximum 3 points on this performance measure

Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0

There was no project related complaint from Education implemented projects

15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was no evidence availed to the assessment team during the time of assessment</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>Not all costed ESMPs were incorporated in the BOQs. For example the ESMP for the construction of 2 in 1 Teachers house in Kelim-Tubur Primary School was not incorporated in the respective BOQ</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>There was no evidence of any land ownership document availed by during assessment time.</p> <p>The documents that were sent by DEO after the assessment team had left Soroti were not authentic with altered dates on the stamps and some parts of the letters cutoff. The original dates on the documents were for this FY 2022/2023 which were altered to reflect previous FY 2021/2022 dates.</p>	0

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports,</p> <p><i>score: 2, else score:0</i></p>	<p>There were no monthly monitoring and Supervision reports provided by assessment time. Monitoring and supervision reports were done on quarterly basis.</p> <p>Construction of a 2 in 1 teachers house in Kelim-Tubur Primary school in Palaet B. Village, Palaet Parish in Tubur Sub-county</p> <ul style="list-style-type: none"> - Date of first Monitoring report - 15/03/2022 - Date of the last monitoring report- 17/06/2022 <p>Construction of a2 classroom block in Amoroto Primary School in Amoroto village, Adamasiko Parish in Awaliwali Sub-county</p> <ul style="list-style-type: none"> - Date of first Monitoring report - 1/02/2022 - Date of the last monitoring report- 17/06/2022 <p>Construction of a 5 stance Pit latrine in Oculoi Primary School in Onongo Village, Ojom Parish, Oculoi Sub-county</p> <ul style="list-style-type: none"> - One monitoring and supervision report dated 27/06/2022 was provided during assessment time 	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments</p> <p><i>Score: 1, else score:0</i></p>	<p>There was evidence of E&S compliance certificates for all Education projects signed by both the DCDO and SEO prior to contractor payments as required.</p> <p>Construction of a 2 in 1 teachers house in Kelim-Tubur Primary school in Palaet B. Village, Palaet Parish in Tubur Sub-county</p> <p>DCDO and SEO signed the Environmental and Social compliance certificate on 16/06/2022</p>	1

Contractor: M/s FRAHAH Amuria
Enterprises Ltd

Payment date: 30/06/2022

Construction of classroom block in
Amoroto Primary School in
Amoroto village, Adamasiko
Parish, in awaliwali Sub-County.

DCDO and SEO signed the
Environmental and Social
compliance certificate on
17/06/2022

Contractor: M/s JOJOHE Agencies
Ltd

Payment date: 30/06/2022

Construction of a 5 stance pit
latrine in Oculoi Primary School in
Onongo Village, Ojom Parish in
Oculoi Sub-County

DCDO and SEO signed the
Environmental and Social
compliance certificate on
17/06/2022

Contractor: M/s Godus Youth
contractors company Ltd

Payment date: 30/06/2022

Health Performance
Measures

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>Upon calculating the annual OPD attendance and deliveries for health facilities using the monthly reports (HMIS107). The summaries for the 3 sampled health facilities were as follows:</p> <p>(Percentage utilization = Registered attendance for previous FY minus registered attendance for current FY, divided by registered attendance for previous FY and multiply by 100)</p> <p>1). Ochokichan HCIII:</p> <p>FY 2020/2021 deliveries = 41cases,</p> <p>FY 2021/2022 deliveries: 117 cases</p> <p>increase in utilization = 76</p> <p>% Increase $76/417 \times 100 = 185\%$</p> <p>2). Lale HCIII</p> <p>FY 2020/2021 deliveries = 58cases,</p> <p>FY 2021/2022 : 81cases</p> <p>increase in utilization = 23</p> <p>% increase $23/58 \times 100 = 39\%$</p> <p>3).Aukot HC III</p> <p>FY 2020/2021 deliveries = 196cases,</p> <p>FY 2021/2022 deliveries=245cases</p> <p>increase in utilization = 49</p>	2

$\% \text{ increase } 49/196 \times 100 = 25\%$

average increment = 185
 $+39 + 25/3$

gives 81% which was above the threshold

3

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.

2

There was evidence that the LG budgeted for UGX 574,326,204 and spent UGX 611,177,520; breakdown was as follows;

Completion of construction of semidetached staff house in Tiriri HCIV budgeted at UGX 22,236,204 and spent UGX 18,100,000

Construction of OPD in Aukot HCII budgeted at UGX 65,000,000 and spent UGX 74,579,540

Construction of OPD in Ocokican HCII budgeted at UGX 465,000,000 and spent UGX 496,497,980

Completion of Electricity connection to 4 staff houses in Tiriri HCIV budgeted at UGX 22,000,000 and spent UGX 20,000,000

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

According to the sampled payment vouchers below, Environment officer was not signing on some of the vouchers;

Voucher N0 44585562 dated 29th June 2022 paid to Arise blessed destiny Enterprises for construction of OPD in Aukot Health Center II amounting to UGX 35,836,641, Environment offer did not sign , however the DE and CDO signed

Voucher N0 44585538 dated 29th June 2022 paid to Opedi Construction for power connection to staff house at Tiriri HCIV amounting to UGX 20,680,000, Environment offer did not sign , however the DE and CDO signed.

- Voucher N0 44585584 dated 29th June 2022 paid to Sure friends Civil and Agro Input for construction of staff house at Tiriri HCIV amounting to UGX 17,014,000 , Environment officer did not sign however the DE and CDO signed.

3

2

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

c. If the variations in the contract price of sampled health infrastructure investments are within +/- 20% of the MoWT Engineers estimates, score 2 or else score 0

The variations in the contract price and Engineer's estimates of the sampled Health sector projects were as follows:

- Completion of semi-detached staff house at Tiriri HCIV budgeted at UGX 22,326,204, actual was UGX 18,100,000 with a variation of UGX 4,226,204 represented by 18.9%.
- Construction of OPD block at Aukot HCII budgeted at UGX 65,000,000, actual was UGX 74,579,540 with a variation of UGX 9,579,540 represented by – 14.7%.
- Completion of power connection in Tiriri HCIV budgeted at UGX 26,000,000, actual was UGX 26,697,000 with a variation of 697,500 represented by 2.7%.

The variations were within the range of +/- 20% as per the requirement

3

2

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY

LG did not have projects for Upgrade of HCII-HCIII during previous FY

- If 100 % Score 2
- Between 80 and 99% score 1
- less than 80 %: Score 0

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>2</p> <p>According to the staff structure of health center each HCIII has to be with 19 staff and HCIV 49 staff, Tiriri HCIV had 41 out of 49, Dakabela HCIII 17 out of 19, Asuret HCIII had 20 out of 20, Tubur HCIII has 20 staff, Kamuda HCIII had 13 staff, Gweri HCIII had 15.</p> <p>Total required 136</p> <p>Total recruited 126</p> <p>percentage recruitment</p> <p>$126/136 \times 100 = 93\%$.</p>
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4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>2</p> <p>LG did not have projects for Upgrade of HCII-HCIII during previous FY</p>
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The information on filled health workers' positions at the district was found to be accurate and consistent with the staff found at the sampled health centers which included;</p> <p>Tiriri HC IV</p> <p>DHO 's list had 41 and HC list had 41,</p> <p>Gweri HC IV</p> <p>DHO's list had 13 and HC list had 13</p> <p>Kamuda HC III</p> <p>DHO's list had 15 and HC had 15</p>	2
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>LG did not have any health facility upgraded in the previous FY</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was evidence that the budgets were prepared by 31st March.</p> <p>For example;</p> <p>1) Kamuda HCIII, prepared annual work plan and budget FY 2021/2022 by the Facility In charge on 22nd September 2021.</p> <p>,</p> <p>2). Tiriri HCIV ,prepared annual work plan and budget by facility in-charge on 27th September 2021.</p> <p>3). Asuret HC III prepared Annual work plan and budget by facility in-charge on 30th September 2021.</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> • Score 2 or else 0 	<p>There was evidence from the DHO that the health facilities prepared and submitted Annual Budget Performance reports for FY 2021/2022. For example for;</p> <p>1). Tiriri HCIV, was prepared and submitted on 30th June 2022.</p> <p>2). Dakabella HC III was prepared and submitted on 29th May 2022.</p> <p>3) Gweri HC III was prepared and submitted on 22nd June 2022.</p>	2
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Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports

- Score 2 or else 0

According to the Performance Improvement Plan dated 28th June 2021 for the health department, Dakabela HCIII, had an expired term of office for Health Unit Management Committee

Tiriri HCIV, lacked PHC guidelines, absence of monthly staff meetings, absence of joint planning meetings, staff appraisals were not conducted

Kamuda HCIII forwarded information on 12th October 2021.

Dakabela forwarded information on 29th September 2021.

Gweri HCIII forwarded information on 25th September 2021

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,

- score 2 or else score 0

There was evidence to show that health facilities submitted up to date monthly reports as illustrated below;

Ochokochan HCIII

Q1 submitted on 7th October 2021

Q2 submitted on 6th January 2022

Q3 submitted on 7th April 2022

Q4 submitted on 5th July 2022

Arabaka HCIII

Q1 submitted on 5th October 2021

Q2 submitted on 7th January 2022

Q3 submitted on 5th April 2022

Q4 submitted on 6th July 2022

Agirigiroyi HCIII

Q1 submitted on 4th October 2021

Q2 submitted on 6th January 2022

Q3 submitted on 4th April 2022

Q4 submitted on 5th July 2022

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

From sampled facilities;

Tiriri HC IV

Q1 submitted on 1st November 2021

Q2 submitted on 18th January 2022

Q3 submitted on 23rd July 2022

Q4 submitted on 12th August 2022

Tubur HCIII

.Q1 submitted on 25th October 2021

Q2 submitted on 25th January 2022

Q3 submitted on 21st July 2022

Q4 submitted on 5th August 2022

Gweri HCIII

Q1 submitted on 27th January 2022

Q2 submitted on 27th January 2022

Q3 submitted on 28th August 2022

Q4 submitted on 28th August 2022

Therefore, there were delayed submission of RBF invoices by Health Facilities to DHO's office.

6

0

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0

The four quarterly RBF invoices were submitted to MOH as follows;

Q1 was submitted on 1st February 2022

Q2 was submitted on 1st February 2022

Q3 was submitted on 22nd September 2022

Q4 was submitted on 22nd September 2022

Therefore LG submitted to MOH outside the required time line.

Maximum 14 points on this performance measure

6

0

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0

LG did not provide documentary evidence at the time of assessment.

Maximum 14 points on this performance measure

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>PIP was developed for Dakabera HC III, Tiriri HCIV, Gweri HCIII which were the weakest performing center and was approved by CAO on 31st July 2022.</p>	1
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There was evidence that LG implemented PIP for the weakest performing facility as evidenced by</p> <p>Appointment of a new HUMC members for Dakabera HCIII</p> <p>Excess medical supplies in Gweri HCIII were redistributed</p> <p>Health staff of Tiriri HCIV were appraised</p> <p>Monthly Staff meetings for Tiriri HCIV were instituted among others</p>	1

Human Resource Management and Development

7

2

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

- a) Evidence that the LG has:
- i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0

There was proof of the approved budget for the Health workers and, work plan for the Financial Year 2022-2023 Soroti Local Government prepared by DHO 6th May 2021 and approved by the by CAO on 6th May 2021, page 114 of the approved budget

Maximum 9 points on this performance measure

7

2

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

- a) Evidence that the LG has:
- ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0

From Soroti DLG staff audit, for health department, the approved structure was 210 staff, the filled positions were 175, therefore the percentage deployment; $175/210 \times 100$ representing 83% which was slightly above the minimum requirement.

Maximum 9 points on this performance measure

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p style="text-align: right;">3</p> <p>There was evidence that the health workers were deployed in their respective HCs visited included;;</p> <ul style="list-style-type: none"> · Kamuda HC1II, duty roaster dated 1st July 2022, 16 staff were deployed. · Tiriri HCIV, duty roaster dated 1st July 2022, 48 staff were deployed. · Gweri HCIII, duty roaster dated 1st July 2022, 15 staff were deployed. <p>As per the duty roasters there was evidence that staff were working at their respective places of deployment.</p>
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p style="text-align: right;">2</p> <p>There was evidence that the LG had publicized health worker's deployment and dissemination as evidenced by the display of the list of deployed health workers on health facilities' notice boards.</p> <p>The displayed lists indicated the name of the facility, name of the staff, designation, and gender among others.</p> <p>The list that was displayed at each of the visited health facilities Kamuda HC III, Gweri HC III and Tiriri HCIV) was in tandem with the deployment list from the DHO's office, dated 1st July 2022.</p>

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

- i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

According to the 10 sampled files, it was evidenced that the District Health Officer had conducted performance appraisal on some of the health facilities in charge during FY 2021/2022 as follows.

i. Ms. Ijongat Harriet ANO was appraised by Dr Oliot Gerald on 29th July 2022.

ii. Ms. Angeso Sarah enrolled nurse was appraised by Ms Alabu Scovia Florence on 28/02/2022.

iii. Mrs Awal Janet enrolled nurse was appraised by Elago Dennis on 20/July/2022.

iv. Ms. Awai Janet enrolled nurse was appraised by Elago Dennis MCO on 20/July/2022.

v. Ms. Amuron Salume enrolled nurse was appraised by Mr. Opolt Daniel on 29/04/2022.

Those who were not appraised are as follows

Aceng Christine enrolled nurse was not appraised.

Okello Samuel clinical officer was not appraised

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>No evidence that the Health Facility in charge conducted performance appraisal of all health facility workers</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>A number of reports were made and reviewed timely during the year.</p> <p>i. They conducted health Education session</p> <p>ii. Office premises were cleaned and secured.</p> <p>iii. Monthly staff meetings were held.</p> <p>iv. ARV/TB orders were submitted</p>	2
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>LG provided evidence of training of Health works, a report on training of Health workers on polio campaign dated 2nd November 2021.</p>	1

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>LG provided evidence of documentation of training activities, as indicated in the training logbook which was opened on 15th November 2020, the training activity document was training of health workers on end polio campaign</p>	1
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Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>The CAO of Soroti forwarded a list of HC which benefit from PHC grants to the MOH on 30th June 2022</p>	2
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9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>LG provided evidence that the allocations towards monitoring service delivery and management of District health services were in line with the health sector grant guidelines as follows;</p> <p>Total budgeted for PHC was UGX 82,270,614</p> <p>Monitoring allocation UGX 12,340,592</p> <p>%allocation was 12,270,592/82,270,614</p> <p>which was 14.9% as per the minimum requirement</p>	2
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Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

LG provided evidence of timely warranting as per the schedule prepared by the district accountant

Q1 date of release was 3rd October 2021 and date of warranting was 3rd October 2021.

Q2 date of release was 4th January 2022 and date of warranting was 4th January 2022.

Q3 date of release was 5th April 2022 and date of warranting was 5th April 2022.

Q4 date of release was 30th June 2022 and date of warranting 30th June 2022

Total amount warranted was UGX 166,195,395.

All the 4 quarter releases were warranted within the confines of 5 days.

Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

LG did not communicate within 5 working days as indicated below;

Q1 date of release was 27th July 2021 and date of communication was 18th August 2021 and amount released UGX 61,725,600.

Q2 date of release was 20th October 2021 and date of communication was 21st October 2021 .and amount released UGX 61,374,424

Q3 date of release was 21st January 2022 and date of communication was 1st February 2022.and amount released UGX 61,901,190

Q4 date of release was 24th April 2022 and date of communication 28th April 2022 and amount released UGX 61,725,600

Total amount invoiced was UGX 380,124,856

Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

There was evidence LG publicised on all visited Health facilities notice boards within 5 days from date of receipt of expenditure limits as indicated below

Q1 date of receipt of expenditure limit was 3rd October 2021 and was displayed on 7th October 2021

Q2 date of receipt of expenditure limit was 4th January 2022 and was displayed on 6th January 2022

Q3 date of receipt of expenditure limit was 4th April 2022 was displayed on 7th April 2022

Q4 date of receipt of expenditure limit was 30th June 2022 and was displayed on 2nd July 2022

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0

LG provided evidence that recommendations of DHMT were implemented

At Gweri HCIII some of the issues that were highlighted included nurses not participating in outreach programmes, and this was addressed.

Adaption of family planning techniques, therefore involvement of family planning Technical working groups to improve on post-partum family planning.

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0

There was evidence to show that LG quarterly performance review involved all in charges and implementing partner as indicated below

Q1 minutes dated 10th October 2021 at DHO board room, to do with integrated AIDS and TB management all health facility in charges attended, including the DCDO, DEO, Emorimori foundation, TASO were in attendance.

Q2minutes dated 20th January 2022 held in the DHO boardroom, about Polio campaigns. All in charges attended including WHO consultants, the representative of TASO, RHITES-E, DCDO and members of the Political wing

Q3 minutes dated 10th April 2022 at the DHO boardroom, about Covid-19 surveillance regarding vaccine 2. All in charges attended, RDC, CDO, RHITES, TASO and the LC V chairperson.

Q4 minutes reported on dated 15th July 2022, on safe motherhood management. All in charges attended, Secretary for Health,LC V chairperson,, CDO, DEO attended

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>The LG supervised all HCIVs as per the supervision reports indicated below;</p> <p>Q1 support supervision report on quality improvement facilities in health facilities compiled by DHO on 7th September 2021</p> <p>Q2, report support supervision dated 23rd December 2021 compiled by DHO</p> <p>Q3 Report on Technical support supervision visits to Health units dated 21st March 2022 compiled by DHO</p> <p>Q4 Integrated support supervision of Health facilities dated 29th April 2022 compiled by DHO</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> • If not applicable, provide the score 	<p>There were reports on the joint supervision visits conducted in the lower Health facilities examples included;</p> <p>Quarterly support supervision reports compiled by DHO on 17th January 2022 and HCIIIs supervised included Tubur, Lakabera, Gweli, Asuret</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The LG provided proof of use of results from recommendations as illustrated, at Gweli HCIII redistribution of excess medical supplies, at Dakabera appointment of new HUMC members</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>There was a report on management of medicines by the Medicine management supervisor dated 5th March 2022</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>From the budget release for health department of 2021/2022, page 75-83 , non-wage was 401,739,000</p> <p>a) allocations to health promotion was 342,372,000</p> <p>percentage allocation 401,739,000/342,372,000 which 85%</p>	2

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>There was evidence presented regarding health promotion and prevention ,</p> <p>A report on support supervision on health promotion, education, disease prevention dated 24th August 2021</p>	1
	<p>Maximum 4 points on this performance measure</p>		<p>A report on follow up in HIV AIDS surveillance dated 4th October 2021</p>	
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>There was a report on Integrated community case management supervision of lower level HCs dated 7th February 2022.</p>	1
	<p>Maximum 4 points on this performance measure</p>			

Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>There was evidence of availability of asset register which includes land, equipment and machinery updated 4th July 2022</p>	1
	<p>Maximum 4 points on this performance measure</p>			

12	0	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDP III);</p>	<p>LG did not provide information to the assessment team to confirm that the prioritized investments were linked to the Development plan and desk appraisal were conducted</p>
<p>Maximum 4 points on this performance measure</p>	<p>(ii) desk appraisal by the LG; and</p>	<p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)):</p>		
	<p>score 1 or else score 0</p>			
12	0	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>LG did not provide documentary evidence to the assessment team to show that field appraisals were conducted</p>
<p>Maximum 4 points on this performance measure</p>				

12	1	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There was evidence of screening reports for the health projects implemented in the previous financial year and their respective ESMPs were prepared</p> <p>Screening report and ESMP for the construction of an OPD block at Aukot HCII signed and stamped by SEO and DCDO on 12/07/2021 and costed ESMP at UGX. 2,600,000/- was prepared.</p> <p>Screening report and ESMP for the completion of the semi-detached house at Tiriri HCIV signed and stamped by the SEO and DCDO on 08/07/2021 and costed ESMP at UGX. 800,000/- was prepared.</p>
13	0	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>DHO submitted Health Sector Procurement Plan on 6th June 2022 which was past the 30th April deadline</p>

13	1	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There was evidence to show that the LG Health department submitted procurement request form (PP1) to PDU by 1st quarter of the current FY; as per sampled projects below;</p> <p>–Completion of construction of OPD block for Aukot HCIII, PP1 was submitted on 24th June 2022 which was within the required timeframe.</p> <p>Construction of 4-stance drainable pit latrine in Awaliwal HCII, PP1 was submitted on 25th June 2022</p> <p>Completion of construction of OPD block at Ocokican HCII, PP1 was submitted on 14th June 2022</p>
13	1	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>There was evidence that the Health infrastructure projects for the previous FY were approved by the Contracts Committee as per the sampled projects below;</p> <ol style="list-style-type: none"> 1. Construction of OPD at Aukot HCII was approved on 2nd August 2021 under meeting minute N0 771/CC/08/2021-2022 2. Completion of power connection in Tiriri HCIV was approved on 2nd November 2021 under meeting minute N0 778/CC/11/2021-2022 3. Completion of Semidetached staff house in Tiriri HCIV was approved on 2nd November 2021 under meeting minute 778/CC/11/2021-2022.

13	1	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p>	<p>LG did not have projects for Upgrade of HCII-HCIII in the previous FY</p>
		<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>	
13	1	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p>	<p>LG did not have projects for Upgrade of HCII-HCIII in the previous FY</p>
		<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>	
13	1	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p>	<p>LG did not have projects for Upgrade of HCII-HCIII in the previous FY</p>
		<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>	

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>LG did not have projects for Upgrade of HCII-HCIII in the previous FY</p>	1
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13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>LG did not have projects for Upgrade of HCII-HCIII in the previous FY</p>	1
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Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

The LG had evidence that DHO verified works and payments initiated within timeframes as per the sampled projects below:

1. Completion of semidetached staff house at Tiriri HCIV by Sure Friends civil and Agro Input was certified by District Engineer for 2nd payment UGX 17,014,000 issued on 20th June 2022 with recommendation from the DHO and Subsequent payment to the contractor was initiated and timely paid on 29th June 2022 under voucher NO 44585584

2. Completion of power connection in Tiriri HCIV by Opedi Contractors and Supplies was certified by District Engineer Final payment UGX 20,680,000 issued on 26th June 2022 with recommendation from the DHO and Subsequent payment to the contractor was initiated and timely paid on 29th June 2022 under voucher NO 44585538

3. Construction of OPD in Aukot HCIV by Arise blessed Destiny Enterprise was certified by District Engineer 2nd payment UGX 35,002,450 issued on 16th June 2022 with recommendation from the DHO and Subsequent payment to the contractor was initiated and timely paid on 29th June 2022 under voucher NO 44585562

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

From a sample of 3 files, there was evidence to show that the LG had a complete procurement file with all records as per PPDA. Examples of project files reviewed;

- Completion of the construction of a semidetached staff house in Tiriri HCIV ;minutes of meeting for contracts committee decision dated 1st February 2022, minute 810/CC/02/2021-2022, contract agreement signed 15th February 2022 and evaluation report dated 25th January 2022
- Construction of OPD block in Aukot HCII; minutes of meeting for contracts committee decision dated 6th May 2022, minute 832/CC/05/2021-2022, contract agreement signed 20th May 2022 and evaluation report dated 24th September 2021
- Completion of power connection in Tiriri HCIV ,minutes of meeting for contracts committee decision dated 1st February 2022, minute 810/CC/02/2021-2022, contract agreement signed 13th April 2022 and evaluation report dated 25th January 2022

Environment and Social Safeguards

14	2	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>There was no any project complaint/ grievance from implementing previous FY Health projects. Therefore, none was reported and recorded.</p>
		<p>Maximum 2 points on this performance measure</p>		
15	0	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was no evidence of guidelines and; their dissemination and follow-up upon their implementation was not provided by the DHO during the assessment time</p>
		<p>Maximum 5 points on this performance measure</p>		
15	0	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>The DHO informed the Assessment team that there was no dedicated budget for health care waste managed as also observed in the LG annual budget for the current year.</p>
		<p>Maximum 5 points on this performance measure</p>		<p>Not all health facilities had a complete functional medical waste manage system in place. For example Kamuda HCIII and Gweri HCIII had no incinerator and medical waste is burnt in an open pit and there is no waste handler contracted to pick waste from those facilities.</p>
				<p>At Tiriri HCIV, there was a waste management system in place however it was being abused. There was burning of health care waste in a pit</p>

which according to DHO was meant for storage of waste.

Green Label Services Ltd is hired to manage waste at Tiriri HCIV however they were not effectively managing the waste according to the waste transfer forms found at the facility (Last transfer form dated 22/08/ 2022 and the latest dated 18/11/2022 on the day of assessment).

There was no specific person designated for medical waste management justified by the waste transfer form (date 18/11/2022) that was found dumped in the waste collection bin by the porter who attended to Green Label Services Ltd

The waste was still full at the pit during the health facility visit.

15

Safeguards for service delivery: LG Health Department ensures safeguards for service delivery

Maximum 5 points on this performance measure

c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0

There was no evidence in form of training reports on medical/healthcare waste management in the previous FY 2021/2022.

The last training was carried out on 10-14/12/2019 and report stamped on by the Ass. DHO on 16/12/2019.

There was (is) need of refresher trainings and training new staff on medical/healthcare waste management.

0

16	0	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>Not all costed ESMPs were incorporated in their respective BoQs, ESMP for the construction of an OPD block at Aukot HCII signed and stamped by SEO and DCDO on 12/07/2021 was incorporated in the respective BOQ.</p>
<p>Maximum 8 points on this performance measure</p>	<p>ESMP for the completion of the semi-detached house at Tiriri HCIV signed and stamped by the SEO and DCDO on 08/07/2021 was not incorporated in the BOQ</p>			
16	0	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>There was no documentary evidence provided by assessment time</p>
<p>Maximum 8 points on this performance measure</p>				

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.

The monitoring and supervision reports that were provided by the SEO were not done on monthly basis.

Construction of an OPD Block in Aukot HCII in Osuguro village, Awoja Parish in Aukot Sub-County

- Date of first Monitoring report -20/4/2022

- Date of the last monitoring report- 27/6/2022

Construction of a semi-detached staff house at Tiriri HCIV

- Date of first Monitoring report -20/04/2022

- Date of the last monitoring report- 16/06/2022

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

There was evidence of E&S compliance certificates for all health projects signed by both the DCDO and SEO.

Construction of an OPD Block in Aukot HCII in Osuguro village, Awoja Parish in Aukot Sub-County.

Contractor: M/s Arise Blessed Destiny Enterprises Ltd

DCDO and SEO signed the Environmental and Social compliance certificate on 16/06/2022

Payment date: 29/06/2022

SEO signed on the Payment certificate on 16/6/2022

DCDO signed on the Payment Certificate on 16/6/2022

Construction of a semi-detached staff house at Tiriri HCIV

Contractor: M/s Sure Friends Civil & Agro Input Consultant Ltd

DCDO and SEO signed the Environmental and Social compliance certificate on 16/06/2022

Payment date: 11/07/2022

DCDO signed on the Payment Certificate on 20/06/2022

SEO never signed on the Payment certificate. There was no provision for him to sign.

**Water &
Environment
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>According to the sector MIS report for access, functionality and population density for 2021/22, the functionality of water facilities for Soroti District was 86%, which was between 80 and 89%.</p>	1
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>According to the District Software MIS report for 2021/22, Soroti District had the functionality of water user committees of 89%, which was between 80 and 89%.</p>	1

2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY.</p> <p>If LG average scores is</p> <p>a. Above 80% score 2</p> <p>b. 60 -80%: 1</p> <p>c. Below 60: 0</p> <p>(Only applicable when LLG assessment starts)</p>	<p>This Indicator was not applicable this FY. it will be assessed when the ongoing LLG assessments are concluded.</p>	0
2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.</p> <p>o If 100 % of water projects are implemented in the targeted S/Cs: Score 2</p> <p>o If 80-99%: Score 1</p> <p>o If below 80 %: Score 0</p>	<p>Soroti district had a safe water coverage of 85% at the time of preparation of the annual work plan for 2021/22. The sub counties below this were Arapai at 71%, Gweri at 78%, and Tubur at 84%.</p> <p>According to the annual progress report for 2021/22, Soroti Local Government planned to and drilled 5 deep boreholes as follows;</p> <ol style="list-style-type: none"> 1. Ocorai community borehole in Katine sub county (DWD 74900) 2. Okimai community borehole in Kamuda sub county (DWD 74902) 3. Amoroti community borehole in Awaliwal sub county (DWD 74903) 4. Osluguro community borehole in Aukot sub county (DWD 74904) 5. And Adacar/Moru community borehole in Asuret sub county (DWD 74905) 	0

The LG also implemented phase 2 of Adamasiko piped water scheme in Katine sub county.

Of the 6 implemented water projects, 3 were in the above three sub counties.

$$3/6 * 100 = 50\%$$

This was less than 80%.

It was noted that there was a need for the District Water Office to harmonize its data on safe water access with those maintained in the MIS database. The LG was using a safe water coverage of 68.69% for planning and the sub counties with safe water coverage below this were also different.

2

2

Service Delivery
Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

The LG water office had two contracts in 2021/22 as follows;

1. Drilling of 5 boreholes had an estimated price of UGX 121,590,480 and was contracted at UGX 109,283,500 with a variation of +10.1%

2. Construction of Adamasiko piped water scheme was estimated at UGX 357,800,000 and contracted at UGX 348,901,000 with a variation of +2.89%

All these water projects had their variations within +/-20% of the engineer's estimates

2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0 	<p>Soroti LG planned to drill 5 new boreholes, construct phase 2 of the Adamasiko piped water scheme and rehabilitate 7 boreholes in the Financial Year 2021/2022. All those planned water infrastructure projects were completed before the end of the financial year hence 100% completion.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <ul style="list-style-type: none"> o If there is an increase: score 2 o If no increase: score 0. 	<p>The functionality of water sources was 85% in 2020/21 and 86% in 2021/22 hence an increment of 1 percentage point between the two financial years.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <ul style="list-style-type: none"> o If increase is more than 1% score 2 o If increase is between 0-1%, score 1 o If there is no increase : score 0. 	<p>The functionality of water and sanitation committees was 89% in 2020/21 and 89% in 2021/22, hence no increase between the two financial years.</p>	0

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>Three facilities were visited as follows;</p> <ol style="list-style-type: none"> 1. Adacar/Moru community borehole in Asuret Sub County 2. Okimai community borehole in Kamuda Sub County 3. And Ocorai community borehole in Katine Sub County <p>All the three were found to be in place and were functional as had been reported in the annual progress report.</p>	3
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>There was evidence that the Local Government Water Office collected and compiled quarterly information on sub county water supply and sanitation. On file were compiled form 4 monitoring reports for all the four quarters of 2021/22. There were also form 1 reports on new water sources constructed in 2021/22 Financial Year</p>	2

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>All the quarterly form 4 monitoring reports for 2021/22 were submitted to the Ministry of Water and Environment for updating of the MIS database as follows;</p> <p>Quarter 1 reports were submitted on 13th October 2021</p> <p>Quarter 2 reports on 13th January 2021.</p> <p>Quarter 3 reports on 22nd April 2022 and</p> <p>Quarter 4 reports along with form 1 reports on 22nd July 2022</p>	3
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>Awaiting the LLGs results in January 2023.</p>	0

Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>The DWO had budgeted 22.200.000 for himself because other staff were still on probation.</p>	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was no evidence that the Environment Officer had budgeted for the environment and natural resource</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>The District water office had one staff appointed substantively Mr. Oriekot Alex Civil Engineer Water appraised by Mr. Okello Simon Exolu Ag. District Engineer on 29/07/2022.</p>	3

7

0

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

No evidence was provided

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
-
- • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- • If 80-99%: Score 2
- • If 60-79: Score 1
- • If below 60 %: Score 0

At the time of planning for Financial Year 2022/23, Soroti District had a safe water coverage of 86%. The sub counties with their safe water coverage below this were Arapai at 73%, Gweri at 77%, and Kamuda at 85%.

Soroti LG had a development budget of UGX 449,867,895 for 2022/23. It planned to construct 7 deep boreholes each estimated at UGX 24,500,000. 5 of these were located in the above targeted sub counties.

It also planned to rehabilitate 11 boreholes each estimated at UGX 4,450,000. 8 of these were in the above sub counties.

Finally it planned to construct phase 3 of Adamasiko piped water scheme in Katine Sub County at UGX 122,500,000.

A total of UGX 158,100,000 was allocated to the above three sub counties.

$$(122,500,000/449,867,895)*100$$

$$= 35.14\%$$

This was less than 60%

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

On 24th May 2022, the DWO presented the proposed water projects for 2022/23 during the budget conference for 2022/23 to all stakeholders among whom were representatives from all the lower local governments. A copy of the report presented was found at Kamuda sub county headquarters.

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>The LG had a total of 1,117 safe water points and these had been monitored in each of the 4 quarters as was evidenced by the form 4 monitoring reports for all the sub counties. Therefore more than 95% of the water facilities were monitored in each of the four quarters.</p>	4
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>The DWO conducted DWSCC meetings as follows;</p> <p>Q1 meeting was held on 6th September 2021,</p> <p>Q2 meeting on 8th December 2021,</p> <p>Q3 meeting on 11th March 2022 and</p> <p>Q4 meeting on 28th June 2022.</p> <p>In all these meetings, sampled water facilities were visited and the issues identified during these field visits were discussed.</p> <p>For example during the meeting of Q1, Oworo community borehole in Kamuda sub county and Asuret 2 stance VIP latrine were visited and under min. 5/DWSCC/9/2021, emerging issues from these were</p>	2

discussed which included non-functional water and sanitation committees for the borehole and that the latrine had not been commissioned.

During the meeting for Q2, Abua community borehole and Chelle community borehole both in Kamuda sub county were visited and emerging issues were discussed under minute 06/DWSCC/12/2021. These included blocked soak away pit at Abua borehole and no tree planting at Chelle borehole

During Q3 and Q4 meetings, Okidoi community borehole, Aleere community borehole, Omugenya P/S Vip Latrine, Alaka borehole and a follow up of Asuret 2 stance VIP latrine were done and also key emerging issues from these were discussed. These included nonfunctional water user committees, and the need to adopt designs for lined pit latrines for future developments among others.

In the financial year for 2022/23, the only item that the LG had taken up was that of reactivating inactive water user committees which it had allocated UGX 1,300,000.

9

2

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

There was a display of the planned water projects for the Financial Year 2022/23 on the district water office at the time of assessment.

10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>The NWR budget for 2021/22 was UGX 68,590,000 of which UGX 27,880,750 was allocated to software activities which included conducting advocacy meetings at district and sub county level, establishing and training water user committees, and conducting home improvement campaigns</p> <p>$(27,880,750/68,590,000) * 100$</p> <p>= 40.6%</p> <p>This was more than 40%</p>	3
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.</p>	<p>Training of water user committees was conducted on 15th and 16th of September as was reported on 15th December 2021.</p> <p>The water user committees were trained on their roles and responsibilities on O&M, importance of hygiene and sanitation and accountability and transparency among others. The water user committees members for all the visited boreholes of Adacar-moru, Okimai and Ocorai demonstrated recall of some of the above information such as collecting O&M funds and maintaining good hygiene at the water facilities.</p>	3

Investment Management

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p>	<p>Soroti LG water department had an extract of the MIS database report for its asset register. It had been updated to include the five water facilities constructed in 2021/22 which included; Ocorai community borehole in Katine sub county, Okimai community borehole in Kamuda sub county, Amoroti community borehole in Awaliwal sub county, Osuguro community borehole in Aukot sub county and Adacar/Moru community borehole in Asuret sub county.</p>	4
		<p>Score 4 or else 0</p>		
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p>	<p>LG did not provide documentary evidence at the time of the assessment</p>	0
		<p>Score 4 or else score 0.</p>		

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>The District Water Officer had on file community applications for all the planned water facilities for 2022/23.</p> <ol style="list-style-type: none"> 1. The community of Obar village in Lalle sub county applied for a community borehole on 17th June 2022 2. The community of Adil village in Kamuda sub county applied for a community borehole on 24th May 2022 3. And that of Talemot village in Awaliwa Sub County applied for a community borehole on 15th May 2022 among others 	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>LG did not provide documentary evidence at the time of the assessment.</p>	0
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There was no documentary evidence in the form of Environment and Social Screening reports and ESMPs for current FY 2022/2023 WSS projects availed during assessment time.</p>	0

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence to show that the WSS infrastructure investments for previous FY were incorporated in the LG procurement plan approved by CAO on 2nd August 2021;</p> <p>Sampled projects included;</p> <ul style="list-style-type: none"> • Siting, Drilling and Construction of deep bore holes in Soroti District, Page 3 • Construction of a piped water supply and sanitation scheme for Adamasiko RGC, Page 3 	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>There was evidence that the Water Sector projects for the previous FY were approved by the Contracts Committee on 2nd August 2021 under meeting minute N0 771/CC/08/2021-2022</p>	2

12	0	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>According to the letter of appointment by CAO dated 14th April 2022 reviewed by the assessor, the Project Implementation team for the Water sector was not fully established as per the guidelines. The list of members appointed included;</p> <p>Acaya Margret - CDO</p> <p>Okello Simon Ekolu-Ag DE</p> <p>Adutu George- senior Environment officer</p> <p>Onekot Alexs-DEO</p> <p>The team did not have a Clerk of works</p>
12	2	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>The scope for phase 2 construction of Adamasiko piped water scheme had an administration block, chlorination block and fencing of the office premises. It was observed that all these were implemented as per the technical designs. For example the external dimensions of the administration block were 9.4 by 9.4m and what was constructed had 9.35 by 9.44m. Those for the chlorination chamber were 9.5 by 5.4m and what was constructed was 9.5 by 5.45m. The construction of this facility was therefore as by the technical designs.</p>

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2

LG provided evidence of joint technical supervision of water sector projects contained in the reviewed inspection reports indicated below;

Inspection report for construction of piped water supply and sanitation scheme for Adamasiko RGC dated 13th June 2022, a team consisting of DE,DWO,CDO and Environment Officer jointly participated

Inspection report for siting, drilling and installation of deep boreholes in Soroti District, a team consisting of DE,DWO,CDO and Environment Officer jointly participated

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

From the sampled projects below, payment to contractors were initiated and made within specified 2 months' timeline,:

1. Construction of piped water supply and sanitation scheme for Adamasiko by CAB (U) Ltd was verified by DWO for payment UGX 138,056,554 issued on 6th June 2022 and Subsequent payment to the contractor was effected on 16th June 2022 under voucher NO 43966397

2. Siting, Drilling and Installation of bore holes in Soroti District by Skylight Africa Ltd was verified by DWO for 1st payment UGX 92,415,771 issued on 19th May 2022 and Subsequent payment to the contractor was effected on 7th June 2022 under voucher NO 43803425

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

The DLG had evidence of complete procurement file for water infrastructure investments as required by PPDA law;

Sampled contracts

1. Construction of piped water supply and sanitation scheme at Adamasiko RGS

, Minutes of contracts committee decision ref; 790/CC/12/2021-2022 dated 21st February 2022, evaluation report dated 5th October 2021, contract agreement signed on 21st February 2022,

2. Contract for drilling and construction of deep bore holes in Soroti District

, Minutes of contracts committee decision ref; 777/CC/11/2021-2022 dated 2nd November 2021 , evaluation report dated 5th October 2021, contract agreement signed on 22nd November 2021

Environment and Social Requirements

Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework

Maximum 3 points this performance measure

Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:

Score 3, If not score 0

Only one complaint was recorded and responded to and addressed from construction of a piped water supply and sanitation scheme (Phase II) for Adamasiko RGC in Ojom Parish Katine Sub-County where laborers were demanding their wages. This was solved by the District engineer.

14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There was evidence of minutes of the District Water and Sanitation dissemination guidelines on water source catchment protection and natural resource management to CDOs and SACAOs which was held at the Soroti district council hall on 15/09/2021.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>There was evidence of water source protection plans prepared and provided by the Senior Environment Officer during Assessment time. Planting of trees, fencing off of the water projects and holding training with CDOs and meetings with community water use committees were implemented according to the plan.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that the Local Government constructed water facilities on land where there was consent from the land owners. For example;</p> <ol style="list-style-type: none"> 1. On 15th December 2021, a one Enangu Henry gave 10 by 10 meters of his land for construction of Okimai community borehole. 2. On 16th December 2021, a one Opar Henry gave 10 by 10 meters of his land for construction of Ogolai community borehole 3. And on 22nd December 2021, a one Opio Michael gave 10 by 10 meters of his land for construction of their community borehole. 	3

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

c. Evidence that E&S
Certification forms are
completed and signed by
Environmental Officer
and CDO prior to
payments of contractor
invoices/certificates at
interim and final stages of
projects:

Score 2, If not score 0

There was evidence of
Environment & Social
compliance certifications signed
by CDO and Environment
Officer prior to payments
according to the sampled
projects below;

Environment and Social
Compliance Certificate for the
construction of piped water
supply and sanitation scheme
for Adamasiko RGC at Ojom
Parish, Katine Subcounty
signed and stamped on
08/6/2022 by the SEO and
DCDO. Contractor CAB (U)
LTD was paid on 01/07/2022

Environment and Social
Compliance certificate for siting,
drilling and installation of deep
boreholes signed and stamped
on 19/05/2022 by SEO and
DCDO. Contractor Skylight
Africa was paid on 7/06/2022

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:

Score 2, If not score 0

There was evidence of monthly monitoring and supervision reports for the WSS projects implemented in previous FY 2021/2022 provided by the SEO as listed below;

The construction of a piped water supply and sanitation scheme (Phase II) for Adamasiko RGC in Ojom Parish Katine Sub-County

- Date of first Monitoring report -6/4/2022

- Date of the last monitoring report- 8/5/2022

The sitting, drilling and installation of a deep borehole at Ocorai Village, Olwelai Parish in Katine Sub-County

- Date of first Monitoring report -30/3/2022

- Date of the last monitoring report- 19/5/2022

The sitting, drilling and installation of a deep borehole at Okimai village, Kamuda-Odina Parish in Kamuda Sub-County

- Date of first Monitoring report -30/3/2022

- Date of the second monitoring report- 19/4/2022

- Date of the last monitoring report- 19/5/2022

**Micro-scale
Irrigation
Performance
Measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
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Local Government Service Delivery Results

1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>The LG did not provide any reports with data on irrigated land. The District Agricultural Officer – Mr. Okello Moses Echecku, however, noted that the Ministry of Water and Environment established 8 acres of drip irrigation system in August 2020 at Awoja Riverside farm.</p>	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>The LG did not provide any report on the acreage of irrigated land for the FY 2021/22 and FY 2022/23. It was not possible to compute the change in the acreage of irrigated land.</p>	0

3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>Not applicable because the District was in the second phase of the micro-scale irrigation project and thus had no support for the project in the previous FY. Even the approved work plan for the Production and Marketing Department had no activities related to micro-scale irrigation planned for FY 2021/22.</p> <p>The approved work plan and budget for FY 2022/23 indicated that the implementation of the micro scale irrigation project was planned for the FY 2022/23</p>	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0</p>	<p>Not applicable as the micro scale irrigation equipment were neither yet procured nor installed. The project had not reached that stage, and thus no payment was made.</p>	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0</p>	<p>Not applicable because the projects had not yet started. Therefore, no supplier quote/contract and Engineer estimates/Bill of quantities were presented for assessment.</p>	0

3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 	<p>Not applicable as the micro scale irrigation equipment for both demonstration and farmers' sites were neither procured nor installed yet. The District was in the second phase of the project and the preparatory activities such as awareness creation, was just planned for the FY 2022/23</p>	0
4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>a) Evidence that the LG has recruited LLG extension workers as per staffing structure</p> <ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 	<p>There was evidence that the District had recruited extension workers as per staffing structure.</p> <p>According to the approved structure it was 23 staff</p> <p>HRM staff list was 16</p> <p>$16/23 \times 100 = 69.56\%$</p>	0
4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF</p> <ul style="list-style-type: none"> • If 100% score 2 or else score 0 	<p>Not applicable as the micro scale irrigation equipment were neither yet procured nor installed. Soroti District Local Government was in the second phase of the project, with implementation just planned to begin in FY 2022/23</p>	0

4

0

Achievement of standards: The LG has met staffing and micro-scale irrigation standards

Maximum score 6

b) Evidence that the installed micro-scale irrigation systems during last FY are functional

- If 100% are functional score 2 or else score 0

Not applicable as the micro scale irrigation equipment were neither yet procured nor installed because the District was in the second phase, and the project implementation was just planned for FY 2022/23.

Performance Reporting and Performance Improvement

Accuracy of reported information: The LG has reported accurate information

Maximum score 4

a) Evidence that information on position of extension workers filled is accurate:
Score 2 or else 0

At the staff list indicated agriculture officer, a veterinary officer and a fisheries officer, all staff were deployed to the Sub County as verified from the staff list displayed on the notice board.

At Kamuda sub county had 3 extension workers on the HR staff list and all were deployed there

. Agricultural Officer Ms. Ilemut Christine

. Fisheries Officer Mr. Ejit Charles.

. Animal Husbandry Officer Mr Ejuku David Ambroose.

At Asurate Sub County had 2 extension workers on the HR staff list all were fully deployed.

Ms. Asio Grace as Fisheries Officer,

Mr. Ejuku David as an Agriculture Officer

At Tubur Town Council had 3 extension workers on the HR staff list all were fully deployed

Mr. Emakull Emmanuel Agricultural Officer,

Mr. Ediangu Justine Entomology and Mr. Epiangu Thomas Animal

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>There was no installation at either the demonstration or the farmers' sites because the district was in the second phase of the UGiFT project, whose implementation was planned for FY 2022/23.</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>No Quarterly supervision and monitoring report was availed during the assessment. The District was in the second phase of the project, and all rigorous activities of supervision were just planned for implementation in the FY 2022/23.</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>No MIS report was presented for the assessment. The District Focal Person for Micro Scale Irrigation (The District Agricultural Officer – Mr. Okello Moses Echeke) was reportedly being trained on the use of Irritrack system.</p>	0

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	c.Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	There was no evidence that the District prepared a quarterly report using information compiled from LLGs in the MIS. Also, the MIS reports were nonexistent	0
Maximum score 6				
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	Not applicable since the District was in the second phase of the micro scale irrigation project and had not implemented the project at the time of assessment.	0
Maximum score 6				
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	Not applicable since the District was in the second phase of the micro scale irrigation project and had not implemented the project at the time of assessment. even the assessment of the Lower Local government was not implemented	0
Maximum score 6				

Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0</p>	<p>Not applicable because the previous FY had no micro scale irrigation activities planned. However, the approved FY 2022/23 budget had budgeted for extension workers as required by the guidelines.</p>	0
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p>	<p>ii Deployed extension workers as per guidelines score 1 or else 0</p>	<p>According to the sampled Sub County and Town Council it was evident that the LG budgeted for extension workers and were deployed as follows,</p> <p>Tubur town council had three extension workers Mr. Emakull Emmanuel AO, Ms. Endaigu Justine Entomology and Ms. Epiangu Thomas AHO.</p> <p>Kamuda Sub county had three extension workers, Mr. Ejit Charles Fisheries Officer Ejuku David Ambrose Animal Husbandry Officer and Ms ilemut Christine Agriculture Officer.</p> <p>Asurate Sub County had two extension workers, Osele James an agriculture Officer and Fisheries Officer Asio Grace</p>	1
Maximum score 6				
Maximum score 6				

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0

Staff list According to the sampled Sub County and Town Council it was evident that the extension workers are working in the LLG they were deployed as follows,

Maximum score 6

Tubur town council had three extension workers Mr. Emakull Emmanuel AO, Ms. Endaigu Justine Entomology and Ms. Epiangu Thomas AHO.

Kamuda Sub county had three extension workers, Mr. Ejit Charles Fisheries Officer Ejuku David Ambrose Animal Husbandry Officer and Ms ilemut Christine Agriculture Officer.

Asurate Sub County had two extension workers, Osele James an agriculture Officer and Fisheries Officer Asio Grace.

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0

According to the sampled LLGs Asuret S/C, Kamuda S/C and Tubur T/C there was evidence that the staff list of extension had been displayed on the LLG notice board.

Maximum score 6

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has: i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	The DPO did not appraise extension workers in the previous year 2021/2022	0
Maximum score 4				
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	There was no corrective action taken since there was no appraisal	0
Maximum score 4				
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	Numerous staff had undertaken different capacity building and tailor-made training aimed at building capacity on specific tasks. For example, the following training certificates awarded to staff in Production Department in the FY 2021/22 were seen: Osujó Job was trained on Farm Products Circulation Technology from 9th – 29th September 2021 by the Ministry of Commerce, China Akello Regina was trained on the operation of mobile digital soil testing kit from 27 – 28th January 2022	1
Maximum score 4				

8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>Training files presented had some training reports but mostly copies of training certificates received by staff since 2017. The file contained training certificates for Emaku Emmanuel for training conducted from 17th – 22nd July 2017, 11 – 16th December 2017, 16 – 18th June 2020.</p> <p>It also showed Okello Moses Echeke received different training between 9 – 12th November 2020, 14th – 19th December 2020, 7 – 8th June 2021, and 3rd August 2022. Other recipients of training certificates were: Asau John Francis, Ejuku David Ambrose, and Osujo Job</p>	1
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Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>Not applicable because the district was in the second phase of the micro scale irrigation project, and most of the project activities were just planned for FY 2022/23</p>	0
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9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>Not applicable. The approved work plan for the Production and Marketing Department for the FY 2021/22 had no activities relating to micro scale irrigation planned for the FY 2021/22.</p> <p>The approved work plan for FY 2022/23 had evidence that the aforementioned activities were planned and budgets allocated for according to the sector guidelines</p>	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>Not applicable. The micro scale irrigation project had not reached the co-funding stage, and therefore, it was not reflected in the LG approved work plan and Budget for FY 2021/2022 and 2022/23.</p>	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>Not applicable as micro scale irrigation project had not reached the co-funding stage because its implementation was just planned for the FY 2022/23</p>	0
Maximum score 10				

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>Not applicable because the District was in the second phase of the micro scale irrigation project. The awareness creation activities were just planned to begin in FY 2022/23.</p>	0
	<p>Maximum score 10</p>			
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p>	<p>Not yet applicable since the micro-scale irrigation equipment was neither procured nor installed to warrant monitoring. The district was in the second phase, and all the micro scale irrigation activities were planned to begin in the FY 2022/23</p>	0
	<p>Maximum score 8</p>	<ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 		
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>Not yet applicable because the micro-scale irrigation equipment for demonstration and the farmers' sites were neither yet procured nor installed. The district was in the second phase, and all the micro scale irrigation activities were planned to begin in the FY 2022/23</p>	0
	<p>Maximum score 8</p>			

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>Not yet applicable because the micro-scale irrigation project had not yet reached that stage. The district was in the second phase, and all the micro scale irrigation activities were planned to begin in the FY 2022/23</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>The 3rd Quarter report that was submitted by Asau John France to CAO on 30/4/2021 indicated that Soroti District Local Government had five farmer field schools. Another report by Asau John Francis confirmed that Amora FFS and Adamasiko FFS, both in Katine Sub County, were functional and active. The district noted that they plan to use these farmer field schools and new ones to implement the UGiFT micro scale irrigation project</p>	2
11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0</p>	<p>Not yet applicable because the micro-scale irrigation had not started. The District was in the second phase of the project, and most of the activities were just planned to begin in the FY 2022/23.</p>	0

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	Not yet applicable because the micro-scale irrigation under UGIFT was not planned in the FY 2021/22. The aforementioned activities were planned to begin in the FY 2022/23.	0
	Maximum score 4			

Investment Management

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	Not yet applicable because the District was in the second phase of the micro-scale irrigation project. The micro scale irrigation equipment had neither been procured nor supplied yet.	0
	Maximum score 8			

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	Not yet applicable because the District was in the second phase of the micro-scale irrigation project and the farmers had not yet been sensitized about the project.	0
	Maximum score 8			

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0	Not yet applicable because the District was in the second phase of the micro-scale irrigation project with most project activities just planned to begin in the FY 2022/23.	0
	Maximum score 8			

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects:</p> <p>Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>Not yet applicable because the District was in the second phase of the micro-scale irrigation project, and most of the project activities were just planned to begin in the FY 2022/23.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>As per the copy of approved LG Procurement Plan for current FY reviewed by the assessment team, micro-scale irrigation systems were not incorporated</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>Not yet applicable because the District was in the second phase of the micro-scale irrigation project, and most of the activities were planned to begin in the FY 2022/23.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>Not yet applicable because the District was in the second phase of the micro-scale irrigation project, and most of the activities were planned to begin in the FY 2022/23.</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	<p>Not yet applicable because the District was in the second phase of the micro-scale irrigation project, and most of the activities were planned to begin in the FY 2022/23.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p>	<p>Not yet applicable because the District was in the second phase of the micro-scale irrigation project, and most of the activities were planned to begin in the FY 2022/23.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0</p>	<p>Not yet applicable because the District had not yet installed any irrigation equipment either at demonstration or farmers' sites. The District was in the second phase of the micro-scale irrigation project, and most of the activities were planned to be implemented in the FY 2022/23.</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0</p>	<p>Not yet applicable because there were not yet any installed irrigation systems at either demonstration or farmers' sites to warrant supervision. The District was in the second phase of the micro-scale irrigation project, and most of the activities were just planned to begin in the FY 2022/23.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	<p>Not applicable because the micro scale irrigation equipment was neither yet procured nor installed to warrant a functionality test. The District was in the second phase of the micro-scale irrigation project and most of the project activities were just planned to begin in the FY 2022/23.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0</p>	<p>Not applicable because, there were no micro scale irrigation equipment or installations to be handed over. The District was in the second phase of the micro-scale irrigation project and most of the project activities were just planned to begin in the FY 2022/23.</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0</p>	<p>Not applicable because the micro scale irrigation equipment was neither yet procured nor installed to warrant payment. The District was in the second phase of the micro-scale irrigation project and most of the project activities were just planned to begin in the FY 2022/23.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0</p>	<p>Not applicable because the procurement of micro scale irrigation equipment had not yet attracted any bids. The District was in the second phase of the micro-scale irrigation project with most the project activities just planned to begin in the FY 2022/23.</p>	0

Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p>	<p>No evidence was seen. The avenues for grievance redress and the nature of grievances were not displayed on any noticeboards within the Production Department or anywhere within the District.</p>	0
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was no Micro-Small Irrigation project implemented in previous FY 2021/2022. There was no complaint to record.</p>	0
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was no Micro-Small Irrigation project implemented in previous FY 2021/2022. There was no complaint to investigate.</p>	0
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was no Micro-Small Irrigation project implemented in previous FY 2021/2022. There was no complaint to respond to.</p>	0

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was no Micro-Small Irrigation project implemented in previous FY 2021/2022. There was no complaint to report on in line with LG grievance redress framework.</p>	0
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Environment and Social Requirements

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>Not applicable because the District had neither yet started siting nor installment of the micro scale irrigation systems. The District was in the second phase of the micro-scale irrigation project and most of the project activities were just planned to begin in the FY 2022/23.</p>	0
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15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	<p>There was no Micro-Small Irrigation project implemented in previous FY 2021/2022</p>	0
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15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	There was no Micro-Small Irrigation project implemented in previous FY 2021/2022	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There was no Micro-Small Irrigation project implemented in previous FY 2021/2022	0
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There was no Micro-Small Irrigation project implemented in previous FY 2021/2022	0

**Micro-scale Irrigation Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation <i>Maximum score is 70</i>	If the LG has recruited; a. the Senior Agriculture Engineer <i>score 70 or else 0.</i>	At the time of assessment the District did not have an Agriculture Engineer	0
Environment and Social Requirements				
2	New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed. <i>Maximum score is 30</i>	If the LG: Carried out Environmental, Social and Climate Change screening <i>score 30 or else 0.</i>	There was no Micro-Small Irrigation project implemented in previous FY 2021/2022	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The District had substantively recruited Mr. Oriekot Alex as a Civil Engineer for water on 2/10/2020 as was directed by the DSC Minute number 97/10/2020 (i) signed by the CAO Mr. Luke L. Lokuda.	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	Mr. Wakwesa Emmanuel was assigned duty as Assistant Water Officer for mobilization on 1st June 2022 letter was not referenced. Signed Mr. Muramira Aggrey Winston the CAO.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	Mr. Egonu Joseph was appointed on contract as Borehole Maintenance Technician on 12th May 2022 under letter reference CR/156/5 as was directed by the DSC Minute number WKS/5/24/3/2022 signed by the CAO Mr. Muramira Aggrey Winston.	0

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>d. 1 Natural Resources Officer, score 15 or else 0.</p>	<p>Ms. Akello Catherine was appointed in Acting capacity as a Natural Resource Officer on 5th June 2019 under letter reference CR/D/11505 signed by Mr. Ddamba Henry the CAO.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>e. 1 Environment Officer, score 10 or else 0.</p>	<p>Mr. Echengu Sam Peter was appointed on probation as an Environment Officer on 2nd June 2022 under letter reference CR/156/2 as was directed by the DSC Minute number 18/06/2022 signed by the CAO Mr. Muramira Aggrey Winston.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.</p> <p><i>Maximum score is 70</i></p>	<p>f. Forestry Officer, score 10 or else 0.</p>	<p>Mr. Emoji Emmanuel was appointed on probation as Forestry Officer on 17/10/2018 under letter reference CR/156/2 as directed by the DSC Minute number 74/10/2018 signed by the CAO Mr. Joseph Balisanyuka.</p> <p>He was confirmed on May 3rd ,2022 under letter reference CR/D/11650 as directed by the DSC Minute number 10/05/2022 signed by Mr. Muramira Aggrey Winston.</p>	10

Environment and Social Requirements

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

There was evidence in the form of screening forms for all the WSS projects implemented in the previous FY 2021/2022 signed and stamped by the SEO and the DCDO.

Screening report for the construction of a piped water supply and sanitation scheme (Phase II) for Adamasiko RGC in Ojom Parish Katine Sub-County dated 16/08/2021

Screening report for the sitting, drilling and installation of a deep borehole at Ocorai Village, Olwelai Parish in Katine Sub-County dated 10/08/2021.

Screening report for the sitting, drilling and installation of a deep borehole at Okimai village, Kamuda-Odina Parish in Kamuda Sub-County dated 11/08/2021

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

The above-mentioned sampled projects never required full ESIA since they lie within projects listed under Schedule 4 Part 2 section 3(a)

“Construction of community water points with very minimal Environmental and Social significant impacts that require timely implementation of ESMP.

ESMP for the construction of a piped water supply and sanitation scheme (Phase II) for Adamasiko RGC in Ojom Parish Katine Sub-County dated 16/08/2021 costed at UGX. 450,000/-

ESMP for the sitting, drilling and installation of a deepborehole at Ocorai Village, Olwelai Parish in Katine Sub-County dated 10/08/2021 costed at UGX. 500,000/-

ESMP for the sitting, drilling and installation of a deep borehole at Okimai village, Kamuda-Odina Parish in Kamuda Sub-County dated 11/08/2021 costed at UGX. 500,000/-

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

Soroti LG constructed phase two of Adamasiko piped water scheme whose scope included laying the main distribution line, the water office, chlorination chamber and fencing the office area. The facility was still under phased construction and was not yet ready for commissioning. It has therefore not yet reached a level where it needed to have acquired an abstraction permit.

**Health Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	The District had substantively appointed Dr. Okadhi Charles Stephen as District Health Officer on June 20,2011 under letter reference CR/160/1 as was directed by the DSC Minute number 37/2011 (1) signed by the CAO Mr. A. Okello.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	Personal files were not availed for assessment	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	Mr. Eyura Martin was substantively appointed as an Environmental Health Officer June 20,2011 under letter reference CR/160/1 as was directed by the DSC Minute number 37/2011 (1) signed by the CAO Mr. Charles A. Okello.	10

1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p>The District did not have a substantively appointed Principal Health Inspector. However, Mr. Ekodeu Emmanuel was assigned duties as PHI on June 13th, 2014 under letter reference CR/D/11441 as signed by the CAO Mr. Gidudu Tom.</p>	0
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>Personal files were not availed for assessment</p>	0
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>he District had substantively appointed Mr. Edonyu Alfred as Biostatistician on 3rd December,2018 under letter reference CR/156/2 as directed by the DSC Minute number 91/11/2018(1) as signed by the CAO Mr. Ddamba Henry.</p>	10
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>Personal files were not availed for assessment</p>	0

1

New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.

h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.

Applicable to MCs only.

Maximum score is 70

1

New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.

i. Principal Health Inspector, score 20 or else 0.

Applicable to MCs only.

Maximum score is 70

1

New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.

j. Health Educator, score 20 or else 0

Applicable to MCs only.

Maximum score is 70

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence that all the sampled health projects to be implemented in the current FY 2022/2023 had been screened for Environmental and Social risks.

Screening report for the completion of OPD Block at Aukot HCII signed and stamped by SEO and DCDO on 01/08/2022.

Screening report for the construction of 4 stance pit latrine in Awaliwali HCII signed and stamped by SEO and DCDO on 03/08/2022.

Screening report for the completion of the OPD block at Ocokican HCII signed and stamped by the DCDO and SEO on 02/08/2022

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

All the sampled health projects never required full Environmental and social Impact Assessments (ESIAs) and required preparation of ESMPs and implementing the ESMPs timely to mitigate the anticipated project impacts.

ESMP for the completion of OPD Block at Aukot HCII signed and stamped by SEO and DCDO on 01/08/2022 costed at UGX. 1,450,000/-

ESMP for the construction of 4 stance pit latrine in Awaliwali HCII signed and stamped by SEO and DCDO on 03/08/2022 costed at UGX. 400,000/-

ESMP for the completion of the OPD block at Ocokican HCII signed and stamped by the DCDO and SEO on 02/08/2022 costed at UGX. 1,45,000/-

Education Minimum
Conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. <i>The Maximum Score of 70</i>	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	The District had substantively appointed Mr. Oede James as the District Education Officer on November 5th, 2019 as was directed by the DSC Minute number 84/10/2019 signed by the CAO Mr. Damba Henry.	30
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. <i>The Maximum Score of 70</i>	b) All District/Municipal Inspector of Schools, score 40 or else 0.	The District had substantively appointed Mr. Emoru Anango Simon as a Senior Inspector of Schools on May 6th 2015 under letter reference CR/161/1 as directed by the DSC Minute number 23/04/2015 as signed by the CAO Mr. John Nyakahuma. Ms. Icimu Loyce Midred was appointed as an Inspector of Schools on Nov 5th, 2015 as directed by the DSC Minute number 81/10/2019 signed by the CAO Mr. Damba Henry.	40

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:
a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

It was evidenced that all education projects as sampled had Environment and Social screening reports.

Screening report for the construction of a 2 in 1 teachers house in Kelim-Tubur Primary school in Palaet B. Village, Palaet Parish in Tubur Sub-county signed and stamped by the Senior Environment Officer Mr. Adutu George and DCDO Ms. Margrate E. Acaya on 23/08/2021

Screening report for the construction of a classroom block in Amoroto Primary School in Amoroto Village, Adamasiko Parisin Awaliwali Sub-County signed and stamped by the Senior Environment Officer Mr. Adutu George and DCDO Ms. Margret E. Acaya on 26/08/2021

Screening report for the Construction a 5 stance pit latrine in Oculoi Primary School in Onongo Village, Ojom Parish, Oculoi Sub-County signed and stamped by the Senior Environment Officer Mr. Adutu George and DCDO Ms. Margret E. Acaya on 18/08/2021

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is

If the LG carried out:
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

All the above mentioned projects did not require full ESIs because in the National Environment Act No. 5 of 2019, they are categorized under schedule 4 part 2 which consists of projects with very minimal significant Environmental and social Impacts which can be easily mitigated by timely implementation of the ESMPs thereby requiring Environment and social screening and ESMPs

There was evidence of costed ESMPs developed for the Education projects as below;

ESMP for the construction of a 2 in 1 teachers house in Kelim-Tubur Primary school in Palaet B. Village, Palaet Parish in Tubur Sub-county costed at UGX. 1,400,000/- signed and stamped by the Senior Environment Officer Mr. Adutu George and DCDO Ms. Margrate E. Acaya on 23/08/2021

ESMP for the construction of a classroom block in Amoroto Primary School in Amoroto Village, Adamasiko Parisin Awaliwali Sub-County costed at UGX. 900,000/- signed and stamped by the Senior Environment Officer Mr. Adutu George and DCDO Ms. Margret E. Acaya on 26/08/2021

ESMP for the Construction a 5 stance pit latrine in Oculoi Primary School in Onongo Village, Ojom Parish, Oculoi Sub-County costed at UGX. 400,000/- signed and stamped by the Senior Environment Officer Mr. Adutu George and DCDO Ms. Margret E. Acaya on 18/08/2021

**Crosscutting Minimum
Conditions**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	Soroti District had substantively appointed Mr. Edyangu Thomas as a Chief Finance Officer on 5/10/2019 as directed by the DSC Minute 83/10/2019 signed by the CAO, Mr. Ddamba Henry.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The District did not have a substantively appointed District Planner'. However, Mr. Oboi Richard was appointed in Acting capacity as DP on 17/2020 letter reference CR/d/11453 as directed by the DSC Minute 11/03/2020 signed by Mr. Ddamba Henry, the CAO.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The District did not have a substantively appointed Engineer. However, Mr. Okello Simon Ekolu was assigned duty as A District Engineer on 22th Sept 2021 under letter reference CR/D/11651, signed by the CAO Mr. Luke LL Lokuda.	0

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0</p>	<p>Ms. Akello Catherine was appointed in Acting capacity as District Natural Resource Officer on 5th June 2019 under letter reference CR/D/11505 signed by Mr. Ddamba Henry, the CAO.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>e. District Production Officer/Senior Veterinary Officer, score 3 or else 0</p>	<p>Mr. Enyaku James Michael was appointed in Acting capacity as A District Production Officer on 8th April 2021 under letter CR/D/10812 signed by the CAO, Mr. Luke LL Lokuda.</p>	0
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>f. District Community Development Officer/Principal CDO, score 3 or else 0</p>	<p>Ms. Acaya Margret Emuria was substantively appointed as A District Commercial Officer on 31st July 2008 under letter reference CR/156/2 as directed by the DSC Minute number 18/2008 signed by the CAO Mr. G W Omuge.</p>	3
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0</p>	<p>The district did not have a substantively recruited District Commercial Officer. However, Ms. Apolot Joy Christine was appointed in acting capacity as A District Commercial Officer on 3rd Sept 2020 through letter reference CR/D/1167, signed by the CAO Luke Mr. Luke L. Lokuda.</p>	0

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.</p>	<p>Mr. Ewena Haggai was substantively appointed as A Senior Procurement Officer on 3rd Dec 2018 under letter reference CR/D/1143 as directed by the DSC Minute number 104/11/2018 signed by the CAO Mr. Joseph Balisanyuka.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0</p>	<p>Ms. Isenyi Beatrice was substantively appointed as a Procurement Officer on 5th Nov 2019 under letter reference CR/11567 as directed by the DSC Minute number 81/10/2019 signed by the CAO Mr. Ddamba Henry.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>i. Principal Human Resource Officer, score 2 or else 0</p>	<p>The District had substantively appointed Mr. Okanya Simon Peter as a Principle Human Resource Officer on 10th Dec 2012 under letter reference CR/156/2 as directed by the DSC Minute 58/2012(1) signed by the CAO Mr. Okello Charles</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>j. A Senior Environment Officer, score 2 or else 0</p>	<p>The District had substantively appointed Mr. Aduu George Patrick Ejok as A Senior Environment Officer on 12th March 2018 under letter reference CR/D/11088 as directed by the DSC Minute 20/03/2018 signed by the CAO Balisanyuka Joseph</p>	2

1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>k. Senior Land Management Officer /Physical Planner, score 2 or else 0</p>	<p>Mr. Aduku George Patrick Ejoku was substantively appointed as A Senior Land Office on 12th Dec 2019 under letter reference CR/D/11088 as directed by the DSC Minute number 20/03/18 signed by the CAO Mr. Balisanyuka Joseph</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>Mr. Omutia David was substantively appointed as A Senior Accountant on 3 Dec 2018 under letter reference CR/D/10075 as directed by the DSC Minute number 104/11/2018(i) signed by the CAO Mr. Balisanyuka Joseph</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p>	<p>Mr. Okello Micheal was substantively appointed as a Senior Auditor on 3rd Dec 2018 under letter reference CR/D/10878 as directed by the DSC Minute number 104/11/2018(i) signed by the CAO Mr. Joseph Balisanyuka.</p>	2
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>Mr. Okwatum Moses Emoisat was appointed as Principal Human Resource Officer on 31st July 2008 under letter reference 156/2 as directed by the DSC Minute number 18/2008 signed by the CAO Mr. G.W. Omuge.</p>	2
2	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG</p>	<p>a. Senior Assistant Secretary (Sub-Counties) /Town</p>	<p>According to the approved structure of Soroti District LG dated 31st July 2018 the LG had 10 Sub Counties and 1</p>	0

Maximum score is 15

Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

Town Council.

The District substantively appointed only 3 senior Assistant Secretary at the LLG as follows.

The substantive ones are as follows,

1. Mr. Malinga Cornelius Osire of Katine Sub-County was substantively appointed as a Senior Assistant secretary on 19th August 2020 under letter reference CR/D/11366 as directed by DSC Minute No 55/07/2020 endorsed by Mr. Luke L.L. Lukuda the CAO.

2. Mr. Otim Aliro Sam of Oculoi Sub County was substantively appointed as a Senior Assistant Secretary on 25th April 2007 under letter reference CR/156/2 as directed by the DSC Minute number 16/2007 signed by G .w. Omuge the CAO.

3. Mr. Olobo Tom Vincent was substantively appointed as Senior Assistant Secretary on 5th/5/2021 under letter reference CR/156/2 as directed by the DSC Minute number 29/04/2021 signed by the CAO Mr. Luke L.L. Lokuda.

The ones assigned duty are as follows,

1. Mr. Omagor John of Asuret Sub County was assigned duty as A Senior Assistant Accountant under letter reference CR /D/11269 dated 6 /Sept / 2021 signed by the CAO Mr. Luke L.L. Lukoda.

2. Mr. Kara Ronnie Aloysius of Arapai Sub County was appointed as A Senior Assistant Accountant under letter reference CR /D/11323

dated 11 /June / 2021 signed by the CAO Mr. Luke L.L. Lukoda.

3. Mr. Elunyu Stephen of Awaliwal S/C was assigned duty of A Senior Assistant Secretary on 11/June/2021 under letter reference CR/D/11306 signed by the CAO Mr. Luke L.L. Lukoda.

4. Mr. Agetu Samuel Alexander of Gweri S/C was assigned duty as A Senior Assistant Secretary on 1/Oct/2018 under letter reference CR/D/11374 as signed by the CAO Mr. Joseph Balisanyuka.

5. Mr. Otuba Paul of Aukot S/C was assigned duty as A Senior Assistant Secretary on April 27,2016 under letter reference CR/D/11286 as signed by the CAO Mr. John Nyakahuma

6. Mr.Esegu Patrick was appointed as A Senior Assistant Secretary on June 11, 2021 under letter reference CR/D/11715 as signed by the CAO Mr. Luke L.L. Lokuda.

7. Mr. Okiror John Michael of Lalle S/C was assigned duty as A Senior Assistant Secretary on June 11, 2021 under letter reference CR/D/11281 as signed by Mr. Luke L.L. Lokuda the CAO.

8. Mr. Elebu Charles of Turbur T/C was appointed as Town Clerk on June 11 2021 under letter reference CR/D/11313 as was signed by the CAO Mr. Luke L.L. Lokuda.

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

b. A Community Development Officer / Senior CDO in case of Town Councils, in

Soroti District had 11 Sub Counties and appointed seven substantive Community Development Officer as follows,

Maximum score is 15

all LLGS, score 5
or else 0.

1. Mr. Ejubu Patrick of Otubulo Sub County was appointed as Community Development Officer on 2th Dec 2021 under letter reference CR/D/11537 as was directed by the DSC Minute number 88/10/2021(i) as signed by the CAO Mr. Luke L.L. Lokuda.

2. Ms. Ayoto Eunice of Gwire Sub County was appointed as Community Development Officer on 5th Sept 2022 under letter reference CR/D/11542 as directed by the DSC Minute number 88/10/2021 as signed by the CAO Mr. Muramira Aggrey Winston.

3. Ms Aguti Esther of Arapai Sub County was appointed as Community Development Officer on 21st June 2012 under letter reference CR/156/2 as directed by the DSC Minute number 16/2012 (2) as signed by the CAO Mr. Charles A Okello.

4 Ms. Akurut Juliet of Kamuda Sub County was appointed on probation as Community Development Officer on 21st June/2012 under letter reference CR/156/2 as directed by the DSC Minute number 16/2012 (3) as signed by the CAO Mr. Charles A Okello.

Confirmed on 12/02/2014 reference number CR/D/11539 as was directed by the DSC Minute number 07/02/2014 as signed by Mr. Tom Gidudu.

5. Ms Aguti Sarah of Asurate Sub County was substantively appointed as CDO on 3rd Dec 2018 under letter reference CR/D/11154 as directed by the DSC Minute number 99/11/2018(ii) signed by the

CAO Mr. Joseph Balisanyuka.

6. Ms. Madudu Grace Miriam of Oculoi Sub County was substantively appointed as CDO on 5th Sept 2022 under letter reference CR/D/11328 as directed by the DSC Minute number 88/10/2021 signed by the CAO Mr. Muramira Aggrey Winston.

7. Mr. Okwara Felix of Tubur Town Council was substantively appointed as Senior Community Development officer on 21st June 2012 under letter reference CR/156/2 as directed by the DSC Minute number 88/10/2021 signed by the CAO A. Okello Charles.

8. Ms. Akurut Juliet was assigned duty as CDO Lale Sub County on 21/July/2025 under letter reference CR/D/11539 as signed by the CAO Luke L.L. Lokuda.

9. Ms. Aguti Sarah was assigned to Ocokican Sub County

2

0

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

Soroti District LG had appointed Five substantive Senior Accounts Assistant in LLGs as follows;

1. Mr. Kwemboi Robert of Turbur Town Council was appointed with in transfer of service on 24th May 2022 under letter reference CR/D/11762 signed by the CAO Muramira Aggrey Winston.

2. Mr Odiit Anthony of Katine Sub County was substantively appointed as an Accounts

Assistant on 5/5/2021 under reference number CR/156/2 as directed by DSC Minute number 27/04/2021(4.3) as signed by the CAO Luke L.L Lokuda.

3. Mr. Emodu Juventine was substantively appointed Accounts Assistant of Kamuda Sub County on 5/5/2021 under letter reference CR/156/2 as was directed by the DSC Minute number 27/04/2021 (4.2) signed by Mr Luke L.L. Lokuda the CAO.

4.,Ms Abia Fioney was substantively appointed an Accounts Assistant of Awaliwali Sub County on 5/5/2021 under letter reference CR/156/2 as directed by the DSC Minute number 27/04/2021(4.8) signed by Luke L.L Lokuda CAO.

5. Mr Okwalinga Gilbert was substantively appointed as Senior Accounts Assistant of Asurate Sub county on 5/05/2021 as directed by the DSC Minute number 27/04/2021(4.8)signed by the CAO Mr. Luke.L.L Lokuda.

Those who were not substantive included among others

6.Mr Emaju Anthorny Noel was appointed Accountants Assistant of Turbur Sub County on 5/5/2021 under letter reference CR/156/2 as directed by the DSC Minute number 27/04/2021 (4.9)signed by the CAO Mr. Luke L.L Lokuda.

7. Mr. Opoding Thomas was appointed Accountants Assistant of Arapai Sub County on 5/5/2021 under letter reference CR/156/2 as directed by the DSC Minute number

Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: a. Natural Resources department, score 2 or else 0	Budget UGX 430, 786,093 Warranted UGX 472,445,162 Actual UGX 472 445,162 Actual UGX 472 445,162 x 100 Warrant UGX 472 445,162 LG Released 100% of funds allocated to Natural Resources department.	2
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: b. Community Based Services department. score 2 or else 0.	Budget UGX 46,825,223 Warranted UGX 38,425,124 Actual UGX 38,425,124 Actual UGX 38,425,124 X100 Warranted UGX 38,425,124 LG Released 100% of funds allocated to Community Based services department	2

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

a. If the LG has carried out Environmental, Social and Climate Change screening,

score 4 or else 0

There was evidence of screening reports for all the DDEG financed projects.

Screening report for the construction of an OPD Block in Aukot HCII in Osuguro village, Awoja Parish in Aukot Sub-County signed and stamped by the Senior Environment Officer Mr. Adutu George and DCDO Ms. Margrate E. Acaya on 12/07/2021

Screening report for the construction of a 2 in 1 teachers house in Kelim-Tubur Primary school in Palaet B. Village, Palaet Parish in Tubur Sub-county signed and stamped by the Senior Environment Officer Mr. Adutu George and DCDO Ms. Margrate E. Acaya on 23/08/2021

Screening report for the construction of a semi-detached staff house at Tiriri HCIV signed and stamped by the Senior Environment Officer Mr. Adutu George and DCDO Ms. Margrate E. Acaya on 08/07/2021

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),

score 4 or 0

All the above mentioned DDEG financed projects did not require full ESIs because in the National Environment Act No. 5 of 2019, they are categorized under schedule 4 part 2 which consists of projects with very minimal significant Environmental and social Impacts which can be easily mitigated by timely implementation of the ESMPs thereby requiring Environment and social screening and ESMPs

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;
score 4 or 0

All the sampled DDEG projects had Costed ESMPs developed.

ESMP for the construction of an OPD Block in Aukot HCII in Osuguro village, Awoja Parish in Aukot Sub-County costed at UGX. 2,600,000/- signed and stamped by the Senior Environment Officer Mr. Adutu George and DCDO Ms. Margrate E. Acaya on 12/07/2021

ESMP for the construction of a 2 in 1 teacher's house in Kelim-Tubur Primary school in Palaet B. Village, Palaet Parish in Tubur Sub-county costed at UGX. 1,400,000/- signed and stamped by the Senior Environment Officer Mr. Adutu George and DCDO Ms. Margrate E. Acaya on 23/08/2021

ESMP for the construction of a semi-detached staff house at Tiriri HCIV costed at UGX. 800,000/- signed and stamped by the Senior Environment Officer Mr. Adutu George and DCDO Ms. Margret E. Acaya on 08/07/2021

Financial management and reporting

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.	If a LG has a clean audit opinion, score 10;	Soroti LG had a clean / unqualified audit opinion for the FY 2021/2022	10
	Maximum score is 10	If a LG has a qualified audit opinion, score 5		
		If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0		
6	Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).	If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),	The LG had provided information to PS/ST MOFPED on the Status of implementation of Auditor General	0
	maximum score is 10	score 10 or else 0.	findingsFY2020/2021 on 14th April 2022 as per acknowledgement date Stamp. The date was beyond the deadline of end of February 2022	
			However, information in relation to submission of responses to PS/ST MOFPED on Internal Auditor General findings FY 2020/2021 was not availed to the Performance Assessment Team for verification despite numerous requests..	

7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The LG had Submitted the Annual Performance Contract to PS/ST MOFPED on 29th July 2022 as per e mail information availed while in field</p>	4
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The LG had Submitted the Annual Performance Report For FY 2021/2022 to PS/ST MOFPED on 2nd November 2022 as per PBS generated date. However, it was Submitted after the deadline of 31st August 2022</p>	0
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The LG had Submitted Quarterly Budget Performance Reports to PS/ST</p> <p>as follows ;</p> <p>1st Quarter report on 13th November 2021.</p> <p>2nd Quarter report on 30th January 2022</p> <p>3rd Quarter report on 10th Junen2022</p> <p>4th Quarter report on 2nd November 2022.</p> <p>The 4th Quarter report was Submitted after the deadline of 31st August 2022</p>	0